

**STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.  
Springfield, Illinois 62704-4503  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph St., Ste. 14-100  
Chicago, Illinois 60601-3232  
312/814-6440  
Fax: 312/814-6485



**EXECUTIVE DIRECTOR  
Steven S. Sandvoss**

**BOARD MEMBERS**  
William J. Cadigan, Chairman  
John R. Keith, Vice Chairman  
Andrew K. Carruthers  
Ian K. Linnabary  
William M. McGuffage  
Katherine S. O'Brien  
Charles W. Scholz  
Casandra B. Watson

**AGENDA  
STATE BOARD OF ELECTIONS  
BOARD MEETING  
Monday, September 18, 2017  
10:30 a.m.**

2329 S. MacArthur Blvd.  
Springfield, Illinois  
and via videoconference  
James R. Thompson Center – Suite 14-100  
Chicago, Illinois

Roll call.

1. Approval of the minutes from the August 22 meeting. (pgs.1-7)
2. Report of the General Counsel
  - a. Campaign Disclosure;  
Request for settlement offer
    - 1) *Citizens for David Friess*, 24200, 16JQ161; (pgs.8-9)Appeals of campaign disclosure fines – recommendation; granted
    - 2) *SBE v. Iroquois County Democratic Central Committee*, 359, 17MQ001; (pgs.10-21)
    - 3) *SBE v. Committee to Elect Thomas Ganiere*, 23718, 17MQ067; (pgs.22-24)
    - 4) *SBE v. Friends of Lisa L. Evans*, 24466, 17MQ080; (pgs.25-27)
    - 5) *SBE v. Friends for Blair*, 31678, 17AD071; (pgs.28-31)
    - 6) *SBE v. Better Government for Alsip*, 32370, 17MA030; (pgs.32-36)Appeals of campaign disclosure fines – recommendation: granted & amendment required
    - 7) *SBE v. Better Government for Alsip*, 32370, 17MA018; (pgs.37-42)Appeals of campaign disclosure fines – recommendation; denied
    - 8) *SBE v. Lee County Republican Central Committee*, 46, 17MA022; (pgs.43-47)
    - 9) *SBE v. Citizens for Cynthia Santos*, 12438, 17MQ024; (pgs.48-50)
    - 10) *SBE v. Coalition Party of Justice*, 23244, 17MQ064; (pgs.51-56)
    - 11) *SBE v. Citizens to Elect Mark V. Ferante*, 24048, 17MQ074; (pgs.57-60)
    - 12) *SBE v. Committee to Elect Ebony Lucas*, 32094, 17AD074; (pgs.61-67)
    - 13) *SBE v. Friends of Amr Elsamny*, 32874, 17MA042; (pgs.68-72)Complaints following public hearing
    - 14) *SBE v. Citizens to Elect Diante Johnson*, 17CD030; (pgs.73-74)Other campaign disclosure items
    - 15) Random audits of political committees; (pgs.75-76)
    - 16) Payment of civil penalty assessments – informational; (pg.77)

- b. Proposed changes to Administrative Rules – Part 100 Campaign Financing & Part 125 Practice and Procedure; (pgs.78-110)
  - c. Proposed changes to Administrative Rules – Part 212 Personnel. (pgs.111-126)
- 3. Report of the Executive Director
  - a. Consideration of response to request from Presidential Advisory Commission on Election Integrity; (pgs.127-131 & separate cover)
  - b. Report on Advisory Committee Meeting; (pgs.132-133)
  - c. Legislative update; (pgs.134-138)
  - d. NASED Summer Conference – informational; (pgs.139-143)
  - e. Consideration of membership in Multi-State Information Sharing and Analysis Center; (pgs.144-149)
  - f. IT/IVRS update; (oral report)
  - g. Staff compensation update; (pg.150)
  - h. FY18 fiscal status reports – informational;
    - 1) GRF month ending August 31; (pgs.151-158)
    - 2) Elections Summary; (pg.159)
    - 3) Help Illinois Vote Fund; (pgs.160-163)
  - i. Two year plan of staff activity for the months of September & October – informational. (pgs.164-165)
- 4. Follow up. (pg.166)
- 5. Comments from the general public. (pg.166)
- 6. Next Board Meeting scheduled for Tuesday, October 17, 2017 in Chicago. (pg.166)
- 7. Executive Session. (pgs.167-179)

STATE BOARD OF ELECTIONS  
Regular Meeting  
Tuesday, August 22, 2017

MINUTES

PRESENT:

William J. Cadigan, Chairman  
John R. Keith, Vice Chairman  
Andrew K. Carruthers, Member  
Ian K. Linnabary, Member  
William M. McGuffage, Member  
Katherine S. O'Brien, Member  
Charles W. Scholz, Member  
Casandra B. Watson, Member

ALSO PRESENT:

Steven S. Sandvoss, Executive Director  
Bernadette Matthews, Asst. Exec. Director  
Kenneth R. Menzel, General Counsel  
Amy L. Calvin, Administrative Assistant III

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The meeting convened at 10:30 a.m. via videoconference with seven Members present in Chicago. Member Watson held Member McGuffage's proxy until his arrival at 10:40 a.m.

Chairman Cadigan opened the meeting by leading everyone in the pledge of allegiance.

Member Keith moved to approve the minutes from the June 20 and July 3 meetings with a couple small revisions. Member Scholz seconded the motion which passed by roll call vote of 8-0.

General Counsel Menzel presented a request for settlement offer for agenda item 2.a.1) Franklin County Republican Central Committee, 346, 16AS005 and summarized the matter. He recommended the settlement be rejected because the offer was less than 50% of the outstanding fine and the fine itself was less than \$500. No one was present on behalf of the committee. Member Scholz moved to reject the settlement offer. Member Carruthers seconded the motion which passed by roll call vote of 8-0.

Mr. Menzel presented an appeal of campaign disclosure fines for agenda item 2.a.2) *SBE v. Northern IL Home Builders Association PAC*, 881, 17AM004 and concurred with the hearing officer to grant the appeal. Shela Lahey was present and also agreed with the recommendation. Member Scholz moved to grant the appeal. Member Watson seconded the motion which passed by roll call vote of 8-0.

Mr. Menzel presented the following appeals of campaign disclosure fines for agenda items 2.a.3 & 5-14:

- 3) *SBE v. Citizens for Frank Watson*, 1188, 17MQ011;
- 5) *SBE v. Harlem Democratic League*, 13002, 17MQ025;
- 6) *SBE v. Bellwood First Party*, 15371, 17AM014;
- 7) *SBE v. Illinois Association of Chiefs of Police PAC*, 17441, 17MQ033;
- 8) *SBE v. Citizens for Chris Nybo*, 18592, 17AD059;
- 9) *SBE v. Friends of Elgie Sims*, 22210, 17MQ055;
- 10) *SBE v. Friends of Fran Hurley*, 23955, 17AD062;

- 11) *SBE v. Citizens for Rachell Entler*, 30611, 17MQ122;
- 12) *SBE v. Citizens Supporting District 102 Vote Yes on Referendum*, 32345, 16D1018;
- 13) *SBE v. Smith for Mayor Campaign*, 32581, 17MA033;
- 14) *SBE v. Friends of Casey Nesbit*, 32868, 17D1029.

He concurred with the hearing officer recommendation to grant the appeals. No one was present on behalf of the respondent committees. Member Carruthers moved to grant the above noted appeals. Member Scholz seconded the motion which passed by roll call vote of 8-0.

Member Scholz moved to grant the appeal for agenda item 2.a.4) *SBE v. Godfrey District 1 Republicans*, 7453, 17MQ015. Member Watson seconded the motion which passed by roll call vote of 7-0. Member Carruthers recused himself from the vote.

Member Watson moved to grant the appeal for agenda item 2.a.20) *SBE v. Holland & Knight LLP IL Committee for Effective Government*, 16109, 17AD057. Member Carruthers seconded the motion which passed by roll call vote of 6-0. Chairman Cadigan and Vice Chairman Keith recused themselves from the vote.

Agenda item 2.a.30) *SBE v. Friends Supporting Flora Digby*, 26225, 16MA066 was presented and Mr. Menzel concurred with the hearing officer recommendation to deny the appeal. Ms. Digby was present and indicated that the committee did not want to dissolve at this time. It was decided to table the matter later in the meeting so Ms. Digby could meet with campaign disclosure staff to discuss the procedure for settlement offers.

The meeting recessed at 10:42 a.m. for a board member group photo and reconvened at 10:46 a.m.

Mr. Menzel presented the following appeals of campaign disclosure fines for agenda items 2.a.15-19 & 31-43:

- 15) *SBE v. Calhoun County Democratic Central Committee*, 1322, 17MQ012;
- 16) *SBE v. Citizens for David Orr*, 4314, 16AS057;
- 17) *SBE v. Friends of Rick Stone*, 7416, 17MQ014;
- 18) *SBE v. Citizens for Jim Langfelder*, 12048, 17AM009;
- 19) *SBE v. IL Association of Aggregate Producers PAC*, 13314, 17AM010;
- 20) *SBE v. Holland & Knight LLP IL Committee for Effective Government*, 16109, 17AD057;
- 21) *SBE v. Downstate Democratic Caucus*, 14859, 17AD016;
- 22) *SBE v. Committee to Re-Elect Larry R. Rogers*, 18001, 16MA059;
- 23) *SBE v. LIUNA AFL-CIO Local 362 PAC*, 20149, 17MQ046;
- 24) *SBE v. Citizens for Paul Chialdikas*, 21247, 17AD060;
- 25) *SBE v. Committee to Elect Susan Sarfaty*, 24222, 17MQ077;
- 26) *SBE v. Citizens to Elect Anthony Coleman for Mayor of North Chicago*, 24540, 17DQ066;
- 27) *SBE v. Edwardsville Education Association – IPACE*, 25208, 17AD065;
- 28) *SBE v. Two Rivers PAC*, 25296, 17AD066;
- 29) *SBE v. Twelve PAC*, 26056, 17AD070;
- 31) *SBE v. Citizens for Julie Schmidt*, 27284, 17MQ114;
- 32) *SBE v. Citizens to Elect Robin D. Shoffner*, 29601, 17DQ092;
- 33) *SBE v. Voter Data Information PAC*, 31845, 17MQ127;
- 34) *SBE v. The Committee to Elect Michael Strange*, 32073, 17MQ132;
- 35) *SBE v. 32<sup>nd</sup> Ward Fighting Democrats*, 32323, 17MA029;

- 36) *SBE v. Township Citizens Party*, 32410, 17AD080 & 17AM030;
- 37) *SBE v. Prosperity for Lisle*, 32478, 17AM036;
- 38) *SBE v. Friends of Scott Bush*, 32572, 17AM041;
- 39) *SBE v. Citizens for Joan Dykstra*, 32592, 17MQ165;
- 40) *SBE v. Secure Services for Seniors*, 32705, 17MA035;
- 41) *SBE v. People to Elect Natavias Ervins*, 32780, 17D1018;
- 42) *SBE v. Friends of Cassandra J. Matz*, 32812, 17D1021;
- 43) *SBE v. Citizens to Support the Limiting Rate Referendum for DeKalb County Committee*, 32832, 17MA041.

He concurred with the hearing officer recommendation to deny the appeals. No one was present on behalf of the committees. Member Scholz moved to deny the above noted appeals. Member Watson seconded the motion which passed by roll call vote of 8-0. Member Keith recused himself from item 18.

Agenda item 2.a.45) *SBE v. Citizens to Elect Perry D. Browley*, 32612, 17MA034 was presented and Mr. Menzel concurred with the hearing officer recommendation to grant the appeal in part and deny the appeal in part. Mr. Browley was present and indicated the committee was new to the filing requirements. It was decided to table the matter later in the meeting so Mr. Browley could meet with campaign disclosure staff to discuss the procedure for settlement offers.

Mr. Menzel presented agenda item 2.a.44) *SBE v. Friends of Gregory Livingston*, 32004, 16MA070 and concurred with the hearing officer recommendation to grant the appeal in part and deny the appeal in part. No one was present on behalf of the committee. Member Carruthers moved to accept the recommendation of the General Counsel and hearing officer. Vice Chairman Keith seconded the motion which passed by roll call vote of 8-0.

The Board returned to agenda item 2.a.30) *SBE v. Friends Supporting Flora Digby*, 26225, 16MA066 and Ms. Digby offered a settlement of \$150.00. Mr. Menzel recommended the offer be accepted. Member Watson moved to deny the appeal and accept the \$150 settlement offer to be paid within thirty days. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The Board returned to agenda item 2.a.450 *SBE v. Citizens to Elect Perry D. Browley*, 32612, 17MA034 and Mr. Browley offered a settlement of \$680.04, which was the remaining funds balance. Mr. Menzel recommended the offer be accepted. Member McGuffage moved to grant the appeal in part and deny the appeal in part and accept the settlement offer to be paid within thirty days. Member Scholz seconded the motion which passed by roll call vote of 8-0.

Mr. Menzel presented a violation of a board order for agenda item 2.a.46) *SBE v. Citizens for Curtis*, 16JQ105 & 17DQ086 and summarized the matter. The committee was ordered to file amended reports to correct the negative balances within thirty days and failed to do so. Member Scholz moved to impose a fine of \$5,000.00 for violation of the board order. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

Violation of a board order for agenda item 2.a.47) *Johnson v. District 228 School Board Parents for Progress*, 17CD056 was presented and the committee failed to file the amended reports as ordered within thirty days. Member Scholz moved to impose a fine of \$5,000.00 for violation of the board order. Member Watson seconded the motion which passed by roll call vote of 8-0.

Mr. Menzel discussed assessments for unfilled reports and asked for a motion to authorize the policy changes outlined in Tom Newman's memo on pages 228-229 of the board packet. Member Carruthers moved to adopt Mr. Newman's proposals. Member Linnabary seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty assessments necessitating a final board order was presented. Member Scholz moved to assess the civil penalty against the committee listed on pages 230-236 of the board packet. Member Watson seconded the motion which passed by roll call vote of 8-0.

Member Scholz moved to recess to executive session to consider complaints following closed preliminary hearing. Member Linnabary seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 11:00 a.m. and reconvened at 11:10 a.m. with all Members present.

As to agenda item 2.a.51) *Paus v. Seaman, et al*, 17CD006, Member Carruthers moved to dismiss the complaint for want of prosecution. Member Linnabary seconded the motion which passed by roll call vote of 8-0.

As to agenda item 2.a.52) *Durkin v. Winston*, 17CD050, Member Carruthers moved to dismiss the complaint for want of prosecution. Member Linnabary seconded the motion which passed by roll call vote of 8-0.

As to agenda item 2.a.53) *Gilroy v. Democratic Party of DuPage County*, 17CD058, Member Carruthers moved to dismiss the complaint because it was beyond the Board's jurisdiction and direct staff to refer the matter to the appropriate State's Attorney for potential prosecution with a copy of said letter to complainant upon sending. Member Linnabary seconded the motion which passed by roll call vote of 8-0.

Next on the agenda was proposed changes to Administrative Rules – Part 100 Campaign Financing & Part 125 Practice and Procedure. Member Watson moved to place the matter on the September meeting agenda to allow more time to review the revisions. Member Scholz seconded the motion which passed unanimously.

Executive Director Sandvoss presented a request from the Presidential Advisory Commission on Election Integrity (PACEI) and reviewed the history of the matter. The first request letter was received by the Secretary of State's office on July 5 and was immediately forwarded to the State Board of Elections. The letter requested that the agency provide PACEI with a copy of the state's publicly available voter data and respond to several inquiries. Mr. Menzel's letter dated July 7 advised PACEI that Illinois does not have publicly available voter data and that state statute protects the confidentiality and privacy of voter registration data, limiting its release to registered political committees and governmental entities. On July 27 the agency received a revised request from PACEI seeking information as would be provided to, in their words, political candidates, journalists, and other interested members of the public. The Commission stated that the voter data provided by the states would not be released to the public. Mr. Sandvoss said that the request has generated concern amongst staff and various special interest groups. He also noted that the revised request did not include the \$500 fee that the agency charges political committees and governmental entities to receive the voter data files.

Mr. Menzel spoke with the Attorney General's office and they raised two main concerns regarding the revised request from PACEI. First, they believe that any information provided to

PACEI would have to be made public under the Federal Advisory Committee Act and Freedom of Information Act. Second, they question as to whether PACEI is pursuing a proper governmental purpose to qualify to receive voter data under the Illinois Election Code. Mr. Menzel also felt that analysis of the limited voter data could generate hundreds of false positives for every double registration that would be identified and that a federal commission would not be in a position to perform the follow up work for accurate determinations. He noted that a proposed narrative statement addressing the questions in the first request was included in the board packet along with his recommendation. Mr. Menzel suggested that Board first inform PACEI that governmental entities seeking the statewide voter data file are required to submit the required \$500 fee. Next, the Board should advise PACEI that the Illinois Attorney General does not believe the voter data could be kept confidential under the Federal Advisory Commission Act and the Federal Freedom of Information Act and ask PACEI to provide its legal basis for its claim that it would be exempt from disclosure under those Acts. Also, the Board should inquire as to exactly what PACEI plans to do with the data, how they plan to undertake their statistical analysis and what will be done with the results. Lastly, the Board should transmit the narrative statement answering the seven questions.

Chairman Cadigan opened the floor for discussion amongst the board and each member offered their thoughts and concerns. Mr. Menzel noted that over the last couple of years approximately eighty requests were received for the statewide voter registration database file. The requests include those from registered candidate and party political committees and federal district court clerks on a case by case basis. Steve Held, representing Indivisible Chicago, was present and Chairman Cadigan invited him to offer his public comments on the matter. Mr. Held offered his opinions on why the State of Illinois should not release voter information to PACEI and also urged the agency to withdraw from the Interstate Voter Registration Crosscheck. He then asked the board to ultimately refuse to comply in any way with the Commission's request because he felt it does not serve a legitimate government purpose. He also asked the Board to place the issue of withdrawal from the Interstate Voter Registration Cross-Check on the next board meeting agenda. Chairman Cadigan noted that staff was in the process of gathering information from the local election authorities regarding the Cross Check program. After discussion, the Board agreed to direct Mr. Menzel to draft a response along the lines of his recommendation including proposed answers to the seven questions on the PACEI request. The proposed correspondence will be posted on the agency website and placed on the September meeting agenda for review.

Chairman Cadigan informed the audience that Mr. Held was given the latitude to speak during the middle of the meeting instead of public comments because his material was well-researched and represented a broad cross-section of individuals and organizations. The others that have requested to speak are all on the same topic, and it is the policy to not allow public comments from multiple individuals on the same topic. Chairman Cadigan indicated the opportunity for those remaining to leave the meeting if they felt Mr. Held represented their views reasonably well. The Board took a short break at 12:10 p.m. and returned at 12:20 p.m.

Executive Director Sandvoss presented the legislative update and stated that SB1933, Automatic Voter Registration was still on the Governor's desk awaiting his signature. Chairman Cadigan discussed the creation of a legislative subcommittee and he and Vice Chairman Keith felt that two board members should be assigned the task to work with executive staff on reviewing legislative proposals and matters of that nature. Chairman Cadigan appointed Member Carruthers and Vice Chairman Keith appointed Member McGuffage and thanked them for agreeing to serve in these roles.

Kyle Thomas began the IVRS/IT update by referring to his memo and spreadsheet on pages 282-285 of the board packet. He noted that at the July board meeting, it was erroneously reported that 1,089 potential double voters were identified. The actual number was 1,379 total records identified through the process since IVRS was implemented. Of those, 765 of the matches were determined to be separate voters and no instances of double voting. It was also pointed out that 357 of the matches were individuals that improperly signed a ballot application, for example, a father signed for his son. In conclusion, 257 of the records appear to be double voting based on the election authority investigations and 65 of those records have been sent to appropriate law enforcement for further review. Mr. Thomas noted that this report is not a full representation of all vote fraud and that other types of fraud could be possible.

Kevin Turner indicated that progress continues on the mandates set forth by SB172 and he is anticipating the changes that will need to be implemented once SB1933 is signed. Since no supplemental appropriation will be received staff will do the best they can to comply with those requirements as they have for implementation of SB172. Mr. Turner reviewed his current projects which include database conversion from version 2012 to 2016, updates on CPREX for records examinations and conversion of the public website to a new development framework. It was also reported that the vendor credit hold should be lifted soon which would allow the purchase of various computer equipment items and much needed licenses. Mr. Turner indicated that he prepared a listing of equipment, services and additional staff that will be necessary to implement SB 1933, which totaled approximately \$940,000.00. Member Keith moved to direct staff to prepare and request a supplemental appropriation to implement SB1933. Member Watson seconded the motion which passed unanimously.

Mr. Sandvoss presented the social media update and asked Amy Kelly to report on the matter. The agency Facebook account has been up and sharing information that is posted on the agency website. Ms. Kelly then requested permission to set up an agency Twitter account as well to continue the sharing of information to even more individuals. The Board unanimously agreed to direct staff to open the agency Twitter account.

A report from Mr. Thomas on his participation at the International Association of Government Officials (iGO) Conference was included on pages 286-288 of the board packet for informational purposes.

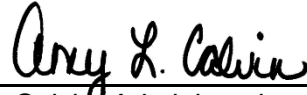
The reports of fiscal activity and two year plan of staff activity were presented for informational purposes. The Board directed staff to include a full report of the 4x4 swap at the September meeting.

Chairman Cadigan indicated several individuals requested to speak during the public comments section of the meeting and reminded them of the five minute time limit for each person. The following individuals spoke during this portion of the meeting: Dr. Laura Chamberlain on behalf of Clean Count Cook County; Sandra Alexander on behalf of Western Divisible Suburban Engagement; Linda Kallis; Mary Schaafsma on behalf of the League of Women Voters in Illinois; Joelle Munchek on behalf of Lawyers for Good Government; and Dr. Nicole Anderson Cobb on behalf of State Representative Carol Ammons in the 103rd District. Opinions were voiced regarding electronic voting machines, cyber-attacks, voter fraud, the PACEI request and cross-check program.



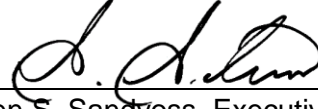
With there being no further business before the Board, Member O'Brien moved to adjourn until Monday, September 18, 2017 in Springfield. Member Scholz seconded the motion which passed unanimously. The meeting adjourned at 1:35 p.m.

Respectfully submitted,

Handwritten signature of Amy L. Calvin in black ink.

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Amy L. Calvin, Administrative Assistant II

Handwritten signature of Steven S. Sandvoss in black ink.

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Steven S. Sandvoss, Executive Director

RECEIVED  
AUG 15 2017  
State Board of Elections



Proposed Settlement Offer for Assessed Fines

Committee Name: Citizens for David Friess ID# 24200

Unpaid Fine Total: \$ 1,400.00 (F)

Settlement Offer: \$ 250.00 (S)

Offer equals  $\frac{17.86}{(S + F) \times 100}$  % of Fine Total

- ☐ A check for the settlement amount is attached. (If the settlement offer is rejected, the check will be returned.)
- ☐ I waive appearance at any hearing regarding this settlement offer.

Information from Committee's 4 most recent Quarterly Reports:

Ending Balance:	\$ 460.00 (B1)	Total Receipts:	\$ 0.00 (R1)
	\$ 460.00 (B2)		\$ 0.00 (R2)
	\$ 460.00 (B3)		\$ 0.00 (R3)
	\$ 460.00 (B4)		\$ 0.00 (R4)

Avg. Ending Balance:  $\frac{\$ 460.00 (AB)}{(B1+B2+B3+B4) \div 4}$  Avg. Total Receipts:  $\frac{\$ 0.00 (AR)}{(R1+R2+R3+R4) \div 4}$

Ratio of fine/balance:  $\frac{304.35}{(F + AB) \times 100}$  % Ratio of fine/receipts:  $\frac{\phantom{000}}{(F + AR) \times 100}$  %

Legal considerations, additional information or special circumstances:  
The settlement offer made here comports with the Board's stated policy for committees whose average fund balance is \$1000 or less.

Verification  
I declare that this settlement offer has been examined by me and to the best of my knowledge and belief is true, accurate and complete. I understand that failure to complete all parts of this form may result in the offer being summarily rejected. I also understand the Board is under no legal obligation to accept any settlement offer.  
Signature and Printed Name of Committee's Chairman, Treasurer or Candidate: [Signature] Date: 8/15/17

Return to: State Board of Elections  
2329 S. MacArthur Blvd.  
Springfield, IL 62704-4503 or fax to: 217-782-5959

STATE OF ILLINOIS       )  
                                      ) SS  
COUNTY OF COOK        )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:                )  
                                      )  
State Board of Elections        )  
    Complainant(s),            )  
                                      )  
Vs.                                ) 16 JQ 161  
                                      )  
Citizens for David Friess       )  
    Respondent(s).             )

**FINAL ORDER**

TO:    Citizens for David Friess                               ID# 24200  
        408 Willow Run Drive  
        Red Bud, IL 62278-2412

This matter coming to be heard this 22<sup>nd</sup> day of February, 2017 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

**THE BOARD FINDS**

1. On December 20, 2016, in case number 16 JQ 161, a \$1400.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2016 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee; and
3. Previously, the committee was assessed the following penalties:
  - a) On December 18, 2012, a penalty of \$1500.00 for failing to file noted Schedule A-1s during the third quarter of 2012 (12 AS 042),
  - b) On November 14, 2014, a penalty of \$50.00 for the delinquent filing of the June 2014 Quarterly report (14 JQ 102),


These assessments were not appealed, the Schedule A-1 assessment was reduced to \$150.00 by the Board, and have been paid by the committee.

4. The committee filed a Final Report on August 24, 2016.

**IT IS ORDERED:**

1. A civil penalty in the amount of \$1400.00 is hereby imposed and will be abated on February 24, 2019 so long as the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b); and
2. The effective date of this Order is February 24, 2017; and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/24/2017

  
Charles W. Scholz, Chairman

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**17 MQ 001**

Iroquois County Democratic Central Committee  
**Respondent**

**ID #359**

**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment for Delinquently Filing  
the March 2017 Quarterly report

The 2017 March Quarterly report was received by the Board on 4/28/17, 9 days late resulting in a \$225 civil penalty.

Patrick McCullough, the Treasurer of the Committee filed a Request for Hearing and submitted an Appeal Affidavit.

The Hearing was held on 8/15/17. Mr. McCullough states the Committee completed the D-2 form and faxed it to the fax number found on the D-1 Statement of Organization from the Board's website on 4/11/17. The Committee received an assessment letter dated 4/24/17 for failure to file the March 2017 Quarterly report. After contacting the Board, Mr. McCullough was told that the fax number he used was not valid and immediately faxed the Quarterly report again using the correct fax number. He was able to provide a copy of the D-1 Statement of Organization with the invalid fax number and a copy of the fax receipt dated 4/11/17.

I recommend the appeal be granted. Although the copy of the D-1 Statement of Organization provided by Mr. McCullough did show an incorrect fax number, the number in question was discontinued in June, 2016 and the D-1 form on the website was updated at that time. However, based on the fax receipt date 4/11/17, 4 business days before the deadline, it is clear the Committee made a good faith effort to timely file the Report.

(As of 6/30/17, this Committee reported a funds available balance of \$341.05.)



Kim Patrick – Hearing Officer  
August 15, 2017

State of Illinois )  
County of \_\_\_\_\_ )

PROCEEDINGS  
STATE BOARD  
OF ELECTIONS

2017 JUL 10 10 54 AM

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS. )

Complainant )

Vs. )

Case No. 17MQ001  
F359

Troquois County Democratic Central Committee  
Respondent(s). )

APPEAL AFFIDAVIT

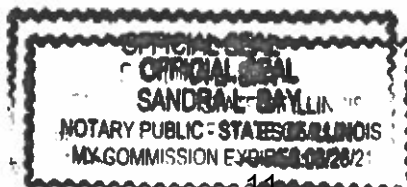
I, Patrick D. McCullough Jr., the Treasurer of the  
(Name) (Chairman/Treasurer)  
Troquois County Democratic Central Committee  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Forms were completed and Faxed to a  
number found on the Board of Elections website.  
I have a Sent Receipt that my Fax machine  
Printed and the Page with the Fax number.  
I was later informed that the Fax number was not valid.

Signed and Sworn to by:  
Patrick D. McCullough Jr.  
before me this 7th Day of  
July, 2017  
Sandra L. Bay  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)





McCULLOUGH  
IMPLEMENT COMPANY

1966 N State Route 1, Watseka, IL 60970

www.McAgPlus.com

855.488.6728

To: IL Board of Elections

Fax: 217-557-5630

Phone:

From: Patrick McCullough

Fax: 815-383-4321

Phone: 815-383-3937

Date: 4-11-17

Email: PJMCC77@ICLOUD.com

Subject: 1st qtr 2017 D-2 Form

Comments:

Here is our 1st qtr D2 Form  
for ICDC ID # 359-13

If there is an issue with this  
form please call 815-383-3937

Exhibit

Thank You

#1

**FORM****D-2****REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES  
(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)**

- ☒ Quarterly Report:  
(check one) ☒ 1<sup>st</sup>, ☐ 2<sup>nd</sup>, ☐ 3<sup>rd</sup>, ☐ 4<sup>th</sup>
- ☐ Final Report
- ☐ Amendment of the Report Indicated Above

**FOR OFFICE USE ONLY**

Full name and complete mailing address of Political Committee:

Iroquois County Democratic Central  
Committee  
P.O. Box 86  
Watseka, IL 60970

☐ CHECK IF ADDRESS CHANGE

e-mail address: icdcc37@gmail.com

359-13

COMMITTEE ID#

**REPORTING PERIOD**

01/01/07 | 03/31/17

FROM THRU

**CASH AVAILABLE AT THE  
BEGINNING OF THE REPORTING  
PERIOD: \$ 525.86**

Repeat this amount in SECTION D line (A).

**ALL POLITICAL COMMITTEES RETURN TO:**

STATE BOARD OF ELECTIONS  
2329 S MACARTHUR BLVD  
SPRINGFIELD, IL 62704-4503

OR

STATE BOARD OF ELECTIONS  
JAMES R. THOMPSON CENTER  
100 W RANDOLPH ST, STE 14-100  
CHICAGO, IL 60601-3232

**SECTION A - RECEIPTS****1. Individual Contributions**

a. Itemized (from Schedule A): \$ \_\_\_\_\_ (1a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (1b)

**2. Transfers In**

a. Itemized (from Schedule A): \$ \_\_\_\_\_ (2a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (2b)

**3. Loans Received**

a. Itemized (from Schedule A): \$ \_\_\_\_\_ (3a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (3b)

**4. Other Receipts**

a. Itemized (from Schedule A): \$ \_\_\_\_\_ (4a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (4b)

**TOTAL RECEIPTS (1a thru 4b) \$ \_\_\_\_\_**

**5. In-Kind Contributions**

a. Itemized (from Schedule I): \$ \_\_\_\_\_ (5a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (5b)

**TOTAL IN-KIND (5a+5b) \$ \_\_\_\_\_**

Name & address of person submitting this report if other than the  
committee's chairman or treasurer:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SECTION B - EXPENDITURES****6. Transfers Out**

a. Itemized (from Schedule B): \$ \_\_\_\_\_ (6a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (6b)

**7. Loans made**

a. Itemized (from Schedule B): \$ \_\_\_\_\_ (7a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (7b)

**8. Expenditures**

a. Itemized (from Schedule B): \$ \_\_\_\_\_ (8a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (8b)

**9. Independent Expenditures**

a. Itemized (from Schedule B-9): \$ \_\_\_\_\_ (9a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (9b)

**TOTAL EXPENDITURES (6a thru 9b) \$ \_\_\_\_\_**

**SECTION C - DEBTS AND OBLIGATIONS**

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ \_\_\_\_\_ (10a)

b. Not-Itemized:..... \$ \_\_\_\_\_ (10b)

**TOTAL DEBTS & OBLIGATIONS: \$ \_\_\_\_\_**

**SECTION D - CASH BALANCE**

Cash available at the beginning of the

the reporting period: \$ 525.86 (A)

**Total Receipts from Section A: \$ \_\_\_\_\_ (B)**

**Total Cash (A) plus (B): \$ 525.86 (C)**

**Total Expenditures from Section B: \$ \_\_\_\_\_ (D)**

Funds available at the close of the

reporting period (C) minus (D): \$ 525.86 (E)

**INVESTMENTS TOTAL: \$ \_\_\_\_\_ (F)**

**VERIFICATION**

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS)  
HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF  
THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND  
UP TO \$5000.

04-11-2017

**SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE ONLY****DATE**

THIS FORM MAY BE REPRODUCED

PAGE 1 of 2

REVISED 5/1/15



1966 N State Route 1, Watseka, IL 60970

www.McAgPlus.com

888.418.6728

To: Tom Newman

Fax: 217-782-5959

Phone: 217-782-4141

From: Patrick McCullough

Fax: 815-432-2314

Phone: 815-383-3937

Date: 4-28-17

Email: PSMCC77@ICLOUD.COM

Subject: Filing D2 Report 1st atr

Comments: 5 pages including this cover sheet

Tom

We recieved this letter stating that we did not send in our 1st gtr D2 Report (pg 2) Enclised is our Fax Send report printed by our Fax machine as proof of sending dated April 11 2017 (Pg 3). Page 4 and Page 5 are the original pages that were Faxed April 11th

thanks

Patrick McCullough  
Treasurer ICDC



STATE BOARD OF ELECTIONS

STATE OF ILLINOIS



EXECUTIVE DIRECTOR  
Steven S. Sandvoss

2329 S. MacArthur  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago, Illinois 60601  
312/814-6440  
Fax: 312/814-6485

BOARD MEMBERS

Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William A. McGuffage  
Casandra B. Watson

Iroquois County Democratic Central  
Comm  
PO Box 86  
Watseka, IL 60970

4/24/2017

Iroquois County Democratic Central Comm  
Committee ID: 359

Dear Political Committee:

Our records indicate that your committee has failed to file the following document during the filing period.

Report Type: Quarterly Report of Campaign Contributions and Expenditures  
Report Period: Jan 1, 2017 through Mar 31, 2017  
Filing Period: Apr 1, 2017 through Apr 17, 2017

Based upon your committee's failure to comply with the provisions of 10 ILCS 5/9-10 of the Election Code, it is being assessed a civil penalty for each day this report remains unfiled.

After your report is received in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty, if you feel you have been assessed such penalty in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period of time, you forfeit the right to contest this assessment notice at any time in the future.

The assessed civil penalty shall be stayed for a first time violation. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of another penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days.

If you fail to file a report, a complaint may be filed against this committee.

My staff and I are available to provide assistance in this matter.

Sincerely yours,

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

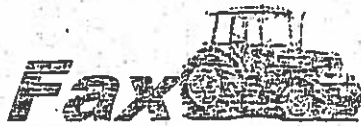
Tom Newman  
Director of Campaign Disclosure

# Fax Send Report

APR-11-2017 03:27 PM TUE

Fax Number : 815 432 2314  
Name : MCCULLOUGH IMP

Name/Number : 12175575630  
Page : 0  
Start Time : APR-11-2017 03:26PM TUE  
Elapsed Time : 00'00"  
Mode : STD G3



**MCCULLOUGH**  
IMPLEMENT COMPANY  
1966 N State Route 1, Watseka, IL 60970  
www.McAgPlus.com  
888.418.6728

To: IL Board of Elections  
From: Patrick McCullough  
Fax: 217-557-5630  
Fax: 815-389-432-2314  
Phone: 815-389-3937  
Date: 4-11-17  
Email: PJ.MCC77@ICLOUD.COM  
Subject: 1st gtr 2017 D-2 Form

Comments:

Here is our 1st gtr D2 Form  
for ICDC ID # 359-13

If there is an issue with this  
form please call 815-389-3937

Thank You

Exhibit  
#2



FORM

D-1

## STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

E-MAIL ADDRESS:

☐ CHECK HERE IF ADDRESS CHANGEPOLITICAL COMMITTEE  
IDENTIFICATION No.

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED:	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$
3.	<input type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF <u>ANY</u> CHANGES ENTERED ON <u>Y</u> <u>THOSE CHANGES FROM LAST D-1</u> <u>ON FILE.</u> ) <input type="checkbox"/> REACTIVATING		
4.	<p>POLITICAL COMMITTEE'S DESIGNATION:</p> <p><input type="checkbox"/> CANDIDATE POLITICAL COMMITTEE *For purposes of contribution limits, multiple offices elected at different times</p> <p><input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY ** May not make direct contributions</p> <p><i>Exhibit #3</i></p> <p><i>Downloaded on 4/20/2017</i></p> <p><i>4/20/2017</i></p> <p><i>11</i></p>		
5.	<p>POLITICAL COMMITTEE'S AREA (COUNTY):</p> <p>A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES): (not applicable if operating statewide or supporting ballot initiatives)</p> <p>N. COMMITTEE SUPPORTING A CANDIDATE FOR ELECTION: This office is:</p> <p>B. POLITICAL PARTY AFFILIATION:</p> <p>C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:</p>		
6.	PURPOSE OF THE POLITICAL COMMITTEE.		
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)		

NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
	<input type="checkbox"/>	<input type="checkbox"/>		

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

Revised 7/9/12

COMMITTEE NAME:	POLITICAL COMMITTEE IDENTIFICATION No.:
-----------------	---

<b>8.</b>	<b>REQUIRED COMMITTEE OFFICERS.</b>	
POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN		
TREASURER		

<b>9.</b>	<b>POSITION, NAME &amp; MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.</b>	
POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

<b>10.</b>	<b>LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)</b>
NAME	MAILING ADDRESS AND PHONE NUMBER

<b>11.</b>	<b>DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:</b>
<input type="checkbox"/>	RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
<input type="checkbox"/>	TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
<input type="checkbox"/>	TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

**VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY**

I DECLARE THAT THIS BALLOT INITIATIVE COMMITTEE IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

<b>PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON</b>	<b>DATE</b>
---	-------------

**VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY**

I DECLARE THAT (i) THIS INDEPENDENT EXPENDITURE COMMITTEE IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES, (ii) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION, (iii) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE, OR POLITICAL ACTION COMMITTEE, AND (iv) FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE.

<b>PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON</b>	<b>DATE</b>
---	-------------

**VERIFICATION: ALL POLITICAL COMMITTEES**

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

<b>PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE</b>	<b>DATE</b>
--	-------------

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS  
2329 S MACARTHUR BLVD  
SPRINGFIELD, IL 62704-4503  
fax: 217-557-5630  
e-mail: [D1@ELECTIONS.IL.GOV](mailto:D1@ELECTIONS.IL.GOV) (D-1s ONLY)

STATE BOARD OF ELECTIONS  
JAMES R. THOMPSON CENTER  
100 W RANDOLPH ST, STE 14-100  
CHICAGO, IL 60601-3232  
fax: 312-814-6485  
e-mail: [D1@ELECTIONS.IL.GOV](mailto:D1@ELECTIONS.IL.GOV) (D-1s ONLY)



FORM

D-1

## STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

E-MAIL ADDRESS:

POLITICAL COMMITTEE  
IDENTIFICATION No.

## SEE PAMPHLE

1.	DATE COMMITTEE CREA	<i>Exhibit #</i>	<i>noticed a change 8/2017 changed</i>
3.	<input type="checkbox"/> NEW COMMITTEE (MUST BE ON FILE) <input type="checkbox"/> AMENDMENT (MUST BE ON FILE) <input type="checkbox"/> REACTIVATING		
4.	POLITICAL COMMITTEE? <input type="checkbox"/> CANDIDATE POLITICAL *For purposes of contributing to multiple offices elected a  <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <input type="checkbox"/> INDEPENDENT-EXPENDITURE-ONLY PAC** ** May not make direct contributions or coordinated expenditures.		
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): (not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives)  B. POLITICAL PARTY AFFILIATION: C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:		
6.	PURPOSE OF THE POLITICAL COMMITTEE.		
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)		

CHANGE

URE" FOR GUIDANCE.

OF FUNDS AVAILABLE AS OF  
DATE :\$

WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS

ER ONLY THOSE CHANGES FROM LAST D-1

ONLY ONE:

Political Committee supporting a candidate for  
y listing the appropriate office. This office is:

NAME AND ADDRESS

SUPPORT

OPPOSE

OFFICE

PARTY AFFILIATION



IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

THIS FORM MAY BE REPRODUCED

PAGE 1 OF 2

Revised 7/9/12

COMMITTEE NAME:

POLITICAL COMMITTEE IDENTIFICATION No.:

**8. REQUIRED COMMITTEE OFFICERS.**

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN		
TREASURER		

**9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.**

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

**10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.  
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)**

NAME	MAILING ADDRESS AND PHONE NUMBER

**11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:**☐ RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.☐ TRANSFER TO ANOTHER POLITICAL COMMITTEE: \_\_\_\_\_☐ TRANSFER TO A CHARITABLE ORGANIZATION: \_\_\_\_\_

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

**VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY**

I DECLARE THAT THIS **BALLOT INITIATIVE COMMITTEE** IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY, ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION, THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION. AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

**PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON****DATE****VERIFICATION: INDEPENDENT EXPENDITURE COMMITTEES ONLY**

I DECLARE THAT (i) THIS **INDEPENDENT EXPENDITURE COMMITTEE** IS FORMED FOR THE EXCLUSIVE PURPOSE OF MAKING INDEPENDENT EXPENDITURES, (ii) ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THE STATEMENT OF ORGANIZATION, (iii) THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THE INDEPENDENT EXPENDITURE COMMITTEE DOES NOT MAKE CONTRIBUTIONS TO ANY CANDIDATE POLITICAL COMMITTEE, POLITICAL PARTY COMMITTEE, OR POLITICAL ACTION COMMITTEE, AND (iv) FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THE COMMITTEE IN VIOLATION OF THIS ARTICLE.

**PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON****DATE****VERIFICATION: ALL POLITICAL COMMITTEES**

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

**PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE****DATE**

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS  
2329 S MACARTHUR BLVD  
SPRINGFIELD, IL 62704-4503

fax: 217-782-5959

e-mail: [D1@ELECTIONS.IL.GOV](mailto:D1@ELECTIONS.IL.GOV) (D-1s ONLY)

STATE BOARD OF ELECTIONS  
JAMES R. THOMPSON CENTER  
100 W RANDOLPH ST, STE 14-100  
CHICAGO, IL. 60601-3232

fax: 312-814-6485

e-mail: [D1@ELECTIONS.IL.GOV](mailto:D1@ELECTIONS.IL.GOV) (D-1s ONLY)

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 7, 2017

Iroquois County Democratic Central Committee ID# 359  
PO Box 86  
Watseka, IL 60970

7016 2140 0001 1517 9029

Dear Iroquois County Democratic Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contributions and Expenditures  
Report Period: January 1, 2017 through March 31, 2017  
Filing Period: April 1, 2017 through April 17, 2017

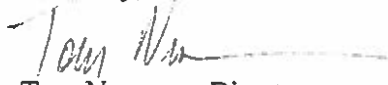
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/28/2017, 9 day(s) late. As such, this committee has been assessed a fine of \$225.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

  
Tom Newman, Director  
Campaign Disclosure Division

TM: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**17 MQ 067**

Committee to Elect Thomas Ganiere  
Cmte ID: 23718  
**Respondent**

**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment  
For Delinquently Filing the March 2017 Quarterly Report

The Report was received on April 28<sup>th</sup>, 2017, 9 days late, resulting in an assessment of \$450. The Committee was previously assessed \$50 (not appealed, stayed) for delinquently filing the September 2015 Quarterly Report. The total assessment is \$500.

Thomas Ganiere, the Candidate, as well as Chairman and Treasurer of the Committee, filed an Appeal Affidavit in this matter and testified at a hearing held at the Board's Springfield office at 10:30a.m. on Wednesday, August 16<sup>th</sup>, 2017.

Mr. Ganiere testified that he twice failed to access the IDIS 3 electronic filing system on April 6<sup>th</sup>, 2017, 11 days before the filing deadline for the March Quarterly Report, utilizing two different browsers in his attempts. He stated he contacted Board staff and was advised there were temporary server issues. Mr. Ganiere then attempted to access the system the next day and was unsuccessful. He acknowledged having simply forgotten to address the matter until receiving notice from the Board that the Report had not been filed.

Section 100.150 (5) of the Rules accompanying the Illinois Campaign Finance Act reads, in part, "If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically and, in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures) prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal." There is some acknowledged responsibility on Mr. Ganiere's part here, but the circumstances related to his attempts to file the report in a timely manner support allowance of a one-time use of an electronic filing defense. Accordingly, I recommend the appeal be granted. As of June 30<sup>th</sup>, 2017, the Committee reported a balance of \$1,015.41.



John Levin – Hearing Officer  
August 16<sup>th</sup>, 2017



State of Illinois )  
County of LaSalle )

PR  
OFFICE

2017 JUN 16 11:11

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Committee to Elect Thomas Ganiere )  
Respondent(s). )

Case No. 17MQ0167

APPEAL AFFIDAVIT

I, Thomas G. Ganiere, the Chairman of the  
(Name) (Chairman/Treasurer)  
Committee to Elect Thomas Ganiere  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On or about April 6th 2017 I attempted to log in to the IDIS web page to file my report and at that time there was some type of error and  
it would not allow me to log in. I attempted in one more time on that day and the follow day with the same error. After that I got busy and  
honestly forgot to go back and file the report until I received the notice of late filing. Due to the IDIS web site not being available for two  
days I am requesting that any fine against my committee be waived or in the alternative reduced to a nominal amount.

Signed and Sworn to by:  
Melanie Johnson  
before me this 22<sup>nd</sup> Day of  
June, 2017

[Signature]  
(Signature of Chairman/Treasurer)

Notary Public



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



**BOARD MEMBERS**  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

**EXECUTIVE DIRECTOR**  
Steven S. Sandvoss

June 7, 2017

ID# 23718

Committee to Elect Thomas Ganiere  
Thomas G Ganiere  
323 Taylor Street  
Ottawa, IL 61350

7016 2140 0001 1517 7896

Dear Committee to Elect Thomas Ganiere:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2017 through March 31, 2017
Filing Period:	April 1, 2017 through April 17, 2017

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/28/2017, 9 day(s) late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
September 2015	Quarterly	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$500.00</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,  
Campaign Disclosure Division

TM: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
Complainant

Vs.

17 MQ 080

Friends of Lisa L. Evans 24466  
Respondent

REPORT OF HEARING OFFICER

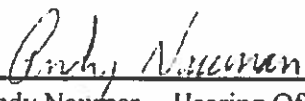
Appeal of Civil Penalty Assessment for Delinquent Filing of the March 2017 Quarterly Report

The March 2017 Quarterly Report was received by the Board on 5/10/17, 17 days late, resulting in a civil penalty assessment of \$850. Additionally, the Committee had previously been assessed a \$125 civil penalty (not appealed, paid) for delinquently filing the March 2015 Quarterly Report. The total assessment is \$850.

The Respondent was represented by attorney Max Solomon at the August 10<sup>th</sup> appeal hearing.

Mr. Solomon stated that his client, Lisa L. Evans, the candidate of Friends of Lisa L. Evans, went through the process to file the March 2017 Quarterly Report on April 12, 2017 and due to some technical error the report for some reason was not filed. He indicated that as Ms. Evans stated on her Appeal Affidavit she accessed the IDIS system and filed the report. Ms. Evans believes the system indicated that the report was successfully filed. Mr. Solomon stated that his client informed him that shortly after the filing period ended she was contacted by the Board letting her know that the report was not filed. She then attempted to contact the Board to inform them that she had filed the report and she thought the problem was within the Board's system. Since, she did not hear back from the Board she assumed all was well until she received a written notice saying the report had not been received. At this point in time even though she believes she had already filed the report she immediately refiled the report. The Respondent asks for leniency in this appeal as Ms. Evans believes she timely filed the report on April 12<sup>th</sup> and the Board did not get back in touch with her regarding her assumption the problem was with the Board's system. Mr. Solomon stated that if Ms. Evans would have known the report was not filed she would have taken action to correct the matter immediately and the report would not have been 17 days late.

Prior to this hearing I had a State Board of Elections IT employee look into and review the entry log for this Committee in our system and the log does not show anyone logged into the IDIS system on April 12<sup>th</sup> as claimed. The entry log shows the system was accessed on 1/11/17 and 5/10/17 however no system login was evident any time between those dates. Furthermore, I question whether Ms. Evans left the Board with her contact information when she attempted to contact the Board because the staff is very good about getting back to committees when they leave contact information. However, in order to be consistent with previous Board decisions where an electronic filing defense is raised, since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 6/30/17, this Committee reported a funds available balance of \$92.86.)

  
Andy Nauman – Hearing Officer  
August 11, 2017

State of Illinois )  
County of \_\_\_\_\_ )

FF  
STATE  
OF ILLINOIS

2017 JUN -3 PM 1:00

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
FRIENDS OF LISA L. EVANS )  
Respondent(s). )

Case No. 17M Q080  
#24466

APPEAL AFFIDAVIT

I, LISA EVANS, the Chairman of the  
(Name) (Chairman/Treasurer)  
FRIENDS OF LISA L. EVANS  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I accessed the filing system on April 12<sup>th</sup>  
and filed my report. I believe I received  
a "filed successful" indicator at the time of  
filing. When I received notice that I did not  
file, I believed it was already filed, and  
there was an error with your system.

Signed and Sworn to by:

Lisa Evans

before me this 14<sup>th</sup> Day of

June, 2017

Lynn Hughey  
Notary Public

Lisa A  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
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Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 7, 2017  
ID# 24466

Friends of Lisa L Evans  
Harry Evans, Jr  
5061 179th Street  
Country Club Hills, IL 60478-2921

Dear Friends of Lisa L Evans:

7016 2140 0001 1517 8015

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2017 through March 31, 2017
Filing Period:	April 1, 2017 through April 17, 2017

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/10/2017, 17 day(s) late. As such, this committee has been assessed a fine of \$850.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2013	Quarterly	\$125
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$975.00</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,  
Campaign Disclosure Division

TM: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

17AD 071

Friends for Blair (ID# 31678)  
**Respondent**

**REPORT OF HEARING OFFICER**

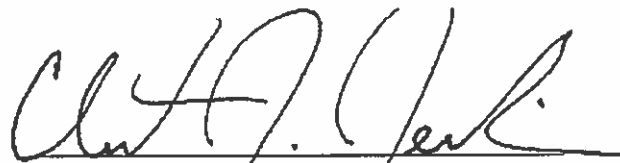
**Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports  
For the 4th Quarter of 2016**

The Committee received a \$1,000 contribution on 12/09/16 and a \$5,000 contribution on 12/09/16 and reported both on a Schedule A-1 received by the Board on December 19, 2016, 1 day late resulting in a \$3,000 civil penalty. In addition, the Committee was previously assessed a \$1,500 civil penalty (not appealed, stayed) for delinquently filing the March 2016 Quarterly Report. The total assessment is \$4,500.

Jonathan Blair Garber, Candidate of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 15, 2017.

At the Hearing, Mr. Jonathan Blair Garber stated that he mistakenly recorded the wrong dates for the contributions. He listed the dates received instead of the dates deposited. As evidence, the Candidate provided the Committee's bank records which confirm the contributions were deposited on December 14<sup>th</sup> and 15<sup>th</sup>, respectively.

Since the contributions were deposited on December 14<sup>th</sup> and 15<sup>th</sup>, respectively, they would have been in compliance with a Schedule A-1 report filed on December 19, 2016. It is the opinion of the Hearing Officer that the Committee produced sufficient evidence to support its claim and I recommend the appeal be **GRANTED**. If this recommendation is accepted by the Board, the stay will continue for the previous assessment. (As of 06/30/17, this Committee reported a funds available balance of \$3,770.00).

A handwritten signature in black ink, appearing to read 'Clinton J. Jenkins', written over a horizontal line.

Clinton J. Jenkins – Hearing Officer  
August 27, 2017

State of Illinois )  
County of \_\_\_\_\_ )

FILED  
OFFICE

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Blair )  
Respondent(s). )

Case No. 17ADC71

APPEAL AFFIDAVIT

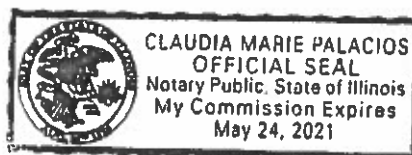
I, Jonathan Blair Garber, the Chairman of the  
(Name) (Chairman/Treasurer)  
Friends of Blair (#31678)  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

According to bank records the deposits were made on the 14th (\$5000) and 15th (\$1000) of December and not on the 9th as listed on the D-1

Signed and Sworn to by  
Blair Garber  
before me this 18th Day of July, 2017  
Claudia Marie Palacios  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 7, 2017

BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

Friends of Blair  
Barbara Parker  
1200 Lee Street  
Evanston, IL 60202

ID# 31678

7016 2140 0001 1517 6578

Dear Friends of Blair:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Joan Lasonde	12/09/2016	\$1000	12/19/2016	1	\$500
J.N. Pritzker	12/9/2016	\$5000	12/19/2016	1	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3000.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
March 2016	Quarterly	\$1500
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1800</b>



If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director  
Campaign Disclosure Division

TM: lm

Enclosures: appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

17 MA 030

Better Government for Alsip (ID# 32370)  
**Respondent**

**REPORT OF HEARING OFFICER**

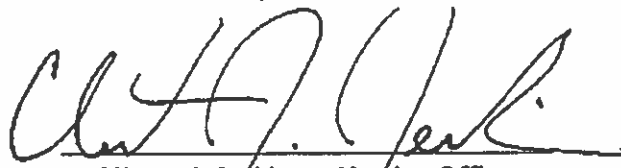
Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports  
For the 4th Quarter of 2016

The Committee received a \$1,000 contribution on 11/1/16 and reported it on a Schedule A-1 received by the Board on January 26, 2017, 51 days late. The Committee also received a \$1,500 contribution on 1/16/17 and reported it on a Schedule A-1 received by the Board on February 9, 2017, 13 days late, resulting in a combined \$1,250 civil penalty. In addition, the Committee was previously assessed a \$250 civil penalty (appeal pending) for delinquently filing the D-1 Statement of Organization and a \$175 civil penalty (appeal pending) for delinquently filing a the 2016 4<sup>th</sup> Quarter Report. The total penalty for this assessment is \$1,250.

John D. Ryan, Chairman of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 24, 2017.

Attorney Joseph Cainkar appeared on behalf of the Committee, accompanied by Mr. John Ryan. Mr. Cainkar stated that Mr. Ryan incorrectly identified the Committee's creation date by using the date that the Committee received its first contribution instead of the date the Committee crossed the \$5,000 threshold. The Committee did not cross the \$5,000 threshold until January 17, 2017. As evidence, the Committee provided copies of their bank statements to show a \$2000 contribution deposited on January 17<sup>th</sup>, which took the Committee over the threshold. Mr. Cainkar argued that since the Committee didn't cross the threshold until January 17, 2017, the Committee would not have been required to file a Schedule A-1.

It is the opinion of the Hearing Officer that the Committee produced sufficient evidence to support its claim that the Committee's creation date was 1/17/17. Therefore, no A-1 filing was required for either contribution in question, since they occurred prior to the creation date. I recommend the appeal be **GRANTED**. (As of 06/30/17, this Committee reported a funds available balance of \$5,265.76).



Clinton J. Jenkins – Hearing Officer

August 24, 2017

CHICAGO

State of Illinois )  
County of Cook )

17 APR 24 AM 11:46  
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Better Government for Alsip )  
Respondent(s). )

Case No. 17MA018  
#32370

APPEAL AFFIDAVIT

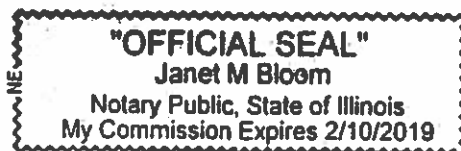
I, John D. Ryan, the Chairman of the  
(Name) (Chairman/Treasurer)  
Better Government for Alsip  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(See Rider).

Signed and Sworn to by:  
Janet M. Bloom  
before me this 21st Day of  
April, 2017  
Janet M. Bloom  
Notary Public

(Signature of Chairman/Treasurer)



(Continued from Appeal Affidavit)

**RIDER**

I mistakenly identified the date of formation of the Better Government for Alsip Committee (the "Committee") as the date it received its first contribution (*i.e.*, November 1, 2016). The Committee was not actually formed until January 17, 2017, the date on which the Committee received contributions totaling \$5,000. As a result of the mistaken identification of the formation date, Thomas Newman, Director of the Campaign Disclosure Division, determined that the Committee filed its D-1 Statement of Organization and December (2016) Quarterly Report late, and assessed fines therefor. Taking into account the Committee's actual formation date, its D-1 Statement of Organization was actually due January 31, 2017 and its December (2016) Quarterly Report was not due at all.

I have never undertaken the responsibility of filing campaign disclosure records before doing so with the Committee. Since making the mistake identified above, I have decided to engage a third-party to make the Committee's campaign disclosure filings. The Committee respectfully requests a finding that no violation of 10 ILCS 5/9-3 or 10 ILCS 5/9-10(b) occurred. The Committee also proposes that it be permitted to amend its D-1 Statement of Organization to reflect its actual formation date, and to file an amended March (2017) Quarterly Report to reflect contributions received before January 17, 2017 as funds on hand at the beginning of the reporting period.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Charles W Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J Cadigan  
Andrew K. Carruthers  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
March 24, 2017  
ID# 32370

Better Government for Alsip  
John D. Ryan  
11912 South LaVergne  
Alsip, IL 60803

7016 2140 0001 1516 3271

Dear Better Government for Alsip:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on November 23, 2016, 5 day(s) late. As such, this committee has been assessed a fine of \$250.00.

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2016 through December 31, 2016
Filing Period:	January 3, 2017 through January 17, 2017

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/26/2017, 7 day(s) late. As such, this committee has been assessed a fine of \$175.00.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

**The total for all new assessments is \$425.00.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 24, 2017 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

17 MA 018

Better Government for Alsip (ID# 32370)  
**Respondent**

**REPORT OF HEARING OFFICER**

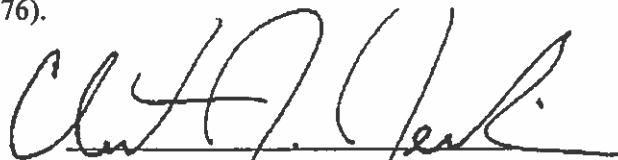
Appeal of Civil Penalty Assessment for Delinquently Filing  
The D-1 Statement of Organization and the December 2016 Quarterly Report

The Committee filed the D-1 Statement of Organization on November 23, 2016, 5 days late resulting in a \$250 civil penalty. Also, the Committee filed the December 2016 Quarterly report on January 26, 2017, 7 days late resulting in a \$175 civil penalty. The total assessment is \$425.

John D. Ryan, Chairman of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on June 6, 2017.

Attorney Joseph Cainkar appeared on behalf of the Committee, accompanied by Mr. John Ryan. Mr. Cainkar stated that Mr. Ryan incorrectly identified the Committee's creation date by using the date that the Committee received its first contribution instead of the date the Committee crossed the \$5000 threshold. The Committee did not cross the \$5000 threshold until January 17, 2017. As evidence, the Committee provided copies of their bank statements to show a \$2000 contribution deposited on January 17<sup>th</sup>, which took the Committee over the threshold.

The Committee produced sufficient evidence to support its claim. Based on the corrected creation date, the D-1 was not filed late and the Quarterly Report was unnecessary. I recommend the appeal be **GRANTED** and an amended D-1 Statement of Organization be filed to correct the error, within 30 days of the date of the Final Board Order in this matter. (As of 06/30/17, this Committee reported a funds available balance of \$5,265.76).



Clinton J. Jenkins – Hearing Officer

July 10, 2017

CHICAGO

17 JUL -7 PM 2:13

STATE BOARD OF ELECTIONS

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Better Government for Alsip )  
Respondent(s). )

Case No. 17 MA 030

APPEAL AFFIDAVIT

I, John D. Ryan, the Chairman of the  
(Name) (Chairman/Treasurer)

Better Government for Alsip  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I incorporate the appeal affidavit filed in the matter entitled Illinois  
State Board of Elections v. Better Government for Alsip, No. 17 MA 018.

The Committee was not organized, in fact, until January 17, 2017. As a  
result, the Better Government for Alsip Committee did not report the

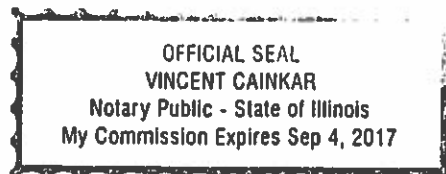
contributions from Triad Consulting and RAE Products in violation of  
9-10 of the Election Code (10 ILCS 5/9-10).

Signed and Sworn to by:

JOHN D RYAN  
before me this 6<sup>th</sup> Day of  
JULY, 2017

Notary Public

[Signature]  
(Signature of Chairman/Treasurer)





CHICAGO

17 APR 24 AM 11:46

STATE BOARD OF ELECTIONS

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

**COPY**

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Better Government for Alsip )  
Respondent(s). )

Case No. 17 MA 018

APPEAL AFFIDAVIT

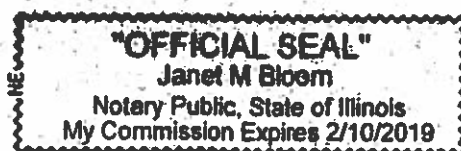
I, John D. Ryan, the Chairman of the  
(Name) (Chairman/Treasurer)  
Better Government for Alsip  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(See Rider).

Signed and Sworn to by:  
Janet M. Bloom  
before me this 21st Day of  
April, 2017  
Janet M. Bloom  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



(Continued from Appeal Affidavit)

**RIDER**

I mistakenly identified the date of formation of the Better Government for Alsip Committee (the "Committee") as the date it received its first contribution (*i.e.*, November 1, 2016). The Committee was not actually formed until January 17, 2017, the date on which the Committee received contributions totaling \$5,000. As a result of the mistaken identification of the formation date, Thomas Newman, Director of the Campaign Disclosure Division, determined that the Committee filed its D-1 Statement of Organization and December (2016) Quarterly Report late, and assessed fines therefor. Taking into account the Committee's actual formation date, its D-1 Statement of Organization was actually due January 31, 2017 and its December (2016) Quarterly Report was not due at all.

I have never undertaken the responsibility of filing campaign disclosure records before doing so with the Committee. Since making the mistake identified above, I have decided to engage a third-party to make the Committee's campaign disclosure filings. The Committee respectfully requests a finding that no violation of 10 ILCS 5/9-3 or 10 ILCS 5/9-10(b) occurred. The Committee also proposes that it be permitted to amend its D-1 Statement of Organization to reflect its actual formation date, and to file an amended March (2017) Quarterly Report to reflect contributions received before January 17, 2017 as funds on hand at the beginning of the reporting period.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernes L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss

June 7, 2017

ID# 32370

Better Government for Alsip  
John D. Ryan  
11912 South LaVergne  
Alsip, IL 60803

7016 2140 0001 1517 7339

Dear Better Government for Alsip:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 4<sup>th</sup> Quarter of 2016:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Triad Consulting	11/1/2016	\$1000	1/26/2017	51	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for the delinquent filing.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 1<sup>st</sup> Quarter of 2017:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
RAE Products	1/16/2017	\$1500	2/9/2017	13	\$750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$750.00 for the delinquent filing.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2017	Multi Assessment	\$500 (ON APPEAL)
<b><i>TOTAL AMOUNT NOW DUE</i></b>		<b>\$925</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**17 MA 022**

Lee County Republican Central Committee  
**Respondent**

ID #46

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report**

The Committee received a \$2,000 contribution on 10/2/16, a \$1,750 contribution on 2/3/17 and two \$1,000 contributions on 2/3/17 but failed to report them on a Schedule A-1 resulting in a civil penalty assessment of \$2,875.

Melissa Lawrence, the Treasurer of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

The Hearing was held on 8/15/17 via telephone. Ms. Lawrence states the Committee has not received contributions of \$1,000 or more over the past 4 years since she has been Treasurer and this was an honest oversight and mistake. She adds the Committee was not given previous notice of the first infraction and had they received the notice from the 4<sup>th</sup> Quarter of 2016 penalty, the Committee would not have made the mistake again in the 1<sup>st</sup> Quarter of 2017.

I must recommend the appeal be denied for lack of an adequate defense. However, since the Committee was not notified of the first violation until after the subsequent violations occurred and since there is no indication the violations were anything other than inadvertent and unintentional all 4 violations should be considered the first set of A-1 violations for this Committee, and the penalty reduced to 10% of the original assessment, or \$287. If these recommendations are accepted by the Board, the \$287 civil penalty will be due and owing.

(As of 6/30/17, this Committee reported a funds available balance of \$20,679.49.)

  
\_\_\_\_\_  
Kim Patrick – Hearing Officer  
August 15, 2017

2017 JUN -7 PM 2:23

State of Illinois )  
 )  
County of Lee )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

vs.

LEE COUNTY REPUBLICAN CENTRAL  
COMMITTEE,

Respondent.

Case No. 17MA022

#46

APPEAL AFFIDAVIT

I, Melissa Lawrence, the Treasurer of the Lee County Republican Central Committee, first being duly sworn; deposes and states that she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

It is clear from the face of the State Board of Elections letter dated June 7, 2017 (attached and hereinafter referred to as Exhibit "A"), that the cause of the infraction of the Administrative Code Section 100.70(b) is a misunderstanding of the rules of A-1 disclosures. As a local party committee of a small rural county, \$1,000 or more contributions have not been a disclosure we have had much benefit in experiencing in past years I have been treasurer. This was an honest oversight and mistake.

Also, it is clear from Exhibit "A" that the Lee County Republican Central Committee was not given previous notice of this infraction before making the mistake again. If we had received the notice from the 2016 4<sup>th</sup> Quarter delinquent filing, we would not have made that mistake again in the 2017 1<sup>st</sup> Quarter.

If you review our history, we have great record with respect to timely and complete filings. Again, this was an honest mistake, and we hope that the infractions can be considered a first offense to avoid a civil penalty or sanction at this time.

We respectfully request that this Honorable Board treat all the delinquent filings as a first offense, and defer any civil penalty or sanction at this time.

Signed and Sworn by:

Melissa Lawrence

Before me this 5 Day of July, 2017

Nancy Peterson  
Notary Public



Melissa Lawrence  
MELISSA LAWRENCE  
TREASURER

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernes L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 7, 2017

Lee County Republican Central Committee  
Jason Anderson  
877 White Oaks Drive  
Dixon, IL 61021

ID# 46

7016 2140 0001 1517 7315

Dear Lee County Republican Central Committee:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 4<sup>th</sup> Quarter of 2016:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Bivins	10/2/2016	\$2000	1/13/2017	64	\$1000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$.00 for the delinquent filing.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 1st Quarter of 2017:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ken Nelson Auto Plaza	2/3/2017	\$1750	4/13/2017	41	\$875
Citizens for Bivins	2/3/2017	\$1000	4/13/2017	41	\$500
Team Demmer	2/3/2017	\$1000	4/13/2017	41	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1875.00 for the delinquent filing.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$938.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.



Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.**

---

***TOTAL AMOUNT NOW DUE***

**\$1038**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

17 MQ 024

Citizens for Cynthia Santos     12438  
**Respondent**

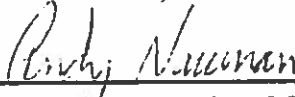
**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2016 Quarterly Report**

The March 2017 Quarterly Report was received on 5/1/17, 10 days late, resulting in a civil penalty assessment of \$2,000. Additionally, the Committee was previously assessed a \$200 civil penalty (not appealed, paid) for delinquently filing the December 2011 Quarterly Report; a \$100 civil penalty (not appealed, paid) for delinquently filing the March 2013 Quarterly Report; and a \$200 civil penalty (not appealed, paid) for delinquently filing the March 2016 Quarterly Report. The total assessment is \$2,000.

The Respondent, Cynthia Santos contacted the State Board of Elections on 8/4/17 and informed me that she would not be able to attend the scheduled 8/10/17 and gave me permission to write up a recommendation based on her Appeal Affidavit and that she has had to travel out of State to take care of her sick mother. The Appeal Affidavit indicates the account has been inactive and she no longer holds the position the committee was created to support her for. Ms. Santos requests that the Board forgives her for her tardiness due to these factors and if these conditions are not enough to have the appeal granted she is hoping the Board is willing to entertain a settlement offer (Ms. Santos plans on seeking re-election as a State Central Committeeman in 2018).

I realize the Committee did not have any activity in some time, Ms. Santos no longer holds an elected position with the Water Reclamation District, and she has had to spend time attending to her sick mother however I must recommend this appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, a penalty of \$2,000 will be due and owing. (In relation to a possible settlement offer being made I would like to inform the Board that this Committee has not reported any activity since the 2<sup>nd</sup> Quarter of 2015 and they have maintained a balance of \$7,638.56 since that time.)

  
\_\_\_\_\_  
Andy Nauman – Hearing Officer  
August 4, 2017

State of Illinois )  
County of Cook )

CHICAGO

17 JUL -6 PM 1:31

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )

ILLINOIS STATE BOARD OF ELECTIONS, )

Complainant )

Vs. )

Case No. 17M0024  
#12438

Cynthia M Santos  
Respondent(s). )

APPEAL AFFIDAVIT

I, Cynthia M Santos, the Chairman of the  
(Name) (Chairman/Treasurer)

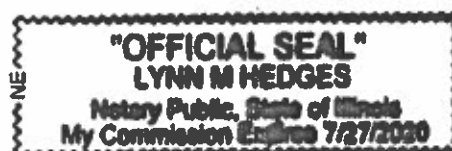
Citizens for Cynthia Santos  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Account inactive - no monies deposited/spent  
no longer elected. to position as said in acct.  
Forgiveness for tardiness due to simple forgetfulness

Signed and Sworn to by:  
Cynthia M Santos  
before me this 19<sup>th</sup> Day of  
July, 2017  
Lynn Marie Hedges  
Notary Public

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 7, 2017  
ID# 12438

Citizens for Cynthia Santos  
Cynthia Santos  
4130 N Pioneer Avenue  
Chicago, IL 60634

7016 2140 0001 1517 8435

Dear Citizens for Cynthia Santos:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2017 through March 31, 2017
Filing Period:	April 1, 2017 through April 17, 2017

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/1/2017, 10 day(s) late. As such, this committee has been assessed a fine of \$2000.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.*

**TOTAL AMOUNT NOW DUE**

**\$2000.00**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,  
Campaign Disclosure Division

TM: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

17 MQ 064

Coalition Party of Justice      23244  
**Respondent**

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2017 Quarterly Report**

The March 2017 Quarterly Report was received on 4/18/17, 1 day late, resulting in a civil penalty assessment of \$25. Additionally, the Committee was previously assessed a \$68 civil penalty (not appealed, stayed) for failing to report a Schedule A-1 contribution in the 3<sup>rd</sup> Quarter of 2016. The total assessment is \$93.

Edward Rusch, Jr. appeared on behalf of the Committee for the August 10<sup>th</sup> appeal hearing.

Mr. Rusch stated that he didn't appeal the Schedule A-1 assessment because it was his understanding that the reduced fine amount of \$68 was going to be stayed as a first violation. However, he added that he didn't really consider that contribution as a contribution because a closely aligned committee had essentially been combined with the Coalition Party of Justice and he considered that more of an internal transfer. That being said Mr. Rusch understands that he did not appeal that violation. However, he did appeal the delinquent filing of the March 2017 Quarterly Report as he inadvertently used his teaching holiday calendar rather than the SBE calendar as guidance on when the report had to be filed. Therefore, Mr. Rusch was mistakenly under the impression that April 17<sup>th</sup> was a holiday and the quarterly report would therefore not have been due until the 18<sup>th</sup>. He understands that he made both mistakes and he understands that a civil penalty must be assessed however he was hoping the Board would consider the learning curve that is associated with filing these types of reports as well as his unintentional errors. Furthermore, he was hoping the fine amount listed on the appeal could be reduced as a \$700 civil penalty would really hurt their small dollar committee.

I believe the Respondent may have partially appealed this assessment due to a typographical error that was made on the assessment letter because they believed the total assessment was for \$709 (\$684, Schedule A-1 + \$25, Quarterly Report) rather than a total assessment of \$93 (\$68, Schedule A-1 + \$25, Quarterly Report). This Committee did not appeal the \$68 civil penalty assessment that was stayed as a first violation for the failure to file a Schedule A-1 in the 3<sup>rd</sup> Quarter of 2016 however they did file an appeal for the delinquent filing of the March 2017 Quarterly Report. I appreciate Mr. Rusch's candor in his unfortunate confusion between his

school calendar and the campaign calendar however I do not believe this is an adequate defense and I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the previous assessment of \$68, and a total penalty of \$93 will be due and owing. (As of 6/30/17, this Committee reported a funds available balance of \$1,902.79.)

A handwritten signature in dark ink, appearing to read "Andy Nauman", is positioned above a horizontal line.

Andy Nauman – Hearing Officer

August 11, 2017

(2)

State of Illinois )  
 )  
 County of \_\_\_\_\_ )

PRINCIPAL OFFICE  
 STATE BOARD  
 OF ELECTIONS

2017 JUL 13 AM 10:51

BEFORE THE STATE BOARD OF ELECTIONS  
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;  
 ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

COALITION PARTY OF JUSTICE  
 Respondent(s).

Case No. 17MQ064

## APPEAL AFFIDAVIT

I, Edward C. Rusch Jr, the TREASURER of the  
 (Name) (Chairman/Treasurer)  
COALITION PARTY OF JUSTICE  
 (Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED, THANK YOU

Signed and Sworn to by:  
EDWARD RUSCH JR.  
 before me this 13th Day of  
JULY, 2017  
Monika Kumor  
 Notary Public

Edward C Rusch Jr  
 (Signature of Chairman/Treasurer)



July 13<sup>th</sup> 2017

State Board of Elections

State of Illinois

Dear Hearing Officer,

My name is Edward C. Rusch Jr. and I am the current treasurer for the Village Voice Committee.

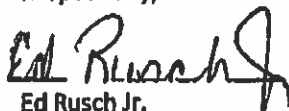
I have already respectfully requested a hearing on our two outstanding Election Code violations. The first violation was for not submitting an A1 on a contribution of over \$1,000.00. The Receipt was for \$1,384.00. I considered the money as more of a transfer of funds verses a contribution. One member of the current village board was a member of the United / Citizens Party of Justice. With his organizations membership shrinking from nutrition he elected to join the Village Voice Committee and gave the remaining funds from his old organization to the Village Voice. It never dawned on me to consider the money as a donation but rather a transfer of funds. Honestly, I don't even remember the Election Board Website flagging that an A1 had to be submitted. It was a simple error on my part.

The other violation was for being a day late of filing my quarterly report. I am a school teach and our spring break was very similar to the state's legal holiday schedule. I realized that the quarterly filling was extended one day for the holiday. However, I unfortunately confused my teaching holiday schedule with the filing schedule and was one day late.

I admit, regretfully, that the errors were made. Needless to say, neither was intentional. We are a small local political entity who do our best to follow the election laws to the letter. But do to some poor judgment on my part we incurred the violations.

We do realize that fines will likely be assessed. However, we would appreciate some consideration that though the violations did occur they certainly were not done intentionally. I respectfully ask the Hearing Officer to entertain the fine of \$68.00 for the A1 violation and a \$25.00 fine for the late filing.

Respectfully,

  
Ed Rusch Jr.

Treasurer

Village Voice Committee



January 20	Friday	Teacher Institute Day	No Student Attendance
January 23	Monday	Report Cards Issued	
February 13	Monday	Lincoln's Birthday Observance	No Student Attendance
February 15	Wednesday	Progress Reports Issued	
February 21	Tuesday	School Improvement Day	Early Student Dismissal 11:15 a.m. Wilkins Elementary 11:30 a.m.
March 6	Monday	Casimir Pulaski Day	No Student Attendance
March 15	Wednesday	End of the Third Grading Period	
March 22	Wednesday	Report Cards Issued	
March 24	Friday	Last Day of Student Attendance before Spring Break	
March 27	Monday	Spring Break Begins	
April 3	Monday	Classes Resume	
April 13	Thursday	School Improvement Day	Early Student Dismissal 11:15 a.m. Wilkins Elementary 11:30 a.m.
April 14	Friday		No Student Attendance
April 17	Monday		No Student Attendance
April 19	Wednesday	Progress Reports Issued	Quarterly Files 4/18
May 12	Friday	School Improvement Day	Early Student Dismissal 11:15 a.m. Wilkins Elementary 11:30 a.m.
May 19	Friday	Half-Day In-Service	Early Student Dismissal 11:15 a.m. Wilkins Elementary 11:30 a.m.
May 26	Friday	Last Day of School if No Emergency Closing Days Are Used	Full Student Attendance Day
May 29	Monday	Memorial Day	No Student Attendance
June 5	Monday	Last Day of School if All Five (5) Emergency Closing Days Are Used	Full Student Attendance Day

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



**BOARD MEMBERS**  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

**EXECUTIVE DIRECTOR**  
Steven S. Sandvoss  
June 7, 2017  
ID# 23244

Coalition Party of Justice  
Edward Rusch, Jr.  
8019 S 84th Avenue  
Justice, IL 60458-1412

7016 2140 0001 1517 7872

Dear Coalition Party of Justice:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2017 through March 31, 2017
Filing Period:	April 1, 2017 through April 17, 2017

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/18/2017, 1 day(s) late. As such, this committee has been assessed a fine of \$25.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2016	A1	\$684
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$709.00</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature of Tom Newman in black ink.

Tom Newman, Director,  
Campaign Disclosure Division

TM: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

17 MQ 074

Citizens to Elect Mark V Ferante    24048  
**Respondent**

**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2017 Quarterly Report


The March 2017 Quarterly Report was received by the Board on 4/26/17, 7 days late, resulting in a civil penalty assessment of \$525. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, paid) for delinquently filing the September 2014 Quarterly Report; a \$50 civil penalty (appealed, denied, paid) for delinquently filing the December 2015 Quarterly Report; and a \$150 civil penalty (not appealed, paid) for delinquently filing the March 2016 Quarterly Report. The total assessment is \$525.

Mark V. Ferrante, the candidate of the committee, appeared at the August 10<sup>th</sup> appeal hearing.

Mr. Ferrante apologized for delinquently filing the report and he indicated the late filing was unintentional. His inadvertence was partially caused because he was preparing for a complex jury trial however he primarily based his appeal on the Board's delay in notifying him via a letter that was mailed 7 days after the report was due to be filed. He said he finds it to be unfair and counter to the purpose of timely filing for the State Board of Elections to base the fine on the number of days a report is late when they did not mail (or even better yet email) the notice immediately after the filing deadline was missed, considering the Board already sends out email notifications. Additionally, he added that this Committee has primarily been inactive since the March 2012 General Primary as it has only paid for website and email hosting fees and a prior civil penalty. Mr. Ferrante realizes his error in the delinquent filing however he asks for consideration in this appeal as he believes Board's process of notifying committees about their delinquent filings is not as efficient as it should be and he requests the Board will accept the remaining funds in his campaign (\$49.42) as a settlement because he filed the report immediately upon receiving notice from the Board that the report was late. He indicated that if the Board would have immediately notified him via email that he had failed to timely file the report he would have immediately filed the report and it would have only been one day late. Mr. Ferrante also noted that although he is not actively campaigning he is hopeful he will have the opportunity to fill a judicial vacancy in the near future.

Mr. Ferrante did not contend that the Board did not notify him that the March 2017 Quarterly Report had to be filed but he essentially questions the Board's practice on when and how a committee is notified about a delinquent filing. The Board sent an email notification to the Respondent on 3/15/17 regarding the requirement to file the March 2017 Quarterly Report therefore I believe the Board fulfilled their statutory requirement of notifying this Committee about the filing dates. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$525 civil penalty will be due and owing. (As of 6/30/17, this Committee showed a funds available balance of \$49.42. For the

purpose of a possible settlement offer the ending balance reported on the last 4 Quarterly Reports was (\$49.42, \$49.42, \$49.42, and \$327.42) and this Committee has not reported receiving any receipts during any of these Quarterly Reports.)

  
Andy Nauman – Hearing Officer  
August 11, 2017

State of Illinois )  
County of COOK )

CHICAGO

17 JUN 21 PM 3:58

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
CITIZENS TO ELECT MARK V. FERRANTE )  
Respondent(s). )

Case No. 17MQ074 ID# 24048

APPEAL AFFIDAVIT

I, MARK V. FERRANTE, the Chairman of the  
(Name) (Chairman/Treasurer)

CITIZENS TO ELECT MARK V. FERRANTE

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I admit my failure to timely file my Quarterly Report for January 1 through March 31, 2017; this failure was due to my inadvertence caused by my preparing for a jury trial in a complex matter scheduled for trial May 2, 2017 (Surdyk vs. Mother's First Venture, 14 L 7624) in Cook County. I am appealing the amount of the fine based upon the delay in the State Board of Elections in notifying me via a letter mailed 7 days after my failure date of April 17, 2017. Although I agree that it is not the SBOE's duty to immediately inform me of my error, it seems unfair and counter to the purposes for timely filing of reports to base the fine on the number of days a report is filed late when the SBOE could have mailed the notice out immediately, and/or sent it by Email, especially since reminder notices are now sent by email.

Signed and Sworn to by:

Phi Phung Humphreys  
before me this 21<sup>ST</sup> Day of

JUNE, 2017  
Phi Phung Humphreys  
Notary Public



Mark V. Ferrante  
(Signature of Chairman/Treasurer)

Another mitigating factor is that my campaign committee has been inactive since March 2012 after the Primary General Election. No contributions have been solicited or received, only computer website/email hosting service company bills have been paid (and a prior fine). I respectfully request that the fine be reduced to \$49.42 for a one day delay because I would have filed the report immediately upon receiving an Email from the SBOE. \$49.42 is the amount I currently have in my campaign committee bank account.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

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Fax: 312/814-6485



BOARD MEMBERS  
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Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 7, 2017  
ID# 24048

Citizens to Elect Mark V Ferrante  
Eric H Check  
161 N Clark Street, Suite 2575  
Chicago, IL 60601

7016 2140 0001 1517 7957

Dear Citizens to Elect Mark V Ferrante:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2017 through March 31, 2017
Filing Period:	April 1, 2017 through April 17, 2017

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/26/2017, 7 day(s) late. As such, this committee has been assessed a fine of \$525.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.*

**TOTAL AMOUNT NOW DUE**

**\$525.00**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,  
Campaign Disclosure Division

TM: lm  
Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

17 AD 074

Committee to Elect Ebony Lucas (ID# 32094)  
**Respondent**

**REPORT OF HEARING OFFICER**

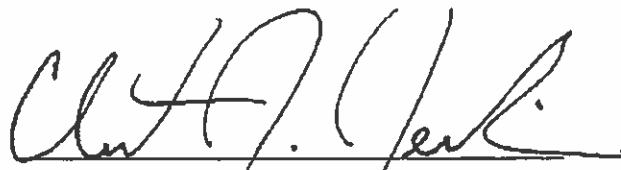
Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports  
For the 4th Quarter of 2016

The Committee received a \$1,000 contribution on 12/15/16 and reported it on the Quarterly Report received by the Board on January 19, 2017, 17 days late resulting in a \$500 civil penalty. In addition the Committee was previously assessed a \$100 civil penalty (not appealed, unpaid) for delinquently filing the 2016 December Quarterly Report, and a \$50 civil penalty (not appealed, unpaid) for failing to file a Schedule A-1 Report for the 4<sup>th</sup> quarter of 2016. The total assessment is \$650.

Ebony Lucas, Chairman of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 15, 2017.

No Committee representative was present for the hearing, despite proper notice being sent to the Committee's address. According to the appeal affidavit, the Committee stated the contribution was received on January 18, 2017 and deposited in the bank on January 24, 2017. As evidence, the Committee submitted a copy of their bank records dated January 1<sup>st</sup>-31<sup>st</sup>, 2017.

The contribution in question is dated December 15, 2016, well before the dates the Committee detailed in its defense. The bank records do not include the named sources related to the deposits and without further evidence presented to substantiate their claims, I recommend the appeal be **DENIED** for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this violation occurred in the same quarter as the previous A-1 violation, I recommend it be considered part of the first violation, with the fine reduced to 10% of the original assessment, or \$50. If these recommendations are accepted by the Board, a \$200 civil penalty will be due. (As of 06/30/17, this Committee reported a funds available balance of \$23,277.46).



Clinton J. Jenkins – Hearing Officer

August 29, 2017

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;  
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs. Committee to Elect

Ebony Lucas

Respondent(s).

17AD074  
Case No. 32094

APPEAL AFFIDAVIT

I, Garland Lucas, the Chairman of the  
(Name) (Chairman/Treasurer)

Committee to Elect Ebony Lucas

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The date of the check was December, however, the candidate did  
not meet to obtain the check until 01/18/17. The check was  
then repaid. It was deposited on 01/24/17 per the attached  
bank statement.

Signed and Sworn to by:

Garland Lucas

before me this 14 Day of

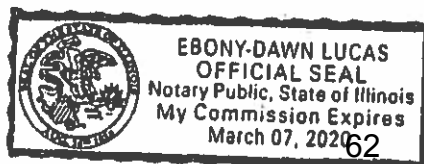
July, 2017

[Signature]

Notary Public

[Signature]

(Signature of Chairman/Treasurer)





Statement Period Date: 1/1/2017 - 1/31/2017

Account Type: S/3 BUS STANDARD CKG

Account Number: 7979850034

(CHICAGO)  
P.O. BOX 630900 CINCINNATI OH 45263-0900COMMITTEE TO ELECT EBONY LUCAS  
E

0

Banking Center: Hyde Park  
Banking Center Phone: 773-256-3400  
Business Banking Support: 877-534-2264

37767

**Account Summary -**

01/01	Beginning Balance	\$14,873.62	Number of Days in Period	31
16	Checks	\$(18,690.00)		
38	Withdrawals / Debits	\$(5,372.36)		
5	Deposits / Credits	\$12,630.82		
01/31	Ending Balance	\$3,442.08		

**Analysis Period: 12/01/16 - 12/31/16**

Standard Monthly Service Charge \$11.00

Standard Monthly Service Charge Waived (see below) -\$11.00

Service Charge withdrawn on 01/12/17 \$0.00

**Standard Monthly Service Charge waived if:**Your business maintains a total monthly average  
balance of \$3,500 across its business checking, savings,  
and certificate of deposit accounts.OR your business spends at least \$500 per month on  
its business credit card.**Current Relationship Overview:**Balance Criteria Met? **Yes**

Total Combined Monthly Average Balance \$16,316.02

Other Criteria Met? **No**\$500 Business Credit Card Spend? **No****Checks**

16 checks totaling \$18,690.00

\* Indicates gap in check sequence i = Electronic Image s = Substitute Check

Number	Date Paid	Amount	Number	Date Paid	Amount	Number	Date Paid	Amount
0000 i	01/30	450.00	1010 i	01/05	1,200.00	1017 i	01/17	650.00
0000*i	01/30	1,845.00	1013*i	01/03	5,000.00	1018 i	01/17	180.00
1002*i	01/12	1,500.00	1014 i	01/04	828.00	1019 i	01/24	1,000.00*
1003 i	01/13	1,500.00	1015 i	01/04	1,097.00	1020 i	01/25	1,200.00
1004 i	01/12	90.00	1016 i	01/12	400.00	1022*i	01/30	750.00
1009*i	01/03	1,000.00						

**Withdrawals / Debits**

38 items totaling \$5,372.36

Date	Amount	Description
01/03	27.25	DEBIT CARD PURCHASE AT GOOGLE *SVCSAPPS_I, cc@google.com, CA ON 010217 FROM CARD#: XXXXXXXXXXXX1619
01/05	37.47	DEBIT CARD PURCHASE AT WALGREENS #4519, CHICAGO, IL ON 010417 FROM CARD#: XXXXXXXXXXXX1619
01/05	141.00	RECURRING PURCHASE AT CRICKET WIRELESS, 855-246-2461, FL ON 010517 FROM CARD#: XXXXXXXXXXXX1619
01/06	60.38	MERCHANT PAYMENT - 101 AMAZON.COM SEATTLE WA
01/06	164.63	MERCHANT PAYMENT - 101 AMAZON.COM SEATTLE WA
01/09	27.83	DEBIT CARD PURCHASE AT OFFICE DEPOT #489, CHICAGO, IL ON 010717 FROM CARD#: XXXXXXXXXXXX1619



# Withdrawals / Debits - continued

Date	Amount	Description
01/09	60.13	DEBIT CARD PURCHASE AT DD/BR #307504, CHICAGO, IL ON 010717 FROM CARD#: XXXXXXXXXXXX1619
01/09	83.39	DEBIT CARD PURCHASE AT BEST BUY 0000, BURBANK, IL ON 010617 FROM CARD#: XXXXXXXXXXXX1619
01/09	59.98	MERCHANT PAYMENT - 101 AMAZON.COM SEATTLE WA
01/10	18.00	RECURRING PURCHASE AT INTUIT *QB ONLINE, 800-286-6800, CA ON 010917 FROM CARD#: XXXXXXXXXXXX0316
01/11	586.07	DEBIT CARD PURCHASE AT MINUTEMAN PRSS CHI, CHICAGO, IL ON 011017 FROM CARD#: XXXXXXXXXXXX1619
01/17	41.58	DEBIT CARD PURCHASE AT DD/BR #307504, CHICAGO, IL ON 011417 FROM CARD#: XXXXXXXXXXXX1619
01/17	159.00	DEBIT CARD PURCHASE AT AT&T *PAYMENT, 800-288-2020, TX ON 011317 FROM CARD#: XXXXXXXXXXXX1619
01/19	168.51	MERCHANT PAYMENT - 101 AMAZON.COM SEATTLE WA
01/20	20.00	DEBIT CARD PURCHASE AT 71020 - MCCORMICK, CHICAGO, IL ON 011817 FROM CARD#: XXXXXXXXXXXX1619
01/20	39.53	DEBIT CARD PURCHASE AT MARIANOS 0008, CHICAGO, IL ON 011917 FROM CARD#: XXXXXXXXXXXX1619
01/20	845.00	DEBIT CARD PURCHASE AT MINUTEMAN PRSS CHI, CHICAGO, IL ON 011917 FROM CARD#: XXXXXXXXXXXX1619
01/23	2.00	DEBIT CARD PURCHASE AT PARKINGMETER6 8772, CHICAGO, IL ON 012117 FROM CARD#: XXXXXXXXXXXX1619
01/23	4.41	DEBIT CARD PURCHASE AT DOLLAR GENERAL #13, CHICAGO, IL ON 012117 FROM CARD#: XXXXXXXXXXXX1619
01/23	8.00	DEBIT CARD PURCHASE AT PARKINGMETERS 8772, CHICAGO, IL ON 011917 FROM CARD#: XXXXXXXXXXXX1619
01/23	9.00	DEBIT CARD PURCHASE AT PARKINGMETERS 8772, CHICAGO, IL ON 011917 FROM CARD#: XXXXXXXXXXXX1619
01/23	10.56	DEBIT CARD PURCHASE AT WALGREENS #0162, CHICAGO, IL ON 012117 FROM CARD#: XXXXXXXXXXXX1619
01/23	12.66	DEBIT CARD PURCHASE AT ELSTON ACE HARDWAR, CHICAGO, IL ON 012117 FROM CARD#: XXXXXXXXXXXX1619
01/23	48.50	DEBIT CARD PURCHASE AT OFFICE DEPOT #489, CHICAGO, IL ON 012117 FROM CARD#: XXXXXXXXXXXX1619
01/23	61.28	DEBIT CARD PURCHASE AT DD/BR #307504, CHICAGO, IL ON 012117 FROM CARD#: XXXXXXXXXXXX1619
01/23	325.07	DEBIT CARD PURCHASE AT ITALIAN FIESTA - 4, CHICAGO, IL ON 012117 FROM CARD#: XXXXXXXXXXXX1619
01/24	4.39	DEBIT CARD PURCHASE AT MEYERS ACE HARDWAR, CHICAGO, IL ON 012317 FROM CARD#: XXXXXXXXXXXX1619
01/25	45.35	DEBIT CARD PURCHASE AT WALGREENS #4519, CHICAGO, IL ON 012417 FROM CARD#: XXXXXXXXXXXX1619
01/25	335.82	DEBIT CARD PURCHASE AT OFFICE DEPOT #489, CHICAGO, IL ON 012317 FROM CARD#: XXXXXXXXXXXX1619
01/26	20.70	DEBIT CARD PURCHASE AT TWOFISH CRABSHACK, CHICAGO, IL ON 012517 FROM CARD#: XXXXXXXXXXXX1619
01/26	384.07	DEBIT CARD PURCHASE AT La Cantina Grill, Chicago, IL ON 012417 FROM CARD#: XXXXXXXXXXXX1619
01/30	8.00	DEBIT CARD PURCHASE AT PARKINGMETERS 8772, CHICAGO, IL ON 012617 FROM CARD#: XXXXXXXXXXXX1619
01/30	49.96	DEBIT CARD PURCHASE AT DD/BR #307504, CHICAGO, IL ON 012817 FROM CARD#: XXXXXXXXXXXX1619
01/30	61.45	DEBIT CARD PURCHASE AT MARIANOS 0008, CHICAGO, IL ON 012717 FROM CARD#: XXXXXXXXXXXX1619
01/30	996.00	DEBIT CARD PURCHASE AT MINUTEMAN PRSS CHI, CHICAGO, IL ON 012717 FROM CARD#: XXXXXXXXXXXX1619
01/30	29.40	MERCHANT PAYMENT CVS/PHARMACY #08 - 850401 08504-8444 S Pulaski Chicago IL
01/31	15.99	MERCHANT PAYMENT - 101 AMAZON.COM SEATTLE WA
01/31	400.00	DEBIT CARD PURCHASE AT SPACEAGEMARKETING, 2198843850, IL ON 013017 FROM CARD#: XXXXXXXXXXXX1619

Statement Period Date: 1/1/2017 - 1/31/2017

Account Type: 5/3 BUS STANDARD CKG

Account Number:

(CHICAGO)  
P.O. BOX 630900 CINCINNATI OH 45263-0900

COMMITTEE TO ELECT EBONY LUCAS

0

37767

Banking Center: Hyde Park

Banking Center Phone: 773-256-3400

Business Banking Support: 877-534-2264

## Deposits / Credits

5 items totaling \$12,630.82

Date	Amount	Description
01/17	1,645.81	DEPOSIT
01/17	64.34	CREDIT ADJUSTMENT TO DEPOSIT
01/23	10,520.67	DEPOSIT
01/26	300.00	DEPOSIT
01/30	100.00	DEPOSIT

## Daily Balance Summary

Date	Amount	Date	Amount	Date	Amount
01/03	8,846.37	01/11	4,482.49	01/23	10,638.21
01/04	6,921.37	01/12	2,492.49	01/24	9,633.82
01/05	5,542.90	01/13	992.49	01/25	8,052.65
01/06	5,317.89	01/17	1,672.06	01/26	7,947.88
01/09	5,086.56	01/19	1,503.55	01/30	3,858.07
01/10	5,068.56	01/20	599.02	01/31	3,442.08

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
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Fax: 312/814-6485



BOARD MEMBERS  
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Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss

June 7, 2017

Committee to Elect Ebony Lucas  
641 E. Pershing, Unit E  
Chicago, IL 60653

ID# 32094

7016 2140 0001 1517 6752

Dear Committee to Elect Ebony Lucas:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
HP Ventures	12/15/2016	\$1000	1/19/2017	17	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.**

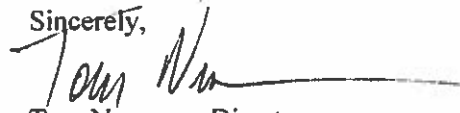
Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2017	Multi-Assessment	\$150
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$200</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director  
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**17 MA 042**

Friends of Amr Elsamny  
Cmte ID: 32874  
**Respondent**

**REPORT OF HEARING OFFICER**

**Appeal of Civil Penalty Assessment**

**For Delinquently Filing a D-1 Statement of Organization and the March 2017 Quarterly Report  
and Failing to File a Schedule A-1 Report**

The Committee's D-1 was received by the Board on May 2<sup>nd</sup>, 2017. It identified September 21st, 2016, as the Committee's Date of Creation and was filed 139 days late. The assessment is \$5,000. The Committee filed its March 2017 Quarterly Report on May 2<sup>nd</sup>, 2017, 11 days late, resulting in a fine of \$550. The Committee failed to file an A-1 with a \$10,000 donation from Juneih Ramadan, dated February 13<sup>th</sup>, 2017. The receipt was first reported on the March Quarterly Report (filed on May 2<sup>nd</sup>) and was reported 49 days late. The fine for that violation is \$5,000. The civil penalty for the missed A-1 is automatically reduced to \$500 (10% of the above-referenced fine amount) as the product of a first A-1 violation. The total assessment is \$6,050. These are the Committee's first violations.

Amr Elsamny, the Candidate and Chairman of the Committee, filed an Appeal Affidavit in this matter and testified in person at a hearing held Wednesday, August 9<sup>th</sup>, 2017, at 1pm, at the Board's Springfield office. Mr. Elsamny indicated in the affidavit and confirmed at the hearing that the Committee's original D-1 included an inaccurate Date of Creation. He said the Committee exceeded the \$5,000 fundraising threshold for mandatory creation when it received the \$10,000 donation from Juneih Ramadan on February 13<sup>th</sup>, 2017. The Committee on August 21st, 2017, filed an amended D-1 listing February 13<sup>th</sup> as its date of creation. Mr. Elsamny cited a lack of familiarity with reporting requirements in the Illinois Campaign Finance Act for the failure to file a required A-1 in connection with the Ramadan donation and the delinquent filing of the March 2017 Quarterly Report.

Mr. Elsamny has been forthright in acknowledging his responsibility for the violations but offers no statutory basis for the fines to be overturned. I recommend the appeal be denied. It appears from available evidence the Committee's Date of Creation was actually February 13<sup>th</sup>, 2017. As Mr. Elsamny was on the Consolidated Primary ballot on February 28<sup>th</sup>, the D-1 was due within two business days (by February 15<sup>th</sup>). It was filed May 2<sup>nd</sup>, 2017, 52 days late, and the appropriate assessment is \$2,600. Added to the fines for the failure to file a required A-1 and the

delinquent March 2017 Quarterly Report, a total of \$3,650 would be due and owing if the Board accepts this recommendation. As of June 30<sup>th</sup>, 2017, the Committee's reported cash balance was \$986.96.

A handwritten signature in black ink, appearing to read 'John Levin', is positioned above a horizontal line.

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John Levin – Hearing Officer  
August 23rd, 2017

State of Illinois )  
County of Peoria )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF;  
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. #32874 17MAC42

Amr ElSamny  
Respondent(s).

APPEAL AFFIDAVIT

I, Amr ElSamny, the Chairman of the  
(Name) (Chairman/Treasurer)  
Friends OF Amr ElSamny  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The filing of the D-1 Statement of Organization was not thought to be needed since the Committee did not think it raised 5 thousand dollars. The A-1 contribution from Jareh Ramadan was mistakenly thought to be a loan (not a contribution), and it was returned back after the D1 was filed. This is my first time running for office, I apologize for not being familiar with all the technicalities.

Signed and Sworn to by:

before me this 3 Day of  
July, 2017

Patrick T. Risen  
Notary Public  
"OFFICIAL SEAL"  
PATRICK T RISEN  
Notary Public, State of Illinois  
My Commission Expires 3/21/2018

[Signature]  
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Charles W Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J Cadigan  
Andrew K. Carruthers  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 7, 2017  
ID# 32874

Friends of Amr Elsamny  
Amr Elsamny  
3922 N Columbus Avenue  
Peoria, IL 61614

7016 2140 0001 1517 6813

Dear Friends of Amr Elsamny:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 5/2/2017, 2017, 139 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2017 through March 31, 2017
Filing Period:	April 1, 2017 through April 17, 2017

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 5/2/2017, 11 day(s) late. As such, this committee has been assessed a fine of \$550.00.

In addition, this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Juneih Ramadan	2/13/2017	\$10000	5/2/2017	49	\$5000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$5000.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all new assessments is \$6050.00.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 7, 2017 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

  
Tom Newman, Director  
Campaign Disclosure Division

TN: lm

Enclosures: appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,  
**Complainant**

v.

**17 CD 030**

Citizens to Elect Diante Johnson,  
**Respondent.**

ID# 31863

**REPORT OF HEARING OFFICER**

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, it is alleged that the Respondent failed to file the June 2016 and September 2016 Quarterly Reports of Campaign Contributions and Expenditures.

**STATE’S EXHIBITS**

To be made a part of this report are the following: a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 23, 2016, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing dated April 24, 2017; May 17, 2017 Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of Public Hearing set for August 30, 2017 at 10:00 am in the State Board of Elections, 100 W. Randolph, Suite 14-100, Chicago IL and via videoconference from the Springfield office.

**COMPLAINANT’S CASE-IN-CHIEF**


The Respondent filed a Statement of Organization on December 10, 2015, subsequently amended on August 17, 2016, with the purpose of supporting Diante Johnson for Vermilion County Board. The Respondent has failed to file its June 2016 and September 2015 Quarterly Reports. As of the date of this report, the Respondent has not sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s registered officers to no avail, leading to the filing of the instant Complaint.

**RESPONDENT'S CASE-IN-CHIEF**

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. The Respondent also failed to appear at the Public Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

**CONCLUSIONS AND RECOMMENDATIONS**

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

  
\_\_\_\_\_  
Heather V. Kimmons – Hearing Officer  
August 30, 2017

# STATE BOARD OF ELECTIONS

2329 S. MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141

John Levin

Division of Campaign Disclosure

To: Members of the Board, Steve Sandvoss, Executive Director, & Ken Menzel, General Counsel

Re: Random Audits

Date: September 1, 2017

10 ILCS 5/9-13 (c) requires the Board to randomly order no more than 3% of registered political committees to conduct internal audits of their accounts. In 2017, pursuant to the wishes of the Board, we required 2% of all active committees to conduct said audits. Of the 79 committees randomly selected for the audit process, 3 were excused because they had been randomly selected for audits within the past four years and were therefore exempt. Of the remaining 76 committees, 45 submitted audits of their internal records that satisfied the statute; 18 committees opted to file Final Reports in lieu of audits and did so in a manner acceptable under the statute; 13 selected committees were either delinquent in submitting audits or did not submit the required documentation.

Seven committees detected errors in prior report filings through the audit process and filed amended reports to resolve the issues.

All notices were sent via certified mail. The statute does not require a certified public accountant to conduct the audit, but Section 100.175d(1)A) states the auditor, "(s)hall have the proper training and experience to perform a financial analysis of campaign finance committees. Such training may be the result of possession of a CPA license, possession of a degree in accounting from an accredited accounting or business school and/or experience as an accountant gained from past employment." The committees are prohibited from selecting as auditors anyone who has contributed to their committees in the last four years.

The audits are to cover a two-year period ending on the date of the original correspondence from the Board; in this instance, May 1, 2015, through May 1, 2017. They are required to be submitted within 60 days of the original correspondence; in this case, by July 3, 2017 (the first business day on or after July 1<sup>st</sup>). Selected committees may avoid the requirement by filing Final Reports but are required to remain out of the system for at least four years if they choose to do so.

Campaign disclosure staff prepared an Auditor Selection Form that was sent to each committee. While there is no statutory requirement the form be completed and returned, it was prepared in order to allow the Board to oversee and approve selected auditors in advance of the July 3<sup>rd</sup> deadline for submission of the audits. The statute does not prescribe specific forms on which the audits must be submitted.

Of the 76 selected committees, 29 reported average funds available of more than \$10,000 during the two year period covered by the audit; 12 committees reported averages balances of between \$5,000 and \$10,000; 21 reported average funds available between \$1,000 and \$4,999; and 14 committees reported balances averaging between \$0 and \$999.

We have notified state's attorneys in the counties of origin for non-compliant committees. Potential enforcement of associated civil penalties under 10 ILCS 5/9-13 (c) rests with those state's attorneys.

**STATE BOARD OF ELECTIONS**

**2329 South MacArthur Blvd.**

**Springfield, Illinois 62704**

**217/782-4141**

**Tom Newman**

**Director, Division of Campaign Disclosure**

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**To:** Members of the Board, Steven S Sandvoss, Executive Director & Ken Menzel, General Counsel

**Re:** Random Audits

**Date:** September 5, 2017

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As a supplement to John Levin's September 1 memo regarding random audits, I am able to provide some additional information from this year's random audit process. We have been contacted by State's Attorneys in two counties requesting some additional information on committees in their jurisdictions that failed to submit audits or were late in submitting their results. It is unclear what enforcement efforts they will pursue, but we will attempt to follow-up with them in the future to see what, if anything, has been done.

As for the 7 committees that filed amended reports as a results of their audit findings, those amendments resulted in a total of 3 apparent A-1 violations, which are now in the process of being assessed.

Finally, Senator Chris Nybo has filed a proposed bill (SB2223) to clarify the time period to be covered by the random audits. As a follow-up to that filing, there have been some discussions about the idea of further amending the statute to eliminate some of the "smaller dollar" committees from the random selection process. We have submitted some suggested language to that effect, but as of today have not yet heard back from the Senator. By the date of the Board meeting on September 18 I hope to be able to provide an update on this. I will of course also be happy to answer any other questions regarding the random audit process and this year's results.

**STATE BOARD OF ELECTIONS**  
**2329 S. MacArthur Blvd**  
**Springfield, Illinois 62708**  
**217/782-4141**

**Tom Newman**

**Director, Campaign Disclosure Division**

**To: Steven S. Sandvoss, Executive Director, Members of the Board**

**Re: Payment of Civil Penalty Assessments - Informational**

**Date: September 5, 2017**

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The following committees have made payment of outstanding civil penalties for the period 8/9/2017 - 9/5/2017

- Citizens for Felix Gonzalez - \$75.00 (\$1.76 credit card fee)
- IPACE - \$1450.00
- Adam for Illinois - \$655.00
- Citizens for Jim Langfelder - \$1750.00
- Kankakee County Democratic Party - \$250.00
- Local 143 PAC - \$1000.00 (\$23.50 credit card fee)

**TOTAL: \$5,180.00**

**Monthly Total:**

1/5/17 to 2/8/17:\$34,465.00

2/9/17 to 3/8/17:\$5,806.50

3/9/17 to 4/5/17:\$24,137.00

4/6/17 to 5/2/17:\$23,544.00

5/3/17 to 6/8/17:\$9,117.95

6/9/17 to 8/8/17:\$24,769.00

8/9/17 to 9/5/17:\$29,949.00

**Year to Date Total: \$127,019.45**

**STATE BOARD OF ELECTIONS**  
**2329 South MacArthur Blvd.**  
**Springfield, Illinois 62704**  
**217/782-4141**

**Tom Newman**

**Director, Division of Campaign Disclosure**

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To: Members of the Board, Steven S Sandvoss, Executive Director & Ken Menzel, General Counsel

Re: Rulemaking Proposals - 2017

Date: August 8, 2017

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Submitted for the Board's review and approval is a package of proposed rulemakings dealing with both sections 100 and 125 of the Board's administrative rules. These proposed changes and additions have been in the works for some time in response to various needs for change or clarification that have been identified over the last few years. While much of this package had been relegated to the "back burner" while other matters were dealt with, the Board's approval in June of a rulemaking proposal dealing with the two-year period for stayed penalties helped to serve as a reminder that these additional rulemakings may be ready for action. Rather than sending items to JCAR piecemeal, it would be better to send the entire package at once. Therefore, the two-year stay rulemaking has been added to this set of proposals and will be submitted along with the rest when they have received Board approval.

In addition to the rulemaking proposals themselves, I have attached a brief summary provide an explanation and justification for each rulemaking. I will be happy to provide additional details or explanation if the Board so desires.



### **2017 Proposed Rulemaking rationale/explanations:**

100.10(b)(3)(F): Attempts to clarify how loans received are reported. Loans should not be shown as contributions from banks or other lending institutions, which in many cases are prohibited from making political contributions. The best alternative is to show the loan under the name of the endorser. Also attempts to clarify that loans endorsed by a candidate or committee should not be subject to contribution limits.

100.10(b)(3)(G): The new language is an attempt to address the definition of coordination in regards to independent expenditures. (This is difficult because the statute never actually uses the word “coordination”.) The key here is that contact between an IE committee and a candidate is permissible, but crosses the line into coordination when there is discussion involving campaign strategy or advertising. The section labeled “NEW” clarifies that electioneering communication does not include print (this was previously in the definition in the statute, but was removed by the legislature.)

100.10(b)(5)(G)(i): This addresses a rare situation where a committee changes from one type to another. (This is allowed under current law.) This section limits the amount of money the committee could retain when making the switch. This prevents the possible abuse of such a change, where for instance a candidate changes their committee to a PAC, receives contributions in excess of the normal limits for a candidate committee, and then changes back to a candidate committee – in effect circumventing the contribution limits.

100.10(b)(6)(C): Clarifies what expenditures, besides independent expenditures, an IE committee is allowed to make, mirroring language in 9-8.10.

100.60(e): Cleanup language resulting from the change in statute to year-round B-1 filings for independent expenditures of \$1,000 or more.

100.75(j)(3): Seeks to establish a consistent and uniform process for removing contribution limits due to self-funding. The statute in 9-8.5(h) calls for the self-funding calculation to cover the 12 month period before an election. However, it also provides only one way for the removal of limits to carry over from a primary election cycle to a general election cycle (by a self-funding candidate winning the primary), implying that the calculation should be limited to one specific election cycle. Since the 12 month period before a general election includes part of the primary election cycle as well as the general election cycle, this seems to create a contradiction. The proposed language here specifies that self-funding calculations are limited to one election cycle.

100.75(k)(3): This also deals with the removal of contribution limits, but this time through independent expenditures. The statute in 9-8.5(h-5) and (h-10) states that a sufficient level of independent expenditures made “in support of or in opposition to” a particular candidate is required to lift the contribution limits. In a race with just two candidates, an independent expenditure opposing one candidate is automatically also supporting the other candidate. But in

a race with 3 or more candidates, an independent expenditure can oppose one candidate but may support, oppose or have no impact on the third candidate. Therefore, in such races, the calculation of independent expenditures can only include those made to support or oppose one specific candidate, not an aggregate of all such spending in the race.

100.80: Letter-sized paper is preferred for documents that may need to be scanned. We no longer photograph documents for microfiche.

100.85(e): Like the language for 100.10(b)(3)(G), this section deals with coordination of independent expenditures. It specifies that an independent expenditure crosses the coordination threshold if it involves campaign materials provided by the candidate, unless those materials are gathered from a publicly available source or are based on an interview of the candidate – as long as that interview does not include discussion of campaign needs, strategy or research. The idea is that it is possible for there to be some contact and even an exchange of information between the candidate and the independent expenditure committee without there being coordination.

100.85(f): As in the above section, coordination of independent expenditures is at issue here. This section indicates that the coordination threshold is crossed if a candidate or their representative has ever taken part in fundraising activities for the independent expenditure committee. This seeks to keep a clear line of delineation between the two entities that is required for an expenditure to truly be an independent expenditure.

100.110: The name of this section is being changed to better reflect the actual content. The new language in section c is aimed at closing a loophole regarding so-called successor committees. If a committee that owes fines closes and later reactivates or forms a successor committee, the fines carry over to the new committee. However, some candidates have more than one committee open at a time. If one of those committees owes fines and then closes, there is not currently a provision to consider the other committee a successor committee, because it was not formed after the first committee closed. This new section seeks to remedy that.

100.120(e): This new language is aimed at clarifying the reporting of in-kind contributions. Such contributions are to be reported using the date the recipient receives notice of the contribution as the receipt date. That date often differs substantially from the date the actual in-kind contribution was made. This section would require that both dates be used when reporting in-kinds – the notification date would still be the receipt date, but the description of the in-kind would have to include the date the contribution was made. This could also have the added benefit of forcing committees to be aware of the two different dates, so that the correct receipt date is used. Currently, the wrong date is often reported, leading to unnecessary assessments and appeals.

100.150(c)(5): Fleshes out the electronic filing defense. By now, after nearly 20 years of electronic filing, these defenses should be the exception rather than the rule. Additionally, previous excuses such as problems downloading or running the electronic filing program no

longer apply and all committees should either own or have access to a computer or other device to use for filing. Two key proposals in this section would require committees to have already set up an electronic filing account prior to the end of business on a filing deadline day. This seeks to differentiate a true electronic filing problem from simply waiting too long to get started on a report. Additionally, requiring committees to contact Board staff no more than 3 days after the end of the filing period in order to claim an electronic filing defense would show due diligence on the committee's part in attempting to correct the problem and file the report as soon as possible.

125.30: Cleanup language – there is no longer a need for multiple copies (easier to scan and email when necessary).

125.245: Simple change to reflect how we actually handle this.

125.252: Closed preliminary hearings are recorded and the hearing officer's recommendation summarizes the content of the hearing, so there is no need for minutes.

125.253, 262, 270: Simple cleanup language similar to previous section.

125.272: Notice for public hearings runs through the campaign disclosure division – this changes the rule to reflect that.

125.425: Changes approved by the Board in June 2017 regarding the two year period for stayed penalties.

125.440: Allowing additional time for reconsideration motions recognizes that due to variable mail delivery rates committees may not always have adequate time to receive a final order and then submit a motion in just 7 days.

TITLE 26: ELECTIONS  
CHAPTER I: STATE BOARD OF ELECTIONS

PART 100  
CAMPAIGN FINANCING

Section	
<u>100.10</u>	<u>Definitions</u>
100.20	Official Forms
100.30	Forwarding of Documents (Repealed)
100.40	Vacancies in Office – Custody of Records
100.50	Multiple Filings by State and Local Committees (Repealed)
<u>100.60</u>	<u>Filing Option for a Federal Political Committee</u>
100.70	Reports of Contributions and Expenditures
<u>100.75</u>	<u>Limitation on Campaign Contributions</u>
<u>100.80</u>	<u>Report Forms</u>
<u>100.85</u>	<u>Independent Expenditures</u>
100.90	Provision Circumvention
100.100	Proof of Identification; Application for Inspection and Copying (Repealed)
<u>100.110</u>	<u>Loans by One Political Committee to Another</u> Responsibility for Committee Debts or Penalties
<u>100.120</u>	<u>Receipt of Campaign Contributions</u>
100.125	Receipt by Mail of Quarterly Reports of Campaign Contributions and Expenditures
100.130	Reporting by Certain Nonprofit Organizations (Repealed)
100.140	Prohibited Contributions – State Property
<u>100.150</u>	<u>Electronic Filing of Reports</u>
100.160	Good Faith
100.170	Sponsoring Entity
100.175	Audit Findings for Political Committees
100.180	Business Entity Registration Procedures
100.185	Assessment of Civil Penalties
100.APPENDIX A	Contributions Allowed Per Election Cycle
100.TABLE A	Contribution Limits Per Election Cycle
100.TABLE B	Election Cycles

AUTHORITY: Implementing Article 9 of the Election Code [10 ILCS 5/Art. 9] and authorized by Section 9-15(3) of the Election Code [10 ILCS 5/9-15(3)].

SOURCE: Amended at 5 Ill. Reg. 1337, effective January 30, 1981; amended at 5 Ill. Reg. 12115, effective October 26, 1981; codified at 6 Ill. Reg. 7211; amended at 7 Ill. Reg. 225, effective December 16, 1982; amended at 14 Ill. Reg. 10824, effective June 22, 1990; amended at 16 Ill. Reg. 6982, effective April 21, 1992; amended at 18 Ill. Reg. 14707, effective September 9, 1994; amended at 21 Ill. Reg. 10044, effective July 21, 1997; emergency amendment at 23 Ill. Reg. 719, effective January 4, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 6796, effective May 24, 1999; emergency amendment at 24 Ill. Reg. 13039, effective August 9, 2000, for a maximum of 150 days; emergency expired January 5, 2001; amended at 24 Ill. Reg. 14214, effective September 11, 2000; amended at 29 Ill. Reg. 18785, effective November 7, 2005; amended at 30 Ill. Reg. 10261, effective June 1, 2006; amended at 30 Ill. Reg. 17496, effective November 3, 2006; amended at 31 Ill. Reg. 7142, effective May 1, 2007; emergency amendment at 33 Ill. Reg. 332, effective January 1, 2009, for a maximum of 150 days; emergency expired May 30, 2009; emergency amendment at 33 Ill. Reg. 9809, effective June 29, 2009, for a maximum of 150 days; emergency expired November 25, 2009; amended at 34 Ill. Reg. 274, effective December 15, 2009; amended at 34 Ill. Reg. 10521, effective July 9, 2010; amended at 35 Ill. Reg. 2295, effective February 4, 2011; amended at 35 Ill. Reg. 12973, effective July 19, 2011; amended at 39 Ill. Reg. 8060, effective May 19, 2015.

## Section 100.10 Definitions

### a) General Definitions

"Article 9" means Article 9 of the Election Code (campaign disclosures, contributions and expenditures).

"Board" means the Illinois State Board of Elections.

"Election Code" or "Code" means 10 ILCS 5.

"File", "Filed" or "Filing" means:

The statement, report or document being filed is in apparent and substantial conformity with the requirements of the Election Code. Apparent and substantial conformity requires that the filing contain the following:

The signature of the person making the filing;

Completion of all applicable sections of the report; and

Attachment of all appropriate schedules.

Inadvertent error or omission of a de minimus nature in the completion of a report, statement or document shall not be deemed to be a "willful failure to file or a willful filing of false or incomplete information" under Code Section 9-26.

"Immediate Family" means the spouse, parent or child of the public official, candidate or any other person referred to in this Part. A parent shall include a stepparent or adoptive parent. A child shall mean a biological, adopted or stepchild.

"Labor Union" means any organization of any kind, or any agency or employee representation committee or plan, in which employees participate and that exists for the purpose, in whole or in part, of bargaining with employers concerning grievances, labor disputes, wages, rates of pay, hours of employment or conditions of work.

"Public Office" means, among other things, an elective office. The term includes the political party offices of state central, county, ward, township and precinct committeeman.

"Signature" or "Signed", as used in Article 9 and this Part, includes electronic signatures attached and made a part of electronic records submitted to the State Board of Elections pursuant to Code Section 9-28.

"Submit" or "Submitting", as used in Code Section 9-11, means actually filing a report with the Board through the following methods:

uploading a report electronically or, if accomplished at a Board office or with the assistance of Board staff, the committee representative is present and/or authorizing the report filing;

using the U.S. Postal Service, overnight delivery, or any other delivery service;

hand delivering a report to the Board; or

faxing a Schedule A-1 to the Board.

With the exception of the chairman or the treasurer, the person submitting the report on behalf of the committee must list himself or herself as having submitted the report.

b) Definitions Interpreting Specific Sections of the Election Code

1) Assets

- A) Reference: This definition of assets interprets or applies to Code Section 9-5.
- B) An asset is an item of property, other than cash or services, of any kind, tangible or intangible, that has either a fair market or salvage value in excess of \$150.

2) Candidate

- A) Reference: This subsection (b)(2) interprets or applies to Code Section 9-1.3.
- B) "Candidate", as that term is defined in Code Section 9-1.3, shall include, but not be limited to:
  - i) A person who circulates or authorizes the circulation of nominating petitions on his or her behalf for public office;
  - ii) An individual who receives contributions or makes expenditures or gives consent for any other person to receive contributions or make expenditures for the purpose of bringing about his or her nomination for election or re-election to any office;
  - iii) Any judicial incumbent who qualifies for retention.

3) Contributions and Anything of Value

- A) Reference: This subsection (b)(3) interprets or applies Code Sections 9-1.4, 9-1.5, 9-1.8, 9-1.12, 9-1.14 and 9-1.15.
- B) The term "anything of value", as used in Code Sections 9-1.4, 9-1.5 and 9-1.12, means any item, thing, service or goods, regardless of whether valued in monetary terms according to ascertainable market value.
- C) "Anything of value" that does not have an ascertainable market value may be reported by describing the item, thing, service or goods contributed; however, nothing in this subsection (b)(3) relieves a committee or a contributor of the duty to provide as accurate an assessment of value as possible.
- D) Interest, other investment income, earnings or proceeds, and refunds and returns shall not be reported as a contribution, but shall be reported as a receipt according to this subsection (b)(3). For purposes of reporting campaign receipts and expenses, income from investments shall be included as receipts during the reporting period they are actually received. The gross purchase price of each investment shall be reported as an expenditure at time of purchase. Net proceeds from the sale of an investment shall be reported as a receipt. During the period investments are held, they shall be identified by name and quantity of security or instrument on each quarterly report during the period. The value of each instrument as of the day the reporting period closes shall be included for each asset held as an investment.
- E) In addition to the items expressly excluded in the Election Code, the terms

"anything of value" and "contribution" shall not be deemed to include:

- i) Any unreimbursed payments for travel or living expenses related to travel made by an individual who volunteers services on behalf of a candidate or political committee;
  - ii) Any news story, commentary, endorsement or editorial of any broadcasting station, newspaper, magazine or other periodical publication;
  - iii) Any publication by a membership association or corporation to its officers, employees, members, stockholders, or members of the immediate families of these persons, so long as the membership association or corporation is not organized primarily for the purpose of influencing the nomination for election, election, or retention of any candidate, or supporting or opposing any question or questions of public policy;
  - iv) The occasional use of real property of a person or whoever, as defined in Code Section 9-1.6, and as defined in Section 100.10(b)(4), for the purpose of conveying information to officers, employees, members or stockholders of an association or a corporation, and the immediate families of these persons, including but not limited to the use of the premises for the purpose of a candidate communicating directly with officers, employees, members or stockholders and the immediate families of these persons;
  - v) Unrealized appreciation or loss of value of investments during the period they are held.
- F) A loan of money from a bank, credit union, or other financial institution to a candidate or public official, or his or her political committee, shall not be listed as a contribution from that institution, but shall instead be listed on the committee's disclosure filings as a contribution from the person or persons endorsing the loan. ~~However, the loan must still be reported on political committees' quarterly reports.~~ Security for a loan, if provided by a person other than the candidate or the candidate's political committee, does qualify as a contribution and shall be reported as having come from the person who provided it and shall be subject to contribution limits. A loan of money from a bank, credit union or other financial institution to a committee other than a Candidate Political Committee shall not be considered a contribution from that institution, and shall not be subject to contribution limits if the guarantor for the loan is the committee itself or if the loan agreement is signed by an authorized officer of the committee acting on the committee's behalf. Such loans shall be reported on disclosure filings by listing the committee as endorser and also listing the financial institution from which the loan is obtained.
- G) Independent expenditures are not contributions, as that term is defined in Code Section 9-1.4. Independent expenditures are those made for the purpose of electioneering communication, as that term is defined in Code Section 9-1.14, or that expressly advocates the election, nomination or defeat of a public official or candidate or for or against any question of public policy to be submitted to the voters and that is not made in cooperation, concert or consultation with, or at the request or suggestion of, the public official or candidate. Communications that expressly advocate the election, nomination or defeat of a public official or candidate or for or against any question of public policy to be submitted to the

voters are those that unequivocally state in the communication that the public official or candidate ought to be elected, nominated or defeated or the question of public policy ought to be approved or defeated. These communications typically contain the terms "vote for", "elect" or, in the case of expressly advocating the defeat of a candidate, "vote against", "vote no", "defeat", etc. As used in Code Sections 9-1.15 and 9-8.6(a), the phrase "in connection, consultation, or concert with or at the request or suggestion of" includes, but is not limited to, any discussion, participation or communication between the natural person or political committee making the expenditure and a public official or candidate, the public official's or candidate's political committee, or the agent or agents of the public official, candidate, or political committee or campaign, regarding the timing, content, location, mode, intended audience, volume of distribution or frequency of placement of the electioneering communication or express advocacy communication.

NEW) Electioneering communication, as defined in Code Section 9-1.14, is limited to any broadcast, cable, or satellite communication, including radio, television, or Internet communication, but does not include any newspaper or print communication.

- H) "Clearly identifiable candidate" means the candidate's name (first name and surname) but does not necessarily have to include the candidate's middle name or middle initial. A clearly identifiable candidate can also be one that is described in such a way as to exclude any other candidate so as to leave no doubt in the mind of the person being communicated to as to whom the communication is referring. For example: "The Democratic Party's candidate for Mayor", "Congressman Jones", or "the former Republican candidate for Congressman who was defeated at the most recent General Election". A clearly identifiable candidate can also be described by use of a photograph or other visual image or likeness.
  - I) A communication by a corporation, a limited liability company, or an association to its members or stockholders and executive or administrative personnel, or the immediate families of these persons, is not a contribution. For purposes of this Part, a corporation is one that is registered with the Business Services Division of the Illinois Secretary of State or is similarly registered with any other state in compliance with that state's laws or that operates as or holds itself out as a corporation so that it would be required to register with the Illinois Secretary of State, regardless if it has taken affirmative action to so register. For purposes of this Part, an association is defined broadly to include any group of persons or entities that have a common purpose and that have an organizational structure with an existing membership roster and governing by-laws or other similar rules. An association includes those that are both for-profit and not-for-profit (however the entity does not necessarily have to be organized under the laws of this or any other state) and includes a labor union as that term is defined in subsection (a).
  - J) A voter registration campaign or other Get Out The Vote (GOTV) activity is not deemed to be "anything of value" or a "contribution", so long as the campaign or activity makes no mention of any clearly identified candidate, public question, political party, group or combination of these entities.
- 4) Person or Whoever
- A) Reference: This subsection (b)(4) interprets or applies Code Section 9-1.6.
  - B) The terms "other organizations" and "groups of persons" as defined in Code



Section 9-1.6 shall include, but not be limited to, all corporations, labor unions, trade associations or other such groups, religious organizations, fraternal societies, luncheon and dinner organizations, etc.

5) Political Committee

- A) Reference: This subsection (b)(5) interprets or applies Code Sections 9-1.8 and 9-1.9.
- B) A person or whoever, as defined in Code Section 9-1.6 and in subsection (b)(4) of this Section, does not qualify as a political committee pursuant to Article 9 of the Election Code by simply making a contribution from his or her personal income or profits, regardless of the amount of the donations. If an entity, other than a natural person, makes an independent expenditure or expenditures in aggregate within a 12 month period in excess of \$3,000 supporting or opposing public officials or candidates, then the entity qualifies as a political committee.
- C) If a person or whoever solicits or receives funds for political purposes, he or she would, in fact, become a political committee and would have to comply with all provisions of Article 9. The provisions of this subsection (b)(5) shall not apply to those persons who accept contributions from at least 5 individuals as provided in Code Section 9-6.
- D) Political committees shall include candidate committees, political party committees, political action committees, ballot initiative committees and independent expenditure committees, as those terms are defined in Code Section 9-1.8. Candidates who form a new political party under Code Section 10-2 by running a full slate may collectively form a political party committee to support their candidacy or each candidate may individually form a candidate committee. Groups of candidates who run as either independents under Code Section 10-3, or as non-partisan candidates by virtue of the office being non-partisan pursuant to statute, may collectively form a political action committee to support their candidacy, or each candidate may individually form a candidate committee. In no case may a candidate form both a candidate committee and a political action committee to support his or her own candidacy. Candidates of established political parties may collectively form a political action committee to support their candidacy or each candidate may individually form a candidate committee. Candidates who exercise the option of forming a political action committee may not include the names of any of the candidates in the name of the political action committee. A political action committee must, however, include the name of the office that the candidates are seeking and the name of the political subdivision or unit of local government to which the office pertains. In all cases except political party committees, political committees are limited to those that accept contributions or make expenditures or independent expenditures in an aggregate amount exceeding \$5,000 on behalf of or in opposition to candidates, or, in the case of a ballot initiative committee, in support of or opposition to questions of public policy.
  - i) Political Party Committees referred to in Code Section 9-1.8(c) include "legislative caucus committees" and are defined as caucuses that are established by either 5 or more members of the same caucus in the Senate or 10 or more members of the same caucus in the House of Representatives. These committees shall include any caucus declared by its membership to be a caucus. If the number of caucus members of a given caucus committee decreases below the designated threshold (5 Senate/10 House members), the caucus committee shall become a

political action committee, as that term is defined in Code Section 9-1.8, and be subject to the contribution limits pertaining to political action committees established in Code Section 9-8.5(d), unless the caucus committee either fills the vacancy or dissolves within 5 business days after the date the vacancy occurred.

- ii) A committee formed by a ward or township committeeman of a political party shall be designated as a political party committee. Pursuant to Code Section 7-8(b), only ward committeemen in the City of Chicago and township committeemen in Cook County qualify for this designation. Nothing in this subsection (b)(5)(D)(ii) shall be construed to limit the ability of a ward or township committeeman to form a candidate committee in support of his or her own candidacy.
- iii) For purposes of Code Section 9-1.9, a judicial candidate running for retention subsequent to his or her first retention candidacy following the candidate's election shall be subject to the election cycle established in Code Section 9-1.9(3), except that the period shall begin on January 1 following the candidate's retention (as opposed to his or her election) and extending to the day the candidate files his or her next declaration to seek retention and the period beginning after that day and extending to December 31 following the candidate's retention election. This judicial retention election cycle is subject to the fundraising restrictions contained in Canon 7 of Rule 67 of the Rules of the Illinois Supreme Court (committees established to support judicial candidates may not solicit contributions more than 1 year preceding the election in which the candidate is seeking judicial office or retention thereto, and no later than 90 days following such election).
- iv) Any corporation, labor organization or association that acts as a conduit in facilitating the delivery of dues, levies or similar assessments to a political action committee as provided in Code Section 9-8.5(i) shall not, solely as a result of this activity, be considered to be a political action committee within the meaning of the disclosure and regulation requirements of Article 9 of the Code.
- v) These election cycles apply regardless of whether the candidate only appears on either the consolidated primary ballot or the consolidated election ballot. For purposes of Code Section 9-1.9(4), the election cycle for a candidate political committee organized to support a candidate to be nominated or elected at a consolidated primary election or elected at a consolidated election, or municipal or runoff election in cities of 1,000,000 or more population occurring on the date of the regularly scheduled consolidated primary or consolidated election, shall run from:
  - the period beginning July 1 following the consolidated election for which the candidate seeks election and ending on the day of the next consolidated primary election for that office; or
  - the period beginning the day after the consolidated primary election for the office to which the candidate seeks nomination or election and through June 30 following the consolidated election held that year.

- vi) If a candidate political committee established for multiple offices elected at different elections changes its election cycle pursuant to Code Section 9-2(b), the committee shall be subject to the new election cycle established under Code Section 9-1.9 and to the contribution limits for the new election cycle contained in Code Section 9-8.5(b). Contributions received by the committee prior to the date of the establishment of the new election cycle will be counted towards the contribution limit for each contributor, with the following exception: the contributions shall not be considered to have been received in excess of contribution limits if the limit was exceeded solely because of the establishment of the new election cycle. However, for the remainder of the new election cycle, the committee would be considered to have received the maximum allowable contribution from that contributor for that election cycle and would be prohibited from receiving any additional contributions from that contributor during the remainder of the new election cycle.
  - E) If an entity forming a political action committee under Code Section 9-2(d) is not a clearly identifiable trust, partnership, committee, association, corporation or other organization, but rather a group of persons lacking any formal organizational structure, the name of the political committee shall include the name (first and last) of the person or persons responsible for its formation or its continuing operation. This Section shall not apply to established party candidates who collectively form a political action committee to support their candidacies pursuant to subsection (b)(5)(D).
  - F) The name of a ballot initiative committee must include a brief description of the question or questions and whether the committee is organized to support or oppose the question or questions. The name shall not exceed 70 characters (based on U.S. Post Office restrictions applicable to mailing labels) and shall include keywords that would provide a reasonable person with a general understanding of the subject matter of the question or questions and whether the committee was formed to support or oppose the question or questions.
  - G) A candidate political committee of a former officeholder or supporting a now deceased candidate or officeholder may, subject to the applicable contribution limits, maintain the committee as a candidate committee, close the committee and dispose of any remaining funds as indicated on its D-1 Statement of Organization, or convert the committee to a political action committee by filing an amended D-1 Statement of Organization amending the committee type, as well as the name, purpose of the committee, and any other information that has changed.
    - i) A political committee that converts to a new committee type as defined in Code Section 9-1.8 is limited in the amount of funds that it may retain under the new committee type designation to the contribution limits in Code Section 9-8.5. The applicable limit shall be determined by the amount of funds allowed to be contributed from the original committee type to the new committee type. If the committee has a fund balance that exceeds the normal contribution limit from the original committee type to the new committee type, it must first dispose of the excess funds before making the conversion.
- 6) Statement of Organization
- A) Reference: This subsection (b)(6) interprets Code Section 9-3.

- B) A committee officer must, in filling out the Form D-1, use the name that appears on his or her birth certificate, baptismal record, voter's registration card, statement of candidacy or nominating petition, or any other name by which the officer is commonly known in the community in which the officer resides. Aliases created for the purpose of filing under Article 9 of the Election Code may not be used.
- C) The prohibitions contained in Code Section 9-3(d)(iii) and (d-5)(iii) against making contributions from a ballot initiative committee or an independent expenditure committee to a candidate or candidates for nomination for election, election or retention to public office shall not include refunds of contributions to the candidate so long as the refund does not exceed the amount the candidate originally contributed. Nothing in Code Section 9-3(d)(i) prohibits an independent expenditure committee from making expenditures on its own behalf and for its own benefit for the customary and reasonable expenses of operating a political committee, provided that the expenditures are not made in connection, consultation or concert with, or at the request or suggestion of, any other political committee, public official or candidate, or the agent or agents of the committee, public official or candidate.
- D) For the purpose of this subsection (b)(6), the term "person" contained in the definition of "sponsoring entity" shall not include a political committee. The term "sponsoring entity" is defined in Section 100.170.
- E) A complaint for willfully filing a false or incomplete Statement of Organization shall be subject to the provisions of Code Sections 9-20 and 9-21.

(Source: Amended at 39 Ill. Reg. 8060, effective May 19, 2015)

#### **Section 100.60 Filing Option for a Federal Political Committee**

- a) Reference: This Section interprets or applies Code Section 9-15.
- b) Any "person" or "whoever", as defined by Code Section 9-1.6, qualifying as a political committee under Article 9 of the Election Code and filing Federal Election Commission reports may choose to comply with the provisions of Article 9 by so indicating on a Statement of Organization (Form D-1) filed with the State Board of Elections.
- c) A political committee may choose to file reports pursuant to this Section, either by amendment or for the first time, by stating on Part 5 of the Statement of Organization (Form D-1) the following: "Campaign financing reports will be filed pursuant to Section 100.60, Campaign Financing Regulations, State Board of Elections."
- d) Pursuant to the state filing waiver program (2 USC 439), a federal political committee also qualifying as a political committee under Article 9 shall not file a copy of all Federal Election Commission reports with the State Board of Elections.
- e) A political committee filing reports pursuant to this Section that makes an independent expenditure of \$1,000 or more supporting or opposing a candidate for State or local office in Illinois during the 30 day period before an election must notify the State Board of Elections, in writing, within 5 business days after making the independent expenditure, except that if the independent expenditure is made in the 60 day period before an election the notification must be filed within 2 business days. The notification shall contain the information required in Code Section 9-11(c).
- f) This Section shall not authorize any person to receive or expend in Illinois an anonymous

contribution on behalf of or in opposition to a candidate covered by Article 9 or in support of or in opposition to a question of public policy.

(Source: Amended at 39 Ill. Reg. 8060, effective May 19, 2015)

### **Section 100.75 Limitation on Campaign Contributions**

Political committees are limited in the amount of contributions that they can accept. Appendix A, Table A lists the 5 types of political committees (Candidate, Political Party, Political Action, Ballot Initiative and Independent Expenditure Committees) and the specific contribution limitations applicable to each. Appendix A, Table B lists the election cycles for Candidate Committees (subdivided according to the office sought), Political Party Committees, Political Action Committees, Ballot Initiative Committees and Independent Expenditure Committees.

- a) A candidate political committee formed by a candidate who seeks nomination at a general primary election or a consolidated primary election is subject to limitations as to what amount it may receive in contributions from a political party committee during a primary election cycle. For this purpose, the primary election cycle begins with the day the candidate may begin circulating petitions and ends on the day of the primary election.
- b) Political committees on file with the FEC that file pursuant to Section 100.60 may not make contributions to other political committees that are on file with the State Board of Elections exceeding the limits set forth in Code Section 9-8.5, except as provided in Code Section 9-8.5(c).
- c) For political committees referred to in Code Section 9-1.8 (candidate, political party and political action), any contribution or transfer received in violation of Code Section 9-8.5(a) through (d) shall be disposed of within 30 days after being sent notice from the Board by:
  - 1) Returning the amount of the contribution or transfer that exceeds the contribution limit, or an amount equal to that amount, to the contributor or transferor; or
  - 2) Donating the amount of the contribution or transfer that exceeds the contribution limit, or an amount equal to that amount, to a charitable organization.
- d) If a political committee is determined to have received a contribution or transfer in violation of Code Section 9-8.5(a) through (d), the Board shall send, by first class mail, a notice to the committee and its officers of the apparent violation. The notice shall identify the contributions at issue, along with the committee's options under Code Section 9-8.5(j) and subsection (c) of this Section for disposing of the contributions (returning the amount to the contributor or donating the amount to a charity), and informing the committee that whatever disposal action it takes must be completed within 30 days after the date on the notice.
- e) If a political committee fails to dispose of the contribution or transfer as provided in subsection (c):
  - 1) The amount of the contribution or transfer that exceeds the contribution limit shall escheat to the State's General Revenue Fund within 30 days after the expiration of the 30 day period provided in subsection (c); and
  - 2) The political committee shall be deemed to be in violation of this Section and be subject to a civil penalty not to exceed 150% of the amount of the contribution that exceeds the contribution limit.
- f) When considering the amount of the civil penalty to be imposed, the Board will consider all relevant factors, including, but not limited to, the following:

- 1) Whether in the Board's opinion the violation was committed inadvertently, negligently, knowingly or intentionally;
  - 2) Whether any attempt was made by the committee to return the contribution or transfer; and
  - 3) Past violations of Code Section 9-8.5. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.
- g) When a contribution is determined to have been received by a political committee in violation of Section 9-8.5(a) through (d) and it is further determined that the committee has not taken the remedial action required by Code Section 9-8.5(j) and subsection (c) of this Section, the Board will send, by first class mail, notice of violation to the chairman and the treasurer of each political committee, and by certified mail to the address of the committee, together with an order assessing a civil penalty calculated in accord with this subsection (g). The notice of violation shall state that the Board has assessed a civil penalty that will be final unless the committee shows cause as to why the penalty should not be assessed. The provisions of 26 Ill. Adm. Code 125.425 relating to procedures to appeal civil penalty assessments shall apply to penalties assessed under this Section.
- h) For purposes of adjusting the amounts of contribution limitations under Code Section 9-8.5(g), the Board will base the adjustments on the Consumer Price Index for All Urban Consumers – US City Average (Not Seasonally Adjusted), as provided by the United States Department of Labor. Adjustments shall be calculated:
- 1) On January 1, or the first business day following January 1, of each odd-numbered year, whichever comes first;
  - 2) As a percent change, rounded to the nearest tenth, in the index point level of the 24 month period immediately preceding the most current month for which data is available. The change will then be applied to the existing contribution limits as of December 31 of the year immediately preceding the adjustment and rounded to the nearest \$100.
- i) For purposes of Code Section 9-8.5(b), an election cycle ending on the date of the consolidated primary election shall end on that date even if no consolidated primary election is held in that jurisdiction. The election cycle for the consolidated election shall begin on the day after the date of the consolidated primary election, even if no consolidated primary election is held in that jurisdiction. The election cycle for the consolidated election shall begin on the day after the date of the consolidated primary election and end on June 30 of that year even if the candidate was only on the ballot at the consolidated primary election and not on the ballot at the consolidated election.
- j) For purposes of Code Section 9-8.5(h):
- 1) Contributions or loans from a public official or a candidate, or a public official's or candidate's immediate family, to the public official's or candidate's political committee shall not be subject to the contribution limits found in Section 9-8.5.
  - 2) "Candidate for the same office" shall be determined by candidate petition filings. Prior to the actual filing of petitions for a particular office, a candidate for that office wishing to receive official notice of a Self-Funding Notification from the Board must inform the Board in writing of his or her intention to seek nomination or election to the office in question.

3) The 12 month period specified in Section 9-8.5(h) for candidates seeking nomination or election at a general primary election or consolidated primary election begins 12 months prior to the date of the primary election and ends the date of the primary election. Thereafter, for nominated candidates who have not previously filed a Notification of Self-funding, calculation of the self-funding threshold begins the date after the general primary election or consolidated primary election, whichever is applicable, and ends the date of the general or consolidated election.

The 12-month period specified in Section 9-8.5(h) for candidates not seeking nomination or election at a primary election, begins 12 months prior to the general or consolidated election, whichever is applicable, and ends the day of the election.

k) For purposes of Code Section 9-8.5:

1) Candidates running together for the offices of Governor and Lieutenant Governor shall be considered to be candidates for the same office, so that the removal of the contribution limits for candidates for one office shall also be applied to candidates for the other office. An expenditure made by a candidate on behalf of his or her own candidacy for one office shall not be deemed an in-kind contribution to that candidate's running mate, regardless of whether the expenditure is for the benefit of both candidates.

2) In an election in which there are no more than two candidates on the ballot for a particular office, any combination of independent expenditures made by a natural person or persons, independent expenditure committee or committees, or combination thereof, either supporting or opposing any candidate in the election for that office shall be considered in aggregate towards the calculation of whether the threshold allowing candidates to accept contributions in excess of the limits imposed by Code Section 9-8.5(b) has been reached.

3) In an election in which there are more than two candidates on the ballot for a particular office, the calculation of whether the threshold allowing candidates to accept contributions in excess of the limits imposed by Code Section 9-8.5(b) has been reached shall only include independent expenditures made to support a single candidate or to oppose a single candidate, not a combination of independent expenditures made in support of or opposition to more than one candidate in the race.

l) For purposes of reporting of contributions, a contribution made via a check or other similar written instrument with more than one individual's name imprinted on it, but containing only one signature, shall be reported as coming solely from the signer, unless the instrument is accompanied by a written statement, signed by each individual making the contribution, declaring that the contribution was meant to be given by each of the undersigned individuals and declaring the amount of the contribution to be attributed to each of the individuals.

m) For purposes of determining contribution limits under Code Section 9-8.5, the term "corporation, labor union or association" shall include any "business entity" organized as either for profit or not for profit, and shall include, but not be limited to, a partnership, sole proprietorship, limited liability company or limited liability partnership.

(Source: Amended at 39 Ill. Reg. 8060, effective May 19, 2015)

## **Section 100.80 Report Forms**

a) Reference: This Section interprets or applies Sections 9-10 and 9-11 of the Election Code.

b) All reports submitted by political committees pursuant to Article 9 of the Election Code shall either be typed or printed legibly in black ink.

- c) Computer sheets filed in lieu of forms or schedules shall not exceed 8½" x 14 11". ~~They shall be rejected if not camera ready.~~

(Source: Amended at 35 Ill. Reg. 2295, effective February 4, 2011)

#### **Section 100.85 Independent Expenditures**

- a) When determining whether a natural person making an independent expenditure or expenditures has exceeded the \$3,000 threshold triggering the requirement to file a written disclosure with the Board, the phrase "a public official or candidate" shall also include a slate of candidates. An independent expenditure made by a natural person shall be reported if the expenditure exceeds \$3,000, regardless of how many public officials or candidates are supported or opposed by the expenditure. The report shall list the total amount expended and the names of all the public officials and candidates covered by the expenditure. The natural person shall not prorate the amount of the expenditure based on the number of covered public officials or candidates.
- b) An independent expenditure or independent expenditures in excess of \$3,000 made by an entity supporting or opposing a public official or candidate shall cause that entity to establish as a political committee regardless of how many public officials or candidates are supported or opposed by the expenditure. The entity shall not prorate the amount of the expenditure based on the number of covered public officials or candidates when determining whether it has to organize as a political committee.
- c) After the filing of the initial written disclosure, a natural person has a continuing obligation to report, within 2 business days, any independent expenditure made prior to the election in support of or in opposition to the public official or candidate, in \$1,000 increments, on an additional written disclosure.
- d) The written disclosure must include:
- 1) If a natural person, the name, address, occupation and each employer of the natural person.
  - 2) The name and address of the public official, candidate, or each candidate listed on the slate of candidates.
  - 3) The date and amount of each independent expenditure.
  - 4) The nature/description of each independent expenditure.
- e) An expenditure made by a natural person or political committee for an electioneering communication or to expressly advocate for or against the nomination for election, election, retention, or defeat of a clearly identifiable public official or candidate, shall not be considered to be an independent expenditure if it republishes, disseminates or shares campaign materials or information provided, designed or prepared by the public official or candidate, the public official's or candidate's political committee, or the agent or agents of the public official, candidate, or political committee or campaign, unless such campaign materials are:
- gathered from a publicly-available source, or
- based on an interview of the candidate or public official regarding issues affecting the natural person or political committee making the expenditure, provided that the interview does not include discussion of campaign needs, plans, strategy or research.
- f) An expenditure made by a political committee for an electioneering communication or to



expressly advocate for or against the nomination for election, election, retention, or defeat of a clearly identifiable public official or candidate, shall not be considered to be an independent expenditure if the public official or candidate, the public official's or candidate's political committee, or the agent or agents of the public official, candidate, or political committee or campaign has assisted in fundraising or other activities designed to promote the committee making the expenditure. Such activities include, but are not limited to, attendance at committee fundraisers, soliciting contributions on behalf of the committee or providing information about potential donors to the committee.

(Source: Amended at 39 Ill. Reg. 8060, effective May 19, 2015)

**Section 100.110 ~~Loans by One Political Committee to Another~~ Responsibility for Committee Debts or Penalties**

- a) If a political committee lends or donates funds to a second political committee while the lending or donating committee owes the State Board of Elections a civil penalty assessed under the provisions of Section 9-10, 9-23 or 9-26 of the Election Code, the officers of the lending committee shall be jointly and severally personally liable to the extent allowed by law for payment of the civil penalty to the extent of the funds loaned or given.
- b) If a political committee goes out of existence while it owes the State Board of Elections a civil penalty assessed under Section 9-10, 9-23 or 9-26 of the Election Code, any political committee formed within 24 months from the date of the final order imposing a civil penalty assessment on the first committee and composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the first committee, shall be deemed a successor committee and shall be responsible for payment of the civil penalty of the first committee.
- c) If a candidate political committee closes or goes out of existence and the committee has been or is later assessed a civil penalty under Code Section 9-10, 9-23 or 9-26, any candidate political committee formed by the same candidate, regardless of office sought, that was in existence at the time of the violation shall be responsible for payment of the civil penalty of the closed committee.
- ed)** A political committee that seeks to go out of existence while it is owed money by another political committee must first forgive the debt of the debtor political committee and must amend its reports to show the forgiven debt as a contribution to the debtor committee.
- de)** If a political committee seeks to go out of existence after a civil penalty has been imposed upon it pursuant to the Election Code and the rules promulgated under the Election Code, or if a civil penalty has been assessed by Board staff and the process of going out of existence is begun or about to begin, the political committee must first pay the civil penalty or, if it lacks sufficient funds to pay the civil penalty in full, pay to the State Board of Elections such sums as it has in its treasury in satisfaction of the civil penalty. Only upon payment of the civil penalty, either in full or in part, shall the committee be permitted to exit the reporting system established by Article 9 of the Illinois Election Code.

(Source: Amended at 35 Ill. Reg. 2295, effective February 4, 2011)

**Section 100.120 Receipt of Campaign Contributions**

- a) Every person or political committee that contributes goods or services to a committee shall provide to the treasurer of the political committee, within 5 business days after making the contribution, a detailed account of the contribution, including the name and address of the person or political committee making the contribution; a description and the market value of the goods or services; and the date on which the contribution was made. The ascertainable market value of goods and services assigned by the contributor or, if the contributor fails to provide the

information to the recipient committee, by the recipient committee, shall be prima facie correct unless rebutted by clear and convincing evidence.

- b) An entity defined by Code Section 9-1.6 or a political committee as defined by Code Section 9-1.8 shall acknowledge, to the donor, receipt of any notice it receives under subsection (a). No committee shall retain an in-kind contribution it has knowingly received unless it also receives the information from the contributor required by subsection (a) unless return of the contribution is impossible. If the contributor does not comply with subsection (a) and if the in-kind contribution cannot be returned, the beneficiary political committee shall nonetheless have the responsibility to report the in-kind contributions or expenditures from the contributor if it actually knows or reasonably should have known from the facts available to it that an in-kind contribution had been made in its behalf.
- c) A monetary contribution is any contribution other than a gift of goods or services. The receipt date of the contribution is determined as follows:
  - 1) A monetary contribution to a political committee is deemed to have been received on the date the contribution was deposited in a bank, financial institution or other repository of funds for the committee.
  - 2) If not deposited into a bank, financial institution or other repository of funds, a monetary contribution is deemed to have been received as follows:
    - A) A cash contribution that is not deposited into a bank, financial institution or other repository of funds is deemed to be received on the date that the cash is given to any employee or agent of the committee.
    - B) A contribution in the form of a business check, personal check, money order, or cashier's check that is not deposited into a bank, financial institution, or other repository of funds is deemed to be received on the date the check is cashed and the cash becomes available to the committee. A contribution by credit card or other implement used for processing a monetary contribution that was deposited in a bank, financial institution or other repository of funds for the committee is deemed to be received on the date the committee received notice of the deposit.
- d) A contribution of goods or services (in-kind contributions) possession of which is not actually obtained by the recipient committee is deemed received on the date the public official, candidate or political committee received the notification of contribution of goods or services as required under Code Section 9-6(b) and subsection (a) of this Section. If no notification has been received, the in-kind contribution is deemed received on the date the candidate, chairman or treasurer of the recipient committee, or the public official, obtains knowledge of the in-kind contribution, including its value. A contribution of goods actually received by the committee is deemed to be made on the date the goods are transferred to the possession of the recipient. A contribution of services is deemed to be made on the date the services are actually performed.

e) When reporting receipt of a contribution of goods or services, the recipient committee shall, in addition to reporting the deemed date of receipt as defined by subsection (d), include by way of description the date on which the contribution was made, as reported to the committee per subsection (a).

(Source: Amended at 39 Ill. Reg. 8060, effective May 19, 2015)

#### **Section 100.150 Electronic Filing of Reports**

- a) The State Board of Elections will make electronic filing available to committees required to report

electronically under Code Section 9-28.

- b) Once a committee exceeds the threshold that requires it to report electronically, it must continue to report electronically until it dissolves, whether or not its accumulation, receipts or expenditures fall beneath the levels set by statute for mandatory electronic filing.
- c) Once a committee is required to file its reports electronically under Code Section 9-28, it must continue to file all reports electronically, except as follows:
  - 1) A paper report shall be considered a timely filing if it is received by the Board on or before the filing deadline, provided that it covers the initial reporting period during which the mandatory electronic filing threshold is exceeded and that the report is filed electronically within 30 days after receipt of notice from the Board that this report was required to have been filed electronically. If the report is not filed electronically within this 30 day period, it shall be considered as never having been filed and the civil penalties mandated by 26 Ill. Adm. Code 125.425 will accrue from the date of the filing deadline.
  - 2) A paper report shall be considered a non-filing if the committee has previously received the notification referred to in subsection (c)(1). If the report is not filed electronically by the filing deadline, it shall be considered as having never been filed and the civil penalties mandated by 26 Ill. Adm. Code 125.425 will accrue until such time as it is filed electronically.
  - 3) A paper report shall be considered a timely filing if at least one previous report was required to have been filed electronically and the committee had never been notified by the Board that it was required to electronically file its reports, provided that the report is filed electronically within 30 days after the notification referred to in subsection (c)(1). If the report is not filed electronically within this 30 day period, it shall be considered as never having been filed and the civil penalties mandated by 26 Ill. Adm. Code 125.425 will accrue from the date of the filing deadline.
  - 4) A paper report shall be considered a timely filing if it is received on or before the filing deadline and the committee has never exceeded the \$10,000 threshold requiring the electronic filing of its reports, regardless of whether the committee filed previous reports electronically.
  - 5) If a committee is assessed a civil penalty for delinquently filing a report electronically and, in the course of its appeal, raises the defense that computer related issues prohibited the timely filing of an electronic report, the Board may consider that defense the following when determining the final outcome of the appeal:
    - A) Whether the committee has raised any electronic filing issue as a defense in a prior appeal. An electronic filing defense may be taken into consideration only once during the lifetime of a committee, barring extenuating circumstances.
    - B) The length of time the committee has been filing electronically, regardless of whether the committee officers or the persons actually filing reports have changed.
    - C) Whether the committee had established an electronic filing account (user name and password) prior to 4:30pm on the filing deadline date. Failure to contact the Board to establish or verify this information during normal business hours shall not constitute a valid electronic filing defense. Misplacing, forgetting or simply not knowing a user name or password shall not constitute a valid electronic filing defense.

D) If a committee misses a filing deadline due to an electronic filing issue, it must contact Board staff within 3 business days after the deadline to qualify for an electronic filing defense, and must make a reasonable attempt to resolve the issue and file the report as quickly as possible.

- 6) The electronic filing requirement established in this Section shall not apply to Reports of Independent Expenditures required to be filed by natural persons pursuant to Code Section 9-8.6, as those persons are not necessarily political committees.

(Source: Amended at 39 Ill. Reg. 8060, effective May 19, 2015)

TITLE 26: ELECTIONS  
CHAPTER I: STATE BOARD OF ELECTIONS

PART 125  
PRACTICE AND PROCEDURE

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AUTHORITY: Implementing and authorized by Sections 1A-8(9), 9-15(3), 9-21 and 9-23 of the Election Code [10 ILCS 5/1A-8(9), 9-15(3), 9-21 and 9-23].

SOURCE: Adopted at 5 Ill. Reg. 12115, effective October 26, 1981; amended at 7 Ill. Reg. 230, effective December 16, 1982; amended at 7 Ill. Reg. 239, effective December 16, 1982; amended at 7 Ill. Reg. 15803 and 15810, effective November 9, 1983; codified at 8 Ill. Reg. 3278; amended at 9 Ill. Reg. 4050, effective March 14, 1985; amended at 14 Ill. Reg. 10832, effective June 22, 1990; amended at 16 Ill. Reg. 6986, effective April 21, 1992; amended at 19 Ill. Reg. 6546, effective May 1, 1995; emergency amendment at 23 Ill. Reg. 1122, effective January 7, 1999, for a maximum of 150 days; amended at 23 Ill. Reg. 6807, effective May 24, 1999; amended at 24 Ill. Reg. 14203, effective September 11, 2000; emergency amendment at 28 Ill. Reg. 1408, effective January 5, 2004, for a maximum of 150 days; emergency expired June 2, 2004; amended at 29 Ill. Reg. 18796, effective November 7, 2005; amended at 30 Ill. Reg. 6337, effective April 3, 2006; amended at 30 Ill. Reg. 10266, effective June 1, 2006; amended at 31 Ill. Reg. 16738, effective December 14, 2007; amended at 35 Ill. Reg. 2351, effective February 4, 2011; amended at 39 Ill. Reg. 8117, effective May 19, 2015.

### Section 125.30 Form of Documents

- a) All documents filed with the office of the General Counsel shall be stamped or printed with the docket number and the title of the proceeding in connection with which they are filed. Upon the filing of a complaint, the office of the General Counsel shall assign a docket number to the complaint and proceeding, and all documents thereafter filed pertaining to that particular complaint or proceeding shall include the docket number first assigned. ~~Except as otherwise provided, 8 copies of all documents shall be filed with the office of the General Counsel. When the Board or the General Counsel waives the necessity of filing 8 copies of documents, that waiver shall be binding.~~ Documents shall be printed or typewritten or reproduced from a printed or typewritten copy on unglazed white paper.
- b) ~~Reproduction may be made by copying machines or any other process that produces legible black on white copy. At least one copy of~~ Each document shall be signed by the party filing or by his or her authorized representative or attorney. The first document filed by a party in any proceeding shall bear the address and telephone number of the party or of his or her attorney or representative and the designation of the address shall be deemed to be consent by the filing party to have a copy of all documents filed or to be filed later served upon the party at the designated address.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011.)

### Section 125.245 Appointment of Hearing Officer – Order of Closed Preliminary Hearing

- a) Complaints may be filed by Board members, Board staff, or private persons in accordance with Section 9-20 of the Election Code.
- b) In accordance with the time constraints stated in Section 9-21 of the Election Code, the ~~Executive Director~~ Director of the Division of Campaign Disclosure shall appoint a Hearing Officer, who shall be a licensed attorney or a Board employee of the classification Election Specialist III or higher, who possesses at least two years' experience as an Election Specialist of any rating, and the Director of the Division of Campaign Disclosure shall enter an order directing a closed preliminary hearing be held on the complaint, designating the time and place of the hearing.
- c) The Hearing Officer may be the Director of the Division of Campaign Disclosure or any person designated by the ~~Executive Director~~ Director of the Division of Campaign Disclosure.
- d) A copy of the order shall be served on the complainant, if different from the Board or its staff, and upon the respondent. The order shall have attached a copy of the complaint.
- e) The order shall contain a recitation that the respondent may be represented by counsel at the closed preliminary hearing.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011.)

### Section 125.252 Scope of Preliminary Hearing – Procedures – Evidence

The closed preliminary hearing is not an adjudication, but shall be an inquiry to elicit evidence on whether the complaint was filed on justifiable grounds and has some basis in fact and law.

- a) The closed preliminary hearing shall be conducted by the Hearing Officer.
- b) ~~Minutes of the closed preliminary hearing shall be kept by the Board staff and signed by the Hearing Officer. The Hearing Officer shall record the proceedings, and make a copy of the recording available to either party upon request. A party may record the proceedings by employing his or her own court reporter or otherwise recording the hearing. Minutes of the closed preliminary~~



hearing shall be made available to any party upon request.

- c) The closed preliminary hearing need not be strictly adversarial in nature.
  - 1) Any person offering evidence, written or oral, shall affirm to the Hearing Officer that his or her evidence is true to the best of his or her information and belief;
  - 2) Evidence may be submitted in narrative form;
  - 3) The Hearing Officer shall not be bound to follow rules of evidence acceptable in an Illinois court of record, but may admit and rely upon for his or her recommendation evidence or information of a type commonly relied upon by reasonably prudent men in the conduct of their affairs, as provided by Section 10-40(a) of the Illinois Administrative Procedure Act [5 ILCS 100/10-40(a)];
  - 4) The complainant bears the burden of introducing evidence or information sufficient under subsection (c)(3) for the Board to conclude that the complaint has been filed on justifiable grounds;
  - 5) The complainant will ordinarily present evidence or information supporting the complaint first in order. The complainant will present his or her case first, except when convenience to the Hearing Officer or the respondent requires the respondent to proceed first. The consent, in such cases, of the complainant will be required. The respondent may then present any information or evidence; and
  - 6) The Hearing Officer may ask the complainant or respondent any questions relevant to the charges of the complaint. Any question is relevant if it has the possibility of eliciting an answer that tends to make the ultimate fact of justifiable grounds more or less likely.
- d) At the close of the hearing, the Hearing Officer shall summarize the content of the hearing and his or her conclusions concerning the evidence and information represented and draft a recommendation to the Board addressing whether the complaint was filed on justifiable grounds. The Hearing Officer shall also attach to the minutes recommendation any documents tendered to the Board during the hearing, and submit his or her recommendation and the minutes to the Board for their consideration. The Hearing Officer shall send a copy to the General Counsel, as well as to the complainant and the respondent and their designated representatives.
- e) The Hearing Officer shall have no authority to rule on any questions of law raised by the complainant or respondent, but shall note in the minutes recommendation all such matters for the Board's disposition.
- f) At any time before the Hearing Officer submits the recommendation and minutes, the complainant and respondent may settle the matters between them, subject to the approval of the Board. If the Board or a member of its staff is the complainant, the Hearing Officer shall have the authority to enter into a stipulation for settlement pursuant to Section 125.254, subject to Board approval.
- g) No additional evidence shall be considered by the Hearing Officer after the conclusion of the closed preliminary hearing.

(Source: Amended at 39 Ill. Reg. 8117, effective May 19, 2015)

#### **Section 125.253 Responsibilities of the General Counsel**

- a) Upon receipt of a copy of the recommendation of the Hearing Officer and the minutes, the General Counsel shall:

- 1) Review the minutes recommendation for questions of law and evidence;
  - 2) Offer remarks and recommendations on all matters of law noted in the minutes recommendation;
  - 3) Comment upon matters of evidence when that comment would assist the Board in understanding the recommendation of the Hearing Officer, or the recommendation is against the manifest weight of the evidence or otherwise subject to dispute; and
  - 4) Transmit remarks and recommendations to the Board in accordance with the time constraints stated in Section 9-21 of the Election Code.
- b) If no question of law or fact requires the General Counsel's comment or recommendation, he or she shall so note without further remark.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011.)

#### **Section 125.262 Board Determination**

- a) After the submission of the recommendations of the Hearing Officer, the minutes, and the recommendations of the General Counsel, if any, the Board shall determine whether the complaint was filed on justifiable grounds. If the Board determines that the complaint was filed on justifiable grounds, and if the respondent is unwilling to take action necessary to correct the violation or refrain from the conduct giving rise to the violation, it shall order a public hearing to be conducted in accordance with Subpart C of this Part.
- b) The Board may consider and discuss the Hearing Officer's recommendation through a conference telephone call begun in open session and continued in executive session in lieu of an in-person meeting, and that consideration and discussion shall be deemed part of the closed preliminary hearing process. Any action on the Hearing Officer's recommendations must be taken in open session or, if taken as part of the telephonic conference call, that portion of the conference call shall be broadcast over a speaker phone or other similar device at both the permanent and branch offices of the Board. That portion of the broadcast call shall be open to the media and public.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011.)

#### **Section 125.270 Record of Preliminary Hearing on Appeal Administrative Review**

Upon appeal from a final order of the Board dismissing a complaint following a closed preliminary hearing, minutes the recording of the preliminary hearing and documentary evidence received during the preliminary hearing, together with the recommendation of the Hearing Officer, the recommendation of the General Counsel, if any, and the final order of the Board, shall constitute the record on administrative review pursuant to the Administrative Review Law [735 ILCS 5/Art. III]. A party that has caused a verbatim transcript of the closed preliminary hearing to be made may, at that party's election, submit that transcript for inclusion in the record on administrative review. Legal counsel for the Board shall be instructed to seek leave of the Court to file the record on administrative review "in camera" with the Court having jurisdiction over the review. Any public inspection or release may be subject to order of that Court. Before the record is filed, the Hearing Officer shall notify the parties that the record has been prepared, shall receive corrections from any parties, shall examine the record for accuracy, and then shall certify that it is a true and accurate record of the hearing.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011.)

#### **Section 125.272 Order of Public Hearing**

- a) In the event that the Board orders a public hearing, the Board shall, as Section 9-21 of the Election

Code requires, appoint a Hearing Officer to conduct a public hearing on the complaint and shall immediately serve upon all parties a written Notice of Appointment of the Hearing Officer, stating the name, business address and telephone number of the Hearing Officer, together with a copy of the Board's order after the closed preliminary hearing. If the complaint is filed within 60 days preceding the date of an election in reference to which the complaint is filed, the General Counsel, Director of the Division of Campaign Disclosure also shall promptly give telephonic, e-mail or facsimile notice of the appointment to all parties and that notice shall be deemed supplementary to the written Notice of Appointment.

- b) The Hearing Officer shall, in accordance with the time constraints stated in Section 9-21 of the Election Code, designate a time and place for the public hearing and shall serve a written Notice of Hearing upon all parties, stating the time and place of the hearing. If the complaint is filed within 60 days preceding the date of an election in reference to which the complaint is filed, the Hearing Officer also shall promptly give telephonic notice of the hearing to all parties, which shall be deemed supplementary to the written Notice of Hearings.
- c) The Notice of Hearing shall contain a statement that the respondents have the right to be represented by legal counsel in any proceeding conducted by the State Board of Elections, including public hearing proceedings.

(Source: Amended at 35 Ill. Reg. 2351, effective February 4, 2011.)

#### **Section 125.425 Civil Penalty Assessments**

- a) A report required to be filed within a specified time pursuant to Code Section 9-10 is delinquent if not received by the Board on or before the due date. Documents are deemed received by the Board as of the date stamped by Board staff on the documents submitted.
- b) If a report is or continues to be delinquent, it is subject to a civil penalty as set out in subsection (d).
- c) When a report required by Code Section 9-10 is delinquent, the Board will send by first class mail a notice of delinquency to the chairman and the treasurer of each delinquent political committee, together with an order assessing a civil penalty calculated in accord with subsection (d). The notice of delinquency and order shall also be sent by certified mail to that committee's address. The notice of delinquency shall state that the Board has issued a civil penalty that will be final unless the committee shows cause in accord with subsection (e) why the penalty should not be assessed.
- d) The Board will calculate the civil penalty as follows:
  - 1) If the committee's total receipts, total expenditures, and balance remaining at the end of the reporting period for which the delinquent report was due are each \$5000 or less, and if the delinquent report is a quarterly report, the political committee shall be assessed a fine of \$25 per business day for the first violation, \$50 per business day for the second violation, and \$75 per business day for the third and each subsequent violation, to a maximum of \$5000. However, the civil penalty for any committee shall not exceed \$1000 for a first time offense involving a filing that is less than 10 days late.
  - 2) If the committee's total receipts, total expenditures, or balance remaining at the end of the reporting period for which the delinquent report was due exceeds \$5000, and if the delinquent report is a quarterly report, the political committee shall be assessed a fine of \$50 per business day for the first violation, \$100 per business day for the second violation, and \$200 per business day for the third and each subsequent violation, to a maximum of \$5000. However, the civil penalty for any committee shall not exceed \$1000 for a first time offense involving a filing that is less than 10 days late.

- 3) In the situation described in subsection (d)(1) or (d)(2), no civil penalty shall be assessed against a committee if the report is mailed and postmarked at least 72 hours prior to the filing deadline.
- 4) When considering the amount of the civil penalty to be imposed, the Board shall consider all relevant factors, including, but not limited to:
  - A) Whether, in the Board's opinion, the violation was committed inadvertently, negligently, knowingly or intentionally; and
  - B) Past violations of Article 9 of the Election Code by the committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.
- 5) If the delinquently filed report is a Schedule A-1 (report of contributions of \$1000 or more), in the final disposition of any appeal of a penalty assessed by the Board for the delinquency, the Board will consider assessing a civil penalty as follows:
  - A) In the case of a willful or wanton violation, the Board shall impose a penalty of no less than 10% and no more than 150% of the total amount of the contributions that were delinquently reported.
  - B) When considering the amount of the civil penalty to be imposed under subsection (d)(5)(A), the Board shall consider the following factors:
    - i) the number of days the contribution was reported late; and
    - ii) past violations of Code Sections 9-3 and 9-10 by the committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.
  - C) In the case of negligent or inadvertent violations, the Board may:
    - i) impose a fine not to exceed 50% of the total amount of the delinquently reported contributions; or
    - ii) waive the fine.
  - D) When considering the amount of the civil penalty to be imposed under subsection (d)(5)(C), the Board shall consider the following factors:
    - i) Whether the political committee made an attempt to disclose the contribution and any attempts to correct the violation;
    - ii) Whether the violation was attributed to a clerical or computer error;
    - iii) The amount of the contribution;

- iv) Whether the violation arose from a discrepancy between the date the contribution was reported transferred by a political committee and the date the contribution was received by a political committee;
  - v) The number of days the contribution was reported late; and
  - vi) Past violations of Code Sections 9-3 and 9-10 by the political committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently being assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.
- 6) If the delinquently filed report is a Statement of Organization (form D-1), the Board shall assess a civil penalty of \$50 for each business day that the report remains unfiled after its due date. The penalties shall not exceed \$5,000.
- 7) If an independent expenditure committee makes a contribution in violation of Code Section 9-8.6(d), the Board shall assess a fine equal to the amount of any contributions received in excess of the contribution limits for that particular contributor, during the two years preceding the date of the first contribution made in violation of the Act during a given quarterly reporting period. A committee that wishes to appeal the assessment may do so pursuant to this Section.
- e) In addition to the civil penalties provided for in Code Section 9-10(b) and (c), a committee or organization required to report under the Election Code may, for violations of provisions of Article 9 of the Election Code other than delinquent filing, be assessed a civil penalty under the provisions of Code Section 9-23 and this subsection (e). The Board will calculate civil penalties in accord with subsection (d). A committee that violates both Code Section 9-10 of the Election Code and an order of the Board may be liable for separate penalties for each violation. In cases of alleged violation of an order of the Board brought under the provisions of Code Section 9-23, the Board will mail to each committee or organization alleged to be in violation of a Board order notice of a proposed civil penalty calculated in accord with the terms of this Part, which proposed penalty shall become effective without further proceedings unless the committee or organization receiving the notice contests the proposed civil penalty. A political committee assessed a civil penalty under Code Section 9-10(b) or (c) for being delinquent in filing a required report or that has received notice of a proposed civil penalty for violation of a Board order under Code Section 9-23 may:
- 1) submit, within 30 calendar days after the mailing of the assessment notice, a request for waiver of appearance and appeal affidavit, in the form provided by the Board, stating the reasons for requested waiver of appearance and the reasons for the late filing or violation of the Board order, as the case may be, to show why a civil penalty should not be assessed. This appeal affidavit shall either be in writing, made under oath and upon penalty of perjury sworn to before a notary public or any person authorized to administer oaths, or be made pursuant to Section 1-109 of the Code of Civil Procedure [735 ILCS 5/1-109]; or
  - 2) submit, within 30 calendar days after the mailing of the assessment notice, a request for hearing and appeal affidavit, in the form provided by the Board, stating the reasons for the late filing or violation of the Board order, as the case may be, to show why a civil penalty should not be assessed. This appeal affidavit shall either be in writing, made under oath and upon penalty of perjury sworn to before a notary public or any person authorized to administer oaths, or be made pursuant to Section 1-109 of the Illinois Code of Civil Procedure; or

- 3) pay, within 30 days after the mailing of the assessment notice, the civil penalty assessed. If an appeal affidavit is filed, with or without waiver of appearance, the civil penalty shall not be due until the appeal is determined by the Board.
- f) Post-Appeal Hearing Defense or Evidence
- 1) Any defense and any accompanying evidence upon which the appeal is based that is presented to the Board following an appeal hearing, either by personal appearance before or a written appeal submitted to a Hearing Officer, shall be limited to the defense and evidence that was presented at the appeal hearing. The defense and evidence shall include, but not be limited to, interpretation of statute and rules, consideration of written or oral testimony tendered at the appeal hearing and consideration of documentary evidence tendered at the hearing.
  - 2) Any defense and accompanying evidence that was not known, and could not reasonably be expected to have been known, by the respondent at the time of the appeal hearing may be presented to the Board. The Board may, upon motion or on its own motion, remand the defense and evidence back to the original Hearing Officer, or may submit it to a new Hearing Officer for consideration. If an issue exists as to the applicability of this exception, the Board shall rule upon the issue immediately after presentation of the disputed defense and evidence. The respondent in the case shall be given an opportunity to demonstrate to the Board that the disputed defense and evidence was not known at the time of the appeal hearing and the respondent should not have been expected to have been aware of the defense and evidence at the time of the appeal hearing.
  - 3) Nothing in this Part shall be construed to prevent the respondent from being represented by counsel at the presentation before the Board when the counsel did not represent the respondent at the appeal hearing. Counsel shall be licensed to practice law in the State of Illinois as required by Section 125.60.
- g) If a political committee or organization required to report under the provisions of Article 9 of the Election Code that is subject to a civil penalty fails, within the time required, to make payment in full of the assessed civil penalty, then the Board shall proceed with efforts at collection pursuant to the Illinois State Collection Act of 1986 [30 ILCS 210]. The Board shall not hear an appeal of a civil penalty imposed for delinquent filing or the violation of a Board order if neither a request for waiver of appearance and appeal affidavit nor a request for hearing and appeal affidavit is filed within the time required. If an appeal affidavit is received and is not accompanied by either a request for hearing or waiver of appearance, it shall be presumed that the committee has waived the right to personally appear and the Hearing Officer shall base his or her recommendation on the defense presented on the appeal affidavit.
- h) Notwithstanding any provision of this Section to the contrary, the Board shall stay the enforcement of any civil penalty in cases of first time violation of a filing deadline and shall stay the enforcement of a civil penalty for the violation of a Board order when the committee or organization has voluntarily entered into a stipulation admitting the violation and agreeing to the civil penalty. The stay shall continue only so long as no subsequent violations of Article 9 of the Election Code or of Board orders occur. Violation of Article 9 of the Election Code or a Board order will cause the civil penalty otherwise stayed to become immediately due and may expose the committee or organization to further liability in accord with this Section.
- i) For the purpose of this Section, second and subsequent violations are deemed to occur with reference to the time the first ~~offense event~~ violation occurs occurred, not when a hearing, if any is required, concerning the first ~~offense event~~ violation is held. The Board may consider two or more allegations of violations at the same hearing, treating the first as an initial violation and the remaining as subsequent violations, imposing appropriate civil penalties for each. For the purposes of this Section, a violation is considered to have occurred on the first day a report is delinquent

pursuant to subsection (a).

- j) Notwithstanding any other provision of this Section:
- 1) if an active political committee or organization is assessed no more than one civil penalty under Code Section 9-10 during a two year period, it shall, after two years have lapsed following the assessment violation date, be considered as never having violated Code Section 9-10. For a single violation, the two year period begins to run with the date of the final Board order violation. If an active political committee or organization is assessed more than one civil penalty and has paid all assessed civil penalties, it shall be considered for assessment purposes as not having violated Code Section 9-10 if it is assessed no other civil penalty during a two year period following receipt of payment by the Board;
  - 2) if a committee or organization is assessed a single penalty under Code Section 9-10 and subsequently files a final report pursuant to Code Section 9-5 or has filed a final report pursuant to Code Section 9-5 prior to the assessment, during the two year period beginning with the date of the final Board order violation, any successor committee or organization shall be considered, for assessment purposes, as not having violated Code Section 9-10 if it is assessed no other penalty;
  - 3) if a committee or organization is assessed more than one penalty under Code Section 9-10 and subsequently files a final report pursuant to Code Section 9-5 or has filed a final report pursuant to Code Section 9-5 prior to the assessment, and the political committee or organization has not paid the civil penalties, any successor committee or organization that subsequently pays all civil penalties due shall be considered as never having violated Code Section 9-10 if, for two years from the date of receipt of payment by the Board, the successor committee or organization is assessed no other civil penalty;
  - 4) If a committee or organization is assessed more than one penalty under Code Section 9-10 and subsequently files a final report pursuant to Code Section 9-5 or has filed a final report pursuant to Code Section 9-5 prior to the assessment, and the political committee or organization has not paid the civil penalties, the two year period shall begin with the date of the final Board order, unless the final report is filed subsequent to the date of the final Board order, in which case the two year period shall begin with the date the final report is received by the Board. If no successor committee is formed during that period, the committee shall be considered for assessment purposes as not having violated Code Section 9-10.
- k) Upon notice by the Hearing Officer or upon request by any party, the Hearing Officer may direct parties or their attorneys to appear at a specified time and place for a conference, either during or prior to any hearing, for purposes including, but not limited to:
- 1) the formulation and simplification of issues;
  - 2) the necessity or desirability of amending the assessment notice for the purpose of clarification or correction;
  - 3) the possibility of stipulations concerning material facts;
  - 4) the limitations of the number of witnesses;
  - 5) other matters as may aid in the simplification of evidence and the disposition of the proceeding.

(Source: Amended at 39 Ill. Reg. 8117, effective May 19, 2015)

#### **Section 125.440 Reconsideration**

Any member of the Board, or any party affected by a final order of the Board, may file a written motion to reconsider. The motion shall set forth in specific detail the grounds alleged for reconsideration and must be filed with the Board not later than **7 21** days after the effective date of the Board's order, subject to Section 1.6 of the Election Code.

- a) A Motion to Reconsider a Final Board Order imposing a civil penalty for a delinquently filed report or reports, when the respondent failed to timely file an appeal of the penalty, must state the reasons the respondent failed to submit an appeal in a timely manner and the basis for the appeal itself. Motions that fail to state these reasons and basis will be denied by the Board as failing to state adequate grounds for reconsideration of the final Board order.
- b) Oral argument shall be permitted on the motion only at the Board's discretion.
- c) The Board may consider, discuss and take action upon the motion through a conference telephone call in lieu of an in-person meeting. Notice shall be given to the media in advance of the conference call, the call shall be broadcast over a speaker phone or other similar device at both the permanent and branch offices of the Board, and the broadcast shall be open to the media and public. The entire conference call shall also be recorded by a certified court reporter.

(Source: Amended at 39 Ill. Reg. 8117, effective May 19, 2015)





**Heather V. Kimmons**

**Deputy General Counsel**

To: Members of the Board, Steven S Sandvoss, Executive Director & Kenneth R. Menzel, General Counsel

Re: Proposed Changes to Personnel Administrative Rules

Date: August 31, 2017

Submitted for the Board's review and approval is a package of proposed rulemakings to amend four sections of the agency's Personnel Rules. These changes are necessary to effectuate changes the Executive Director and Administrative Services intend to make to the SBE Policy Manual. The proposed text of the rules are attached hereto. A summary of the proposed revisions is as follows:

1. **Section 212.218, Application and Employment.** We propose adding language to subsection(a)(1)(3)(A), Screening of Applicants, to allow the Executive Director or Director of Administrative Services to designate someone other than the Human Resource Manager, when appropriate, to serve on an interview panel, and to require at least two members of the 3-member interview panel to have successfully completed Rutan certification. Otherwise, the rule remains unchanged.
2. **Section 212.230, Personnel Records and Performance Reviews.** We propose amending subsection (a)(3) to add a few more parties to the list of those who may view a personnel file—specifically, the modification would add those who directly supervise the employee, the Human Resource Manager (in whose office the files are kept) and attorneys for the SBE, to the extent legal advice is needed on matters within the file. This language would conform to actual practice. Otherwise, the rule remains unchanged.
3. **Section 212.286, Leaves of Absence.** We propose amending subsection (a)(3)(C) to require an employee to use all his/her accrued paid sick time before utilizing unpaid Family and Medical Leave time, giving the employee the option to substitute other remaining accrued paid benefit time for unpaid FMLA time, and to clarify that all paid benefit time utilized for FMLA purposes is counted toward the employee's 12-week FMLA entitlement. We also propose amending subsection (a)(9)(A) to allot qualified male employees the same amount of paid paternity leave as a qualified female employee receives in paid maternity leave (4 weeks/20 work days). This change conforms with CMS policy and SBE's actual practice. Otherwise, the rule remains unchanged.
4. **Section 212.290, Holidays.** We present proposed language reflecting the Board's December 5, 2016 vote to grant employees who are required to work on the General Election Day holiday additional compensatory time. Otherwise, the rule remains unchanged.

## Section 212.218 Application and Appointment

### a) Applications for Employment

- 1) Notice: Positions shall be advertised in both the Springfield office and the Chicago office by posting, unless the Executive Director directs otherwise. Other recruitment methods may be used as deemed appropriate.
- 2) Submission of Application:
  - A) Persons seeking employment must submit an application, resume or other documents demonstrating education and experience.
  - B) Employees seeking positions within the Office must apply in writing to the Director of the organizational unit in which the desired position is located. The Director shall submit copies of applications received to the Executive Director and the Director of Administrative Services.
- 3) Screening of Applicants:
  - A) Interviews: Directors or their designees are responsible for screening applications for non-Executive Employee positions. Interviews may be conducted as part of the screening process. Interviews shall be conducted by the Director of the organizational unit, the Director of Administrative Services and the Human Resource Manager, or his/her designee, the Director of Administrative Services or his/her designee, and either the Human Resources Manager or another appropriate staff person chosen by either the Executive Director or the Director of Administrative Services. In any event, a minimum of two members of the interview panel must have successfully completed the course for Rutan certification. except as provided for in subsection (a)(3)(C).
  - B) Executive Employee Interviews: The Board shall conduct interviews for the positions of Executive Director, Assistant Executive Director, General Counsel and Director.
  - C) Examinations: The Executive Director may require any applicants for any positions, including positions mentioned in subsection (a), to take examinations as a means to assess knowledge, skills and the ability to perform the duties of the position.
- 4) Criteria for Selection:

- A) Selection may be based on education, experience, interviews, references, and examinations, if conducted. Other factors, such as experience within the Office, may also be considered.
- B) Pre-employment screening of applicants may include, but is not limited to, background checks and routine reference verifications.
- C) If, following the screening process, the Director desires to place an applicant in a position, the Director shall submit his or her recommendation to the Executive Director for final action, including the decision not to fill the position.
- D) A central file of all applicants who applied for or were considered for a position, along with appropriate supporting material, shall be maintained in the Office of Administrative Services for a minimum of three years from the date the position is filled or a decision to not fill the position is made.

b) Appointment

- 1) Notice of Appointment: Upon approval of the Executive Director, the Director of Administrative Services shall notify applicants in writing of their appointment to a position. The notification shall state the position classification, work location, starting salary, and beginning date of employment, all contained in a conditions of employment agreement that shall be signed by all new appointees. Appointments become effective upon the applicant's reporting for work at the place and time designated in the notification.
- 2) Types of Appointment: The following types of appointments may be made by the Executive Director or a Director, subject to the concurrence of the Executive Director.
  - A) Probationary Employees: All appointments for newly hired employees shall be subject to the employee's performance through two consecutive performance appraisals by the Executive Director or a Director or his or her designee of approximately three months each and receipt of notification that the employee has been certified in the position to which appointed. At any time during this probationary period, newly hired employees may be discharged without notice, cause or any right to hearing.
  - B) Certified Employees: Employees successfully completing a probationary period shall be appointed to certified status. Appointment to certified status shall be effective upon receipt of written notice from the Executive Director or his or her designee.

- C) Temporary Employees: Employees authorized by the Executive Director or a Director to perform duties and responsibilities on a temporary basis shall receive compensation at the designated rate of a temporary employment agency supplying the worker or as agreed to by the employee and the Executive Director.
  - D) Acting Status in a Non-Executive Employee Position: An employee assigned to acting status for any position shall, at the Executive Director's or a Director's discretion, be paid in accordance with the salary range allocated to the position and the responsibilities incurred as a result of the acting assignment; provided, however, that payment shall not be lower than the employee's base salary immediately prior to the acting assignment. An employee removed from acting status shall be returned to the same or a similar position to the one held prior to the acting status appointment. The employee's salary shall be not less than his or her salary at the time he or she was appointed to the acting status.
  - E) Acting Status in an Executive Employee Position: An employee assigned to acting status to an Executive Employee position classification shall only be appointed by the Board and shall follow the procedures set forth in subsection (b)(2)(D).
  - F) Trainee Appointments: Employees appointed to a trainee position shall be promoted by the Director of the employee's organizational unit, subject to the concurrence of the Executive Director, to the permanent targeted title with no probationary period only after successful completion of the training period, which shall be a minimum of six months and shall not exceed 12 months. Employees appointed to trainee positions may be discharged at anytime prior to promotion without rights to appeal.
- 3) Other Appointments: The following types of appointments shall be made only by the Board:
- A) Executive Employees: Executive Employees serve at the discretion of the Board and may be discharged or demoted by the Board at any time without notice, cause or any rights to a hearing.
  - B) Term Appointments: Directors who are appointed for four year terms may be discharged at the end of their term without cause or any rights to a hearing. Sixty days prior to the expiration of the term appointment, the Director of Administrative Services shall serve a notice upon the appointee either in person or by certified mail, return receipt requested, at the employee's last address listed

in the personnel file. Notice shall also be given to the Chairman of the Board and the Executive Director. The Chairman of the Board shall notify the employee 30 days prior to the expiration of the term of the intention to renew or not renew the appointment.

- c) Contractual Employees: Contractual employees have no rights under this Part.

## Section 212.230 Personnel Records and Performance Reviews

### a) Personnel Records:

- 1) A personnel file shall be established for each employee upon entry into employment and shall be maintained in the Division of Administrative Services. When the following records are maintained, they must be maintained in the personnel file:
  - A) Applications for employment, letters of recommendation, resumes and school transcripts
  - B) Offers and acceptances of employment
  - C) Employment information cards
  - D) Personnel transaction forms
  - E) Written commendations and disciplinary actions
  - F) Annual performance appraisals
- 2) Records that are non-confidential are not made confidential because of their inclusion in the personnel file.
- 3) An employee is entitled to view his or her personnel file during working hours with reasonable notice to the Director of Administrative Services or his or her designee. These records may be inspected only in the presence of the Executive Director or his or her designee or the Director of Administrative Services or his or her designee. Certain records in the personnel file, in accordance with the law, may be withheld from the employee's inspection. In addition, personnel files may be viewed by the those who exercise direct supervision over that employee, members of the Board, the Executive Director, the Assistant Executive Director, and the Director of Administrative Services, the Agency Human Resource Manager, and, to the extent legal advice is sought, attorneys in the Division of General Counsel or his or her designee. Other employees, only at the discretion of the Executive Director, may view an employee's personnel file on a need to know basis only.
- 4) An employee shall be notified of any additions to or deletions from his or her personnel file. If an employee disagrees with any information contained in the personnel record, the employee may submit a written statement to the Director of Administrative Services explaining his or her position for inclusion in the employee's personnel file.

- 5) Performance records shall constitute material in an employee's personnel file that is relevant to determining the appropriateness of proposed or recommended personnel transactions.
  - 6) Performance records shall be considered in all cases, unless excepted by this Part, of promotion, demotion, discharge, layoff, reinstatement, merit salary increases and certification. In considering any potential change in an employee's current status, the employee's most recent performance records may be given greater weight than the employee's earlier performance records.
- b) Performance Evaluations: Performance records of non-Executive Employees shall include an evaluation of employee performance prepared at least annually on prescribed forms. Executive employees shall be evaluated in the time and manner prescribed by the Executive Director or the Board.
- 1) For an employee serving a 6-month probationary period, two evaluations shall be prepared by the Director and submitted to the Director of Administrative Services or his or her designee, one at the end of the third month of the employee's probationary period and another after the fifth month of the probationary period.
  - 2) For an employee serving a 4-month probationary period as a result of a promotion, one evaluation shall be prepared by the Director and submitted to the Director of Administrative Services or his or her designee, after the third month of the probationary period.
  - 3) Additional performance evaluations of individual employees may be conducted by the Executive Director or a Director as deemed necessary.
  - 4) Performance evaluations shall be conducted by the Director of the employee's organizational unit or the employee's direct supervisor. The Executive Director shall conduct performance evaluations for Directors and Executive Employees.
  - 5) Employees shall be required to sign all evaluation forms to indicate they have read the evaluation and it has been discussed with them by the Executive Director or the Director, whichever is appropriate.

## Section 212.286 Leaves of Absence

a) Types:

- 1) **Illness:** An employee who has expended his or her accumulated sick leave may be granted a leave without pay, provided that he or she submits a required statement from an appropriate health care provider setting forth the reasons for the employee's inability to work. The leave may continue for an appropriate period approved by the Executive Director provided that an appropriate health care provider's statement is submitted within the first 10 calendar days of each month during the leave. The Office shall continue to pay the premiums for the basic (State-paid) health and life insurances for an employee on illness leave until expiration of authorized leave and return to active service, but not to exceed 24 months. Failure to submit a statement from an appropriate health care provider within the first 10 days of each month during the leave could result in termination of the leave.
- 2) **On-the-Job Injury and Service-Connected Disease:** An employee who suffers an on-the-job injury or contracts a service-connected disease shall provide notice to the Director and the Director of Administrative Services and be allowed full pay during the first five working days of absence without utilization of any accumulated sick leave or other benefits. Thereafter, the employee shall be permitted to utilize accumulated sick leave or other benefits unless the employee has applied for and been granted temporary total disability benefits in lieu of salary or wages pursuant to the Workers' Compensation Act [820 ILCS 305] or through the State's self-insurance program. In the event the service-connected illness or on-the-job injury becomes the subject of payment benefits provided in the Workers' Compensation Act by the Illinois Workers' Compensation Commission, the courts, the State self-insurance program or other appropriate authority, the employee shall restore to the State the dollar equivalent that duplicates payments made as sick leave or other accumulated benefit time, and the employee's benefit accounts shall be credited with leave time equivalents. Employees whose compensable service-connected injury or illness requires appointments with a doctor, dentist or other professional medical practitioner shall, with supervisor approval, be allowed to go to such appointments without loss of pay and without utilization of sick leave.
- 3) **Family and Medical Leave:**
  - A) **Eligibility:** Employees who have been employed by the Office for at least 12 months and have worked at least 1,250 hours during the 12 months prior to the start of the federal Family Medical Leave Act of 1993 (FMLA) (29 USC 2601) leave are entitled to up to 12



workweeks leave in any 12-month period for one or more of the following reasons:

- i) because of the birth of a son or daughter of the employee and in order to care for the child;
- ii) because of the placement of a son or daughter with the employee for adoption or foster care;
- iii) to care for the spouse, or a son, daughter, or parent of the employee, if the spouse, son, daughter or parent has a serious health condition;
- iv) because of a serious health condition that makes the employee unable to perform the function of the position of the employee.

Spouses employed by the Office may be limited to a combined total of 12 workweeks of family leave for the birth and care of a child, placement of a child for adoption or foster care, or to care for an employee's parent who has a serious health condition.

- B) Use: The entitlement to leave under subsections (a)(3)(A)(i) and (iii) expires at the end of the 12-month period beginning on the date of the birth or placement of a son or daughter. The entitlement to leave under subsections (a)(3)(A)(iii) and (iv) may be taken intermittently when medically necessary and when scheduled; so as to not unduly disrupt the Office's operations.
- C) Benefits: Family and medical leave shall be unpaid. All accrued paid sick time must be exhausted before any unpaid FMLA leave time may be utilized. The employee may choose to substitute accrued paid vacation, personal and/or compensatory time for unpaid FMLA leave. If the employee elects not to substitute his/her accrued paid vacation, personal or compensatory time for unpaid FMLA leave, the employee remains entitled to previously accrued or earned paid vacation, personal or compensatory time. Paid benefit time utilized for FMLA purposes will be counted toward the 12-week FMLA entitlement, and vacation leave may be applied to the periods of absence at the employee's option—authorized in subsection (a)(3)(A). The Office shall continue to pay the premiums for the basic (State-paid) health and life insurances for an employee on family and medical leave.
- D) Restoration: Employees who take leave under this subsection (a)(3) shall be returned to the same or equivalent position with

equivalent benefits, pay and other terms and conditions of employment as held by the employee when the leave commenced.

- E) Optional Extension: Family and medical leave may be extended up to a total of six months in any 12-month period at the discretion of the Executive Director.
  - F) Notice and Certification: An employee shall provide the Office with not less than 30 days' notice of the employee's intent to take family and medical leave, or other notice as is practical under the circumstances. Documentation supporting the reasons for taking a leave may be required. The Office may obtain a second opinion from a health care provider of its choosing. Authorization from an appropriate health care provider to return to work may be required.
  - G) The terms and conditions of family and medical leave shall be governed by the Federal Family and Medical Leave Act of 1993.
  - H) In determining whether a veteran meets the FMLA eligibility requirement, the months employed and the hours that were actually worked for the Office shall be combined with the months and hours that would have been worked during the 12 months prior to the start of the leave requested but for the military service.
- 4) Administrative Leave: The Executive Director may grant administrative leaves of absence to employees for purposes deemed appropriate. The Executive Director shall determine the duration of the leave and whether the leave shall be with or without pay, full or partial, and with or without State-paid benefits.
  - 5) Excused Absence: An employee may be granted an excused absence with pay upon the approval of the Director to whom the employee reports.
  - 6) Military, Job Corps and Peace Corps Leaves: Leaves of absence shall be allowed employees who enter military service, the Peace Corps or the Job Corps as provided below and as may be required by law.
    - A) Military Service Leave: Leave of absence without pay shall be granted to all employees who leave their positions and enter military service for four years or less (exclusive of any additional service imposed pursuant to law). An employee shall be restored to the same or a similar position on making application to the Executive Director within 90 days after separation from active duty or from hospitalization continuing after discharge for not more than one year. The employee must provide evidence of satisfactory completion of training and military service when

making application for reinstatement and be qualified to perform the duties of the position. Continuous service and reemployment rights for veterans subject to federal law shall be as provided in the Uniformed Services Employment and Reemployment Rights Act (38 USC 4301-4333).

B) Military Reserve Training Leave: Any full-time employee of the Office, other than an independent contractor, who is a member of any reserve component of the United States Armed Forces or of any reserve component of the Illinois State Militia, shall be granted leave from Office employment for any period actively spent in military service, including:

- i) basic training;
- ii) special or advanced training, whether or not within the State and whether or not voluntary; and
- iii) annual training.

During the leaves, the employee's seniority and other benefits shall continue to accrue. During leaves for annual training, the employee shall continue to receive his or her regular compensation as a State employee. During leaves for basic training and for up to 60 days of special or advanced training, if an employee's compensation for military activities is less than his or her regular compensation as a State employee, he or she shall receive his or her regular compensation as a State employee minus amount of his or her base pay for military activities.

C) Federal or State Active Duty/Emergency Call Up: Any member of the National Guard employed by the Office whose absence from a position of employment is necessitated by reason of being called to State Active Duty, whether or not voluntary, shall be entitled to reemployment rights and benefits and other employment benefits as provided under the Illinois National Guard Employment Rights Law [20 ILCS 1805/Art. V] or the Uniformed Services Employment and Reemployment Rights Act (38 USC 4301) any other applicable State law, regulation or policy. Except as otherwise provided by law, a member entitled to reemployment upon completion of a period of Federal or State Active Duty shall be promptly reemployed in the position of employment that he or she left with the same increases in status, seniority and wages that were earned during the period of Federal or State Active Duty by employees in like positions who are on the job at the time the returning member entered Federal or State Active Duty, or to a

position of like seniority, status and pay, unless the Office's circumstances have so changed as to make it impossible or unreasonable to do so. Any member of the National Guard who is reemployed or seeks reemployment to a position of employment under this subsection (a)(6)(C) shall be considered as having been on furlough or leave of absence during Federal or State Active Duty and shall be reemployed without loss of seniority and shall be entitled to participate in insurance or other benefits offered by the employer pursuant to established rules and practices related to employees on furlough or leave of absence in effect at the time the member entered Federal or State Active Duty.

- D) Active Duty Pay: Any full-time employee of the State of Illinois, other than an independent contractor, who is a member of the Illinois National Guard or a reserve component of the United States Armed Forces or the Illinois State Militia and who is mobilized to active duty shall continue during the period of active duty to receive benefits and regular compensation as a State employee, minus an amount equal to his or her military active duty base pay. The terms and conditions of active duty leave shall be as determined by the Department of Central Management Services and the State Comptroller. Employees on active duty leave retain all rights to reemployment benefits, including insurance.
- E) Certification of Leave: To be eligible for military leave or emergency call-up pay, the employee must provide certification from the commanding officer of his or her unit that the leave taken was for either such purpose.
- F) Leave for Military Physical Examinations: Any employee drafted into military service shall be allowed up to three days leave with pay to take a physical examination required by the draft. Upon request, the employee must provide the Office with certification by a responsible authority that the period of leave was actually used for that purpose.
- G) Peace Corps or Job Corps Enrollees Continuous Service: Any employee who volunteers and is accepted for service in the overseas or domestic Peace Corps or Job Corps shall be given a leave of absence from State employment for the duration of the initial period of service. The employee shall be restored to the same or similar position provided that the employee returns to employment within 90 days after the termination of service or release from hospitalization for a Peace Corps or Job Corps service-connected disability, in which case a physician's statement shall be provided to the Office.

- H) Veterans Hospital Leave: An employee who is also a veteran shall be permitted two days with pay per year to visit a veteran's hospital for examination of a military service-connected disability. The two days shall not be charged against any sick leave currently available to the employee.
- 7) Disaster Service Volunteer Leave: Any employee who is a certified disaster service volunteer of the American Red Cross or assigned to the Illinois Emergency Management Agency in accordance with the Illinois Emergency Management Act, the Emergency Management Assistance Compact Act, or other applicable administrative rules may be granted leave from work with pay for not more than 20 working days in any 12-month period to participate in specialized disaster relief services for the American Red Cross or for the Illinois Emergency Management Agency, as the case may be, upon the request of the American Red Cross or the Illinois Emergency Management Agency for the services of that employee and, upon the Executive Director's approval, the employee shall be granted disaster service volunteer leave without loss of seniority, pay, vacation time or any other employee benefit.
- 8) Attendance in Court: Any employee called for jury duty or subpoenaed by any legislative, judicial, or administrative tribunal shall be allowed time away from work with pay for these purposes. Upon receiving the sum paid for jury service or witness fee, the employee shall submit the warrant, or its equivalent minus the amount paid for mileage, to the Fiscal Office to be returned to the fund in the State Treasury from which the original payroll warrant was drawn; provided, however, an employee may elect to fulfill the call or subpoena on accrued time off and retain the full amount received for the service.
- 9) Maternity/Paternity and Adoption Leave
- A) All female employees who show proof that they have received prenatal care in the first 20 weeks shall be eligible for four weeks (20 work days) paid maternity leave. Proof shall be provided to the Office no later than the 24<sup>th</sup> week of pregnancy. All male employees who show proof that their spouses have received prenatal care in the first 20 weeks, with notification to the Office within 24 weeks, shall be eligible for ~~three~~ four weeks (~~15~~ 20 work days) of paid paternity leave. The Office may require proof of the birth and marriage for a non-covered spouse. Maternity and/or paternity leave shall be limited to one leave per family for each birth. If both spouses are employed by the State, they are limited to one Maternity/Paternity Leave per birth and care of a child and/or placement of a child for adoption or foster care.

- B) All employees are eligible for three weeks (15 work days) of paid leave with a new adoption, with the leave to commence when physical custody of the child has been granted to the employee, provided that the employee can show that the formal adoption process is underway. The Office must be notified, and the employee must submit proof that the adoption has been initiated. Adoption leave shall be limited to one leave per family per year.
- 10) Educational Leave: The Executive Director may grant an employee an educational leave of absence for the purpose of engaging in a training course. No educational leave may be granted unless, in the Executive Director's judgment, the training course would benefit the Office by improving the employee's qualifications to perform the duties of the employee's position in Office service. During a period of educational leave, only State-paid health benefits and life insurance benefits shall continue as provided under Section 10(c) of the State Group Insurance Act [5 ILCS 375/10(c)].
- 11) Organ Donor/Blood Donor Leave:
- A) Upon request and approval by the Office, an employee may be entitled to organ donor and/or blood donor leave with pay as follows:
    - i) up to 30 days of organ donation leave in any 12-month period to serve as a bone marrow or organ donor. Medical documentation of the proposed organ or bone marrow donation shall be required before the leave is approved by the Director;
    - ii) up to one hour to donate blood every 56 days. Medical documentation to substantiate the use of leave time for this purpose may be required;
    - iii) up to two hours to donate blood platelets in accordance with appropriate medical standards established by the American Red Cross or other nationally recognized standards. Leave to donate blood platelets may not be granted more than 24 times in a 12-month period.
  - B) An employee may not be required to use accumulated sick or vacation leave time before being eligible for leave under this subsection (a)(11). Medical documentation to substantiate the use of leave time for these purposes may be required.

- 12) **Leave Due to Domestic or Sexual Violence:** An employee who is a victim of domestic or sexual violence or who has a family or household member who is a victim of domestic or sexual violence whose interests are not adverse to the employee as it relates to the domestic or sexual violence may be entitled to take up to 12 workweeks of unpaid leave during any 12-month period for the purposes and under the terms and conditions provided in the Victims' Economic Security and Safety Act [820 ILCS 180] and implementing regulations (56 Ill. Adm. Code 280).
- b) **Employee Rights After Leave:** When an employee returns from a leave of absence of six months or less, the Office shall return the employee to the same or similar position in which the employee was incumbent prior to the commencement of leave, provided that all requirements for substantiation of use of leave or physical fitness have been furnished and that application for reassignment is made within the specified time limit of the leave. When an employee returns from a leave of absence exceeding six months in duration, other than leave of absence granted under subsection (a)(6), and there is no vacant position in the same position classification in which the employee was incumbent prior to the commencement of leave, the employee may be laid off. An employee returning from a leave of absence under subsection (a)(6) shall be returned to the same or similar position in which the employee was incumbent prior to the commencement of leave, provided that all requirements for substantiation of use of leave or physical fitness have been furnished, that application for reassignment is made within the specified time limits of the leave, and that the Office's circumstances have not changed so as to make reassignments impossible or unreasonable.
- c) **Failure to Return:** Failure of an employee to return from leave within five working days after the expiration or termination date of a leave, whichever is applicable, may be cause for discharge. Leave shall automatically terminate upon the employee's securing other employment during the leave period. It shall be the employee's responsibility to notify the Director and the Director of Administrative Services of other employment.
- d) **Accrual and Retention of Continuous Service during Certain Leaves:** During the following leaves, an employee shall retain and accrue continuous service, provided appropriate application and return is made as required by this Section: family and medical leave; educational leave; administrative leave; military leave; Peace Corps or Job Corps leave; disaster service volunteer leave; or service-connected disability leave.

## Section 212.290 Holidays

- a) Authorized Holidays: All full-time employees shall have time off, with full salary payment, on the day designated as a holiday for the following:
- 1) New Year's Day
  - 2) Martin Luther King Day
  - 3) Lincoln's Birthday
  - 4) Washington's Birthday (President's Day)
  - 5) Memorial Day
  - 6) Independence Day
  - 7) Labor Day
  - 8) Columbus Day
  - 9) Veteran's Day
  - 10) Thanksgiving
  - 11) Christmas Day
  - 12) General Election Day (on which members of the House of Representatives are elected). Notwithstanding, Employees who are required to work regular hours on General Election Day shall be granted one and one half days of compensatory time (11.25 hours) for the same. Employees who are required to work a 12-hour shift on General Election Day shall be granted two days of compensatory time (15.0 hours) for the same. equivalent time off in the following 12-month period at a time convenient to the employee and consistent with the Office's operating needs. Temporary employees shall not be compensated for non-working days or holidays.
  - 13) Any additional days proclaimed by the Governor of the State of Illinois or the President of the United States.
- b) Holiday Observance: Where employees are scheduled and required to work on a holiday, equivalent time off shall be granted within the following 12-month period at a time convenient to the employee and consistent with the Office's operating needs.
- c) Holiday during Vacation: When a holiday falls on an employee's regularly scheduled work day during the employee's vacation period, an extra day shall be added to the employee's accumulated vacation days.





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## Presidential Advisory Commission on Election Integrity

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July 26, 2017

Illinois State Board of Elections  
Mr. Steve Sandvoss, Executive Director  
2329 S. MacArthur Blvd.  
Springfield, IL 62704

Dear Executive Director Sandvoss,

In my capacity as Vice Chair of the Presidential Advisory Commission on Election Integrity, I wrote to you on June 28, 2017, to request publicly available voter registration records. On July 10, 2017, the Commission staff requested that you delay submitting any records until the U.S. District Court for the District of Columbia ruled on a motion from the Electronic Privacy Information Center that sought to prevent the Commission from receiving the records. On July 24, 2017, the court denied that motion. In light of that decision in the Commission's favor, I write to renew the June 28 request, as well as to answer questions some States raised about the request's scope and the Commission's intent regarding its use of the registration records. I appreciate the cooperation of chief election officials from more than 30 States who have already responded to the June 28 request and either agreed to provide these publicly available records, or are currently evaluating what specific records they may provide in accordance with their State laws.

Like you, I serve as the chief election official of my State. And like you, ensuring the privacy and security of any non-public voter information is a high priority. My June 28 letter only requested information that is already available to the public under the laws of your State, which is information that States regularly provide to political candidates, journalists, and other interested members of the public. As you know, federal law requires the States to maintain certain voter registration information and make it available to the public pursuant to the National Voter Registration Act (NVRA) and the Help America Vote Act (HAVA). The Commission recognizes that State laws differ regarding what specific voter registration information is publicly available.

I want to assure you that the Commission will not publicly release any personally identifiable information regarding any individual voter or any group of voters from the voter registration records you submit. Individuals' voter registration records will be kept confidential and secure throughout the duration of the Commission's existence. Once the Commission's analysis is

complete, the Commission will dispose of the data as permitted by federal law. The only information that will be made public are statistical conclusions drawn from the data, other general observations that may be drawn from the data, and any correspondence that you may send to the Commission in response to the narrative questions enumerated in the June 28 letter. Let me be clear, the Commission will not release any personally identifiable information from voter registration records to the public.

In addition, to address issues raised in recent litigation regarding the data transfer portal, the Commission is offering a new tool for you to transmit data directly to the White House computer system. To securely submit your State's data, please have a member of your staff contact Ron Williams on the Commission's staff at [ElectionIntegrityStaff@ovp.eop.gov](mailto:ElectionIntegrityStaff@ovp.eop.gov) and provide his or her contact information. Commission staff will then reach out to your point of contact to provide detailed instructions for submitting the data securely.

The Commission will approach all of its work without preconceived conclusions or prejudgments. The Members of this bipartisan Commission are interested in gathering facts and going where those facts lead. We take seriously the Commissions' mission pursuant to Executive Order 13799 to identify those laws, rules, policies, activities, strategies, and practices that either enhance or undermine the integrity of elections processes. I look forward to working with you in the months ahead to advance those objectives.

Sincerely,

A handwritten signature in black ink, appearing to read "Kris Kobach". The signature is fluid and cursive, with the first name "Kris" and last name "Kobach" clearly distinguishable.

Kris W. Kobach  
Vice Chair  
Presidential Advisory Commission on Election Integrity

**STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

2329 S. MacArthur Blvd.  
Springfield, Illinois 62704-4503  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph St., Ste. 14-100  
Chicago, Illinois 60601-3232  
312/814-6440  
Fax: 312/814-6485



**EXECUTIVE DIRECTOR  
Steven S. Sandvoss**

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Charles W. Scholz  
Casandra B. Watson

July 7, 2017

Hon. Kris W. Kobach  
Vice Chair  
Presidential Advisory Commission on Election Integrity  
C/o ElectionIntegrityStaff@ovp.eop.gov

Re: Information Request of June 28, 2017

Vice Chair Kobach:

On July 5, 2017, the Illinois Secretary of State received your letter dated June 28, 2017, wherein the Presidential Advisory Commission on Election Integrity (the "Commission") requests information relating to elections in Illinois.

I am writing today in response to the request for the publicly available voter data roll for the State of Illinois. The Illinois Election Code, at 10 ILCS 5/1A-25, 4-8, 5-7 and 6-35, protects the confidentiality and privacy of voter registration data, limiting its release to registered political committees for political purposes and to governmental entities for governmental purposes, subject to the restriction that voter data not be released to the public. Your letter indicates that any information and voter registration data provided to the Commission will be made available to the public.

In short, the State of Illinois does not have a publicly available voter roll. Therefore, our agency does not have any material responsive to the Commission's request.

We look forward to providing a supplemental response at a later date addressing the seven questions set forth in your letter.

If you have any questions or comments, please do not hesitate to contact me.

Respectfully submitted,

Kenneth R. Menzel  
General Counsel,  
State Board of Elections



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## Presidential Advisory Commission on Election Integrity

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June 28, 2017

The Honorable Jesse White  
Secretary of State  
213 State Capitol  
Springfield, IL 62756

Dear Secretary White,

I serve as the Vice Chair for the Presidential Advisory Commission on Election Integrity (“Commission”), which was formed pursuant to Executive Order 13799 of May 11, 2017. The Commission is charged with studying the registration and voting processes used in federal elections and submitting a report to the President of the United States that identifies laws, rules, policies, activities, strategies, and practices that enhance or undermine the American people’s confidence in the integrity of federal elections processes.

As the Commission begins its work, I invite you to contribute your views and recommendations throughout this process. In particular:

1. What changes, if any, to federal election laws would you recommend to enhance the integrity of federal elections?
2. How can the Commission support state and local election administrators with regard to information technology security and vulnerabilities?
3. What laws, policies, or other issues hinder your ability to ensure the integrity of elections you administer?
4. What evidence or information do you have regarding instances of voter fraud or registration fraud in your state?
5. What convictions for election-related crimes have occurred in your state since the November 2000 federal election?
6. What recommendations do you have for preventing voter intimidation or disenfranchisement?
7. What other issues do you believe the Commission should consider?

In addition, in order for the Commission to fully analyze vulnerabilities and issues related to voter registration and voting, I am requesting that you provide to the Commission the publicly-available voter roll data for Illinois, including, if publicly available under the laws of your state, the full first and last names of all registrants, middle names or initials if available, addresses, dates of birth, political party (if recorded in your state), last four digits of social security number

if available, voter history (elections voted in) from 2006 onward, active/inactive status, cancelled status, information regarding any felony convictions, information regarding voter registration in another state, information regarding military status, and overseas citizen information.

You may submit your responses electronically to [ElectionIntegrityStaff@ovp.eop.gov](mailto:ElectionIntegrityStaff@ovp.eop.gov) or by utilizing the Safe Access File Exchange (“SAFE”), which is a secure FTP site the federal government uses for transferring large data files. You can access the SAFE site at <https://safe.amrdec.army.mil/safe/Welcome.aspx>. We would appreciate a response by July 14, 2017. Please be aware that any documents that are submitted to the full Commission will also be made available to the public. If you have any questions, please contact Commission staff at the same email address.

On behalf of my fellow commissioners, I also want to acknowledge your important leadership role in administering the elections within your state and the importance of state-level authority in our federalist system. It is crucial for the Commission to consider your input as it collects data and identifies areas of opportunity to increase the integrity of our election systems.

I look forward to hearing from you and working with you in the months ahead.

Sincerely,

A handwritten signature in black ink, appearing to read "Kris Kobach". The signature is fluid and cursive, with the first name "Kris" and last name "Kobach" clearly distinguishable.

Kris W. Kobach  
Vice Chair  
Presidential Advisory Commission on Election Integrity

**STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

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William M. McGuffage  
Katherine S. O'Brien  
Charles W. Scholz  
Casandra B. Watson

**ADVISORY COMMITTEE  
AGENDA**

**Monday, September 18, 2017**

**2329 S. MacArthur Blvd.  
Springfield, Illinois  
9:00 a.m.**

- 1. Advisory Committee- new member introduction**
- 2. Apparent Conformity**
- 3. Automatic Voter Registration**
- 4. Other business:**
  - a. Review of additional topics discussed at fall conferences**
- 5. Next meeting will be held on Monday, May 21, 2018 in Springfield. Due to conflicts in January 2018.**
- 6. Post meeting discussion and presentation of Election Judge Online Training E-Learning Platform- Brent Davis and Brian Pryor (Move to mini-board room)**

# Illinois State Board of Elections

## ADVISORY COMMITTEE MEMBERS

2017-2019



### Zone 1

Fayrene Wright Crawford County Clerk	Will Gibson Lawrence County Clerk	Josh Gross Perry County Clerk
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### Zone 2

Chuck Venvertloh Adams County Clerk	Gretchen DeJaynes McDonough County Clerk	Jill Waggener Morgan County Clerk
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### Zone 3

Judi Pollock Douglas County Clerk	Georgia England Moultrie County Clerk	Cathy Jenkins Vermilion County Clerk
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### Zone 4

Carla Wyckoff Lake County Clerk	Dan Kuhn Putnam County Clerk	JoAnn Carretto LaSalle County Clerk
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### Board of Election Commissioners

Linda Fechner City of Aurora Executive Director	Kandrise Mosby City of East St. Louis Executive Director
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### Association Presidents

Scott Erickson Knox County Clerk (IACCR)	Lisa Watson City of Galesburg Executive Director (AECOI)
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### At Large Members

Noah Praetz Cook County Director of Elections	Don Gray Sangamon County Clerk	Lance Gough City of Chicago Executive Director
Debra Ming-Mendoza Madison County Clerk	Jim Nelson Fulton County Clerk	

Meetings are held in September, January and May of each year





# Illinois General Assembly

## 2017 Session

[Help](#)

### Report Options


[Save To](#)

<a href="#">AM1000193</a>	<a href="#">Antonio Muñoz</a>	APPOINT - CASANDRA B. WATSON	05/22/2017 Senate Appointment Confirmed
<a href="#">HB0012</a>	<a href="#">Lou Lang</a>	ELECTIONS-TECH	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0013</a>	<a href="#">Lou Lang</a>	ELECTIONS-TECH	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0014</a>	<a href="#">Lou Lang</a>	ELECTIONS-TECH	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0107</a>	<a href="#">Mark Batinick</a>	ELEC CD-GRACE PERIOD	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0133</a>	<a href="#">Jaime M. Andrade, Jr.</a>	STATE GOVERNMENT-TECH	04/28/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0204</a>	<a href="#">La Shawn K. Ford</a>	CHICAGO MAYOR RECALL ELECTION	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0205</a>	<a href="#">La Shawn K. Ford</a>	NO REPRESENTATION WITHOUT POP	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0206</a>	<a href="#">La Shawn K. Ford</a>	ELEC CD-PHOTOGRAPH BALLOT	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0285</a>	<a href="#">Mike Fortner</a>	ELEC CD-TOP TWO PRIMARY	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0286</a>	<a href="#">Mike Fortner</a>	ELEC CD-ONLINE PUBLISHING	04/28/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0288</a>	<a href="#">David S. Olsen</a>	ELEC CD-VIOLATIONS	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0294</a>	<a href="#">David S. Olsen</a>	ELEC CD-CNTY BD OF ELEC	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0330</a>	<a href="#">Scott Drury</a>	ELEC CD-OPEN PRIMARY	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0334</a>	<a href="#">Scott Drury</a>	ELEC CD-PRIMARY DATE	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0348</a>	<sup>h</sup> <a href="#">David McSweeney (Thomas Cullerton)</a>	CONSTITUTION AMEND PUBLICATION	08/25/2017 House Governor Amendatory Veto
<a href="#">HB0364</a>	<a href="#">Margo McDermid</a>	STATE MANDATES-ELECTION YEARS	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0388</a>	<a href="#">Emanuel Chris Welch (Don Harmon)</a>	ELEC CD-PHOTOGRAPH BALLOT	05/26/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">HB0397</a>	<a href="#">David B. Reis</a>	ELECTIONS-CONTRIBUTION REPORT	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0415</a>	<a href="#">Grant Wehrli</a>	ELEC CD-EXPENSE PHOTOS	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0439</a>	<a href="#">Jeanne M. Ives</a>	ELEC CD-OMNIBUS	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0495</a>	<a href="#">Tom Demmer</a>	ELEC CD-PRESIDENT	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0512</a>	<sup>h</sup> <a href="#">David S. Olsen (Chris Nybo)</a>	ELEC CD-PUBLIC QUESTION NOTICE	08/24/2017 House Public Act . . . . . 100-0298
<a href="#">HB0522</a>	<a href="#">Keith P. Sommer</a>	ELEC CD-STATE HOLIDAY	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0525</a>	<a href="#">Barbara Wheeler</a>	ELEC-NO SCHOOL POLLING PLACE	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0537</a>	<a href="#">Robert W. Pritchard</a>	ELECTION CD-REVENUE REFERENDA	04/28/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0539</a>	<a href="#">Katie Stuart (Jim Oberweis)</a>	ELEC CD-NUMBER OF ELEC JUDGES	08/25/2017 House Public Act . . . . . 100-0337
<a href="#">HB0626</a>	<a href="#">Mike Fortner</a>	ELEC CD-VOTER REGISTRATION	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0676</a>	<a href="#">Linda Chapa LaVia</a>	IL CLEAN ELECTIONS ACT	03/31/2017 House Rule 19(a) / Re-referred to Rules Committee



<a href="#">HB0712</a>	<a href="#">Linda Chapa LaVia</a>	ELEC CD-EQUIPMENT/AUDITS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0746</a>	<a href="#">Charles Meier</a>	JUDICIAL ELECTION/RETENTION	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0750</a>	<a href="#">C.D. Davidsmeyer</a>	ELECTIONS VOTER PHOTO ID	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0756</a>	<a href="#">C.D. Davidsmeyer</a>	POL CONTRIBUTION LIMITS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0762</a>	<a href="#">Allen Skillicorn</a>	ELEC CD-BALLOT ACCESS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0780</a>	<a href="#">Jaime M. Andrade, Jr.</a>	ELEC CD-TAX RELEASE	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0802</a>	<a href="#">Fred Crespo</a>	LOBBYISTS-NO ELECTIVE OFFICE	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB0862</a>	<a href="#">Michael J. Madigan</a>	\$BD ELECTIONS-TECH	04/25/2017 House	Held on Calendar Order of Second Reading - Short Debate **
<a href="#">HB1007</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1008</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1009</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1010</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1011</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1012</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1013</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1014</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1015</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1016</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1017</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1018</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1019</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1020</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1021</a>	<a href="#">Michael J. Madigan</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1075</a>	<a href="#">Michael J. Madigan</a>	STATE GOVERNMENT-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1774</a>	<a href="#">b Robert Martwick (Kwame Raoul)</a>	ELECTED CHICAGO SCHOOL BOARD	06/02/2017 House	Placed on Calendar Order of Concurrence Senate Amendment(s) 1
<a href="#">HB1817</a>	<a href="#">Keith R. Wheeler</a>	ELEC CD-PETITION GENERATOR	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1834</a>	<a href="#">Jim Durkin</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB1835</a>	<a href="#">Jim Durkin</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB2129</a>	<a href="#">Jim Durkin</a>	\$BD ELECTIONS-TECH	04/26/2017 House	Held on Calendar Order of Second Reading - Short Debate ***
<a href="#">HB2246</a>	<a href="#">Jim Durkin</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB2278</a>	<a href="#">Jim Durkin</a>	ELECTIONS-TECH	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB2371</a>	<a href="#">h Emanuel Chris Welch (Mattie Hunter)</a>	STATE EMPLOYEES-CYBERSECURITY	08/08/2017 House	Public Act . . . . . 100-0040
<a href="#">HB2391</a>	<a href="#">Fred Crespo</a>	ELEC CD-SCHOOL POLLING PLACES	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB2469</a>	<a href="#">Lawrence Walsh, Jr.</a>	ELEC CD-FOREIGN LANG BALLOT	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<a href="#">HB2472</a>			03/31/2017 House	

	<u>Barbara Wheeler</u>	ELEC CD-ELECTORAL BOARDS		Rule 19(a) / Re-referred to Rules Committee
<u>HB2477</u>	<u>Barbara Flynn Currie</u> (Don Harmon)	ELEC CD-HOSPITAL & MENTAL INS	05/26/2017 Senate	Rule 3-9(a) / Re-referred to Assignments
<u>HB2573</u>	<u>Tim Butler</u>	ELEC CD-CHANGE OF ADDRESS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2574</u>	<u>Tim Butler</u>	ELEC CD-VARIOUS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2590</u>	<u>David Harris</u>	FOIA-ELECTION NOMINATING PAPER	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2596</u>	<u>Robert Martwick</u>	US CONSTIT-AMEND CONVENTION	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2659</u>	<u>Stephanie A. Kifowit</u>	ELEC CD-TAX RETURNS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2805</u>	<sup>h</sup> <u>Silvana Tabares</u> (Don Harmon)	ELEC CD-VOTE BY MAIL APP	05/26/2017 Senate	Rule 3-9(a) / Re-referred to Assignments
<u>HB2806</u>	<u>Silvana Tabares</u>	ELEC CD-EARLY VOTING	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2917</u>	<u>Mike Fortner</u>	ELEC-LOCAL GOVT VOTING RIGHTS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2974</u>	<u>Linda Chapa LaVia</u>	ELEC CD-MILITARY VOTING	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB2992</u>	<sup>h</sup> <u>Justin Slaughter</u>	ELEC CD-POLICE STATION/POLLING	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3046</u>	<u>Mike Fortner</u>	ELEC CD-DISCLOSURE- VARIOUS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3047</u>	<u>Mike Fortner</u>	ELEC CD-CONTRIBUTIONS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3064</u>	<u>Will Guzzardi</u>	ELEC CD-AMERICAN SAMOA	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3182</u>	<u>Carol Ammons</u>	VEH CD-VOTER REGISTRATION	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3218</u>	<u>Stephanie A. Kifowit</u>	ELEC CD-CONSOLIDATED ELEC DATE	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3233</u>	<u>Reginald Phillips</u>	ELEC CD-PRESIDENTIAL ELECTORS	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3414</u>	<u>Bill Mitchell</u>	DRUG ABUSE-ELECTION- PUBLIC AID	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3420</u>	<u>Thomas Morrison</u>	ELEC CD-GA VACANCY ELECTION	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3470</u>	<u>Thomas M. Bennett</u>	ELECTIONS VOTER PHOTO ID	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3495</u>	<u>Silvana Tabares</u>	HIGHER ED-CLASSES ON ELEC DAY	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3519</u>	<sup>s</sup> <u>Tim Butler</u> (Terry Link)	ELEC CD-DIGITIZED SIGNATURE	08/04/2017 Senate	Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<u>HB3655</u>	<u>Sara Wojcicki Jimenez</u>	ELEC CD-TOP TWO PRIMARY	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3695</u>	<u>Robyn Gabel</u>	ELEC-AUTO VOTER REGISTRATION	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3732</u>	<u>Martin J. Moylan</u>	ELEC CD-CAMPAIGN ACCT FREEZE	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3763</u>	<u>Sam Yingling</u>	ELECTIONS-TECH	03/31/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB3855</u>	<u>Barbara Flynn Currie</u> (James F. Clayborne, Jr.)	FIRST 2017 GENERAL REVISORY	08/18/2017 House	Public Act . . . . . 100-0201
<u>HB3909</u>	<u>Deb Conroy</u>	ELEC CD-PRE-REGISTRATION	04/28/2017 House	Rule 19(a) / Re-referred to Rules Committee
<u>HB4017</u>	<u>Michael J. Madigan</u>	\$STATE BOARD OF ELECTIONS	03/23/2017 House	Assigned to Appropriations-General Services Committee
<u>HB4043</u>	<u>Mark Batinick</u>	PROP TAX QUESTION-GEN ELECTION	05/03/2017 House	Referred to Rules Committee
<u>SB0006</u>	<sup>b</sup> <u>Heather A. Steans</u> (Gregory Harris)	\$FY17 VARIOUS	07/06/2017 Senate	Public Act . . . . . 100-0021
<u>SB0063</u>	<u>Kyle McCarter</u>	ELEC CD-SIG REQUIREMENTS	05/05/2017 Senate	Rule 3-9(a) / Re-referred to Assignments
<u>SB0117</u>	<u>John J. Cullerton</u>		08/04/2017 Senate	

		\$STATE BOARD OF ELECTIONS	Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<a href="#">SB0223</a>	<a href="#">Iris Y. Martinez</a>	ELECTIONS-TECH	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0224</a>	<a href="#">Iris Y. Martinez</a>	ELECTIONS-TECH	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0225</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<a href="#">SB0226</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<a href="#">SB0227</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<a href="#">SB0228</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<a href="#">SB0229</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB0230</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB0231</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB0232</a>	<a href="#">John J. Cullerton</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB0441</a>	<a href="#">Thomas Cullerton</a>	EDUCATION-TECH	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<a href="#">SB0666</a>	<a href="#">Pamela J. Althoff</a> <a href="#">(Barbara Wheeler)</a>	TOWNSHIP CAUCUS PROCEDURES	08/18/2017 Senate Public Act . . . . . 100-0219
<a href="#">SB0671</a>	<a href="#">Don Harmon</a>	SOS-SOCIAL SECURITY NUMBER	03/17/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0707</a>	<sup>b</sup> <a href="#">Michael E. Hastings</a> <a href="#">(Peter Breen)</a>	PERSONAL INFO PRCT AGENCY RPT	08/25/2017 Senate Public Act . . . . . 100-0412
<a href="#">SB0762</a>	<a href="#">Daniel Biss</a>	ELEC CD-PRESIDENT TAX RETURNS	03/17/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0766</a>	<a href="#">Ira I. Silverstein</a>	FIREARMS-POLLING PLACE	05/19/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0780</a>	<a href="#">Daniel Biss</a>	ELEC CD-RANKED CHOICE VOTING	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0783</a>	<a href="#">Martin A. Sandoval</a>	ELECTIONS-TECH	02/01/2017 Senate Referred to Assignments
<a href="#">SB0784</a>	<a href="#">Martin A. Sandoval</a>	ELECTIONS-TECH	02/01/2017 Senate Referred to Assignments
<a href="#">SB0785</a>	<a href="#">Martin A. Sandoval</a>	ELECTIONS-TECH	02/01/2017 Senate Referred to Assignments
<a href="#">SB0834</a>	<a href="#">Cristina Castro</a>	ELEC CD-EQUIPMENT/AUDITS	03/17/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0835</a>	<a href="#">Cristina Castro</a>	IL CLEAN ELECTIONS ACT	03/17/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0923</a>	<a href="#">Melinda Bush</a>	TWP AND CNTY OFFICERS-TENURE	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<a href="#">SB0943</a>	<a href="#">Andy Manar</a>	ELEC CD-CHANGE OF ADDRESS	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB0982</a>	<a href="#">Daniel Biss</a> <a href="#">(Jaime M. Andrade, Jr.)</a>	ELEC CD-PRESIDENT-TAX RETURNS	05/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">SB1000</a>	<a href="#">Christine Radogno</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB1001</a>	<a href="#">Christine Radogno</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB1002</a>	<a href="#">Christine Radogno</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB1003</a>	<a href="#">Christine Radogno</a>	ELECTIONS-TECH	04/25/2017 Senate Re-referred to Assignments
<a href="#">SB1330</a>	<a href="#">Melinda Bush</a>	ELEC CD-CAMPAIGN AD REGULATION	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB1393</a>	<a href="#">Michael E. Hastings</a>	ELECTIONS-TECH	02/09/2017 Senate Referred to Assignments
<a href="#">SB1406</a>	<a href="#">Heather A. Steans</a>	ELEC CD-SELF-FUNDING	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB1424</a>	<sup>s</sup> <a href="#">Daniel Biss</a> <a href="#">(Kelly M. Cassidy)</a>	ELEC CD-CONTRIBUTION MATCHING	05/31/2017 House Rule 19(a) / Re-referred to Rules Committee
<a href="#">SB1473</a>	<a href="#">Terry Link</a>	ELEC CD-VARIOUS	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB1477</a>	<a href="#">Melinda Bush</a>	ELEC CD-POLITICAL CMTE LOANS	05/05/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB1479</a>	<a href="#">Terry Link</a> <a href="#">(Silvana Tabares)</a>	ELEC-GRACE PERIOD/NURSING HOME	08/25/2017 Senate Public Act . . . . . 100-0442
<a href="#">SB1487</a>	<a href="#">Sue Rezin</a>	ELECTIONS-MAIL BOX RULE	03/17/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB1517</a>	<a href="#">Pamela J. Althoff</a>	ELEC CD-SIG ON ENVELOPES	03/17/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<a href="#">SB1592</a>	<sup>s</sup> <a href="#">Don Harmon</a>	ELEC CD-BOARD OF ELEC COMM	05/31/2017 House Rule 19(a) / Re-referred to Rules Committee

	(Deb Conroy)		
<u>SB1612</u>	<u>Jill Tracy</u>	COMM COLL-ELECTIONS	03/17/2017 Senate Rule 3-9(a) / Re-referred to Assignments
<u>SB1670</u>	<u>Scott M. Bennett</u> (Will Guzzardi)	BD & COMM DEMOGRAPHIC INFO	08/18/2017 Senate Public Act . . . . . 100-0234
<u>SB1933</u>	<u>b Andy Manar</u> (Robyn Gabel)	ELEC-AUTO VOTER REGISTRATION	08/28/2017 Senate Public Act . . . . . 100-0464
<u>SB1936</u>	<u>s Pamela J. Althoff</u> (William Davis)	REPEAL FUNDS-PROJECTS- BOARDS	07/06/2017 House Rule 19(a) / Re-referred to Rules Committee
<u>SB2089</u>	<u>Don Harmon</u>	ELEC CD-TAX-EXEMPT NONPROFITS	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<u>SB2090</u>	<u>Julie A. Morrison</u>	ELECTIONS-TECH	02/10/2017 Senate Referred to Assignments
<u>SB2170</u>	<u>John J. Cullerton</u>	<b>\$STATE BOARD OF ELECTIONS</b>	03/02/2017 Senate Referred to Assignments
<u>SB2214</u>	<u>William E. Brady</u>	<b>\$FY17 AND FY18 OMNIBUS</b>	06/15/2017 Senate Referred to Assignments
<u>SR0093</u>	<u>Michael E. Hastings</u>	ILL ELECTIONS - RUSSIA	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<u>SR0094</u>	<u>Michael E. Hastings</u>	U.S. ELECTION - RUSSIA	08/04/2017 Senate Pursuant to Senate Rule 3-9(b) / Referred to Assignments
<u>SR0684</u>	<u>Melinda Bush</u>	VOTER INFORMATION - NO SHARING	07/03/2017 Senate Referred to Assignments
<u>HJR0027</u>	<u>Jaime M. Andrade, Jr.</u>	CYBERSECURITY TASK FORCE	03/07/2017 House Assigned to Cybersecurity, Data Analytics, & IT Committee

**2017 Session** Totals: 148 - (House Bills: 93) (Senate Bills: 50) (Other Bills: 5) [Help](#)

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# Memorandum

*From the desk of...Cristina Cray, Director of Legislation  
Phone: 217-782-1577*

**To:** Steve Sandvoss, Executive Director  
**Subject:** NASED Conference  
**Date:** August 30, 2017

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August 22-25, I attended The National Association of State Election Director's Annual Conference in Orange County, California. A copy of the agenda is attached.

During this Conference, I was able to meet with Meghan Kelly with the Federal Voting Assistance Program. Meghan has been our state contact for many years. The FVAP is in the process of putting together the voting assistance guide and we discussed how Illinois has been very proactive the past few years and how our ratings with overseas citizens skyrocketed this year.

A presentation was given by the EAC. In addition a solo presentation was given by Commissioner Christy McCormick and her role on the Presidential Election Commission.

During one of the closed sessions, the Deputy Assistant Secretary for Homeland Security and members of the Multi-State Information Sharing and Analysis Center (MS-ISAC) gave a very interesting presentation. Many times during the presentation, they contradicted each other. A coordinating council is going to be established with members of NASS and NASED (30 member's total) to work with MS-ISAC and DHS on any and all initiatives concerning the establishment of elections as critical infrastructure.

Also in closed session, two gentlemen associated with the EAC gave their first-hand knowledge of attending the DRE Hacking Exercise at DEFCON. This was extremely interesting. To hear from people that were actually there; knew what they were talking about and then see and hear what the media reported.

The most interesting closed session, was the power point given by Professor Latanya Sweeney. No matter what arguments the election jurisdictions gave to her, she was not changing her paper. It was also interesting to learn that her paper was being presented on a website that she controls.

The Conference was attended by over 36 election directors/representatives. I believe it was very successful.

Thank you.



## **CONFIDENTIAL AGENDA**

**For NASED Members and Staff of Members ONLY**

**Summer Meeting  
August 22-25, 2017  
(Board Meeting August 22, 2017)  
Hyatt Regency Orange County  
Garden Grove, CA**



# Summer Meeting

## August 22-25, 2017

### Hyatt Regency Hotel

### Orange Co. California

#### Tuesday, August 22, 2017

4:30 – 6:30 pm Executive Board Meeting (Imperial Room)

#### Wednesday, August 23, 2017

8:00 am	Continental Breakfast Conference Materials Table opens <b>(Royal Ballroom)</b>
8:30 – 10:15 am	<b>CLOSED SESSION:</b> Regional Meetings and Discussion
10:15 – 10:30 am	Break
10:30-10:45 am	Open portion of meeting begins <ul style="list-style-type: none"> <li>✓ 1) roll call of the States: NASED Secretary</li> <li>2) new NASED member introductions</li> <li>3) election of officers</li> <li>✓ 4) attendees introduce themselves</li> </ul>
10:45- 11:30 am	U.S. Election Assistance Commission: Matt Masterson, Chair; Tom Hicks, Vice Chair; Christy McCormick, Commissioner
11:30-12:15 am	TGDC and Voting Systems Standards Update: Speakers: Lori Augino, Washington State; Bob Giles, New Jersey; Mary Brady, NIST; Matt Masterson, EAC
12:15 – 12:45 pm	Hosted lunch
12:45 – 1:15 pm	Critical Infrastructure History and Alphabet Soup: Mark Listes, EAC
1:15 - 2:30 pm	Election Infrastructure Subsector Update and the Multi-State Information Sharing and Analysis Center (MS-ISAC): David Wulf, Deputy Assistant Secretary; Robert Gatlin, Section Chief,; Mark Listes, EAC; Ben Spear, Senior Intelligence Analyst, MS-ISAC
2:30 – 3:00 pm	FVAP: Mail Ballot Tracking: David Beirne, Director
3:00 - 3:15 pm	Break
3:15 – 4:15 pm	Collaboration between Academics and Election Officials and Scientific Musings on List Maintenance: Charles Stewart, MIT

**NASED Summer Meeting**  
**August 22-25, 2017**  
**Hyatt Regency Hotel**  
**Orange Co. California**

Wednesday, August 23, 2017 (cont'd)

4:15 - 5:15 pm Election Projects: Dan Bentley, USPS; Tammy Patrick, Democracy Fund; Monica Childers, Democracy Works; Rebecca Green and Reiko Dogu, William and Mary Law School, e-Benchbook

Thursday, August 24, 2017

8:00 – 8:30 am Continental Breakfast

8:30 – 9:15 am **CLOSED SESSION: DRE Hacking Exercise at DEFCON:**  
 Ryan Macias, EAC; Mary Brady and Josh Franklin, NIST

9:15 – 10:15 am **CLOSED SESSION: MS-ISAC and Coordinating Council Follow-Up General Discussion:** Judd Choate, Colorado and Robert Giles, New Jersey

10:15-10:30 am Break

10:30 - 11:45 am Increasing Voter Registration – the VRD Perspective: Sally Williams, Michigan Chair; Jennifer Tolentino, Rock the Vote; Brian Miller, #NationalVoterRegistrationDay from Nonprofit VOTE; Arturo Vargas, National Assn. of Latino Elected and Appointed Officials (NALEO); Crystal Patterson, Facebook

11:45 am - 12:30 pm Increasing Voter Turnout –the Academic Perspective: Gary Poser, Minnesota Chair; Christian Grose, University of Southern California; Matt Barreto, UCLA; Paul Gronke, Reed College

12:30 -1:30 pm Hosted Lunch

1:30 - 2:15 pm Election Observer Insights: Mike Haas, Wisconsin, Chair; Doug Chapin, Election Academy, University of Minnesota; Mindy Moretti, Electionline.org; Rick Hasen, University of California, Irvine School of Law

1:00 - Howard  
 1:30





# Summer Meeting

## August 22-25, 2017

### Hyatt Regency Hotel

### Orange Co. California

#### Thursday, August 24, 2017 (con't)

- |                |   |
|----------------|---|
| 2:15-3:00 pm   | Ensuring Access, Accuracy, and Security in Elections: Lori Augino, Washington State, Chair; Matt Masterson, Chair, and Christy McCormick, Commissioner, U.S. EAC; David Becker, The Center for Election Innovation and Research; Shane Hamlin, ERIC |
| 3:30 – 7:00 pm | Nixon Library Tour (drinks and snacks will be provided on the tour bus)   |

#### Friday, August 25, 2017

- |                     |   |
|---------------------|---|
| 8:30 – 9:00 am      | Breakfast   |
| 9:00 - 9:30 am      | Bi-Partisan Policy Center (BPC) Report on 2016 Election Lines: Don Palmer and Matt Weil, BPC  |
| 9:30– 10:30 am      | California County Innovations: Jana Lean, California, Chair; Dean Logan, L.A. County Registrar-Recorder/County Clerk and Neil Kelley, Orange Co., Registrar of Voters |
| 10:30 – 11:00 am    | Using a State's Address Library to Improve List Maintenance: Michael McDonald, University of Florida  |
| 11:00 – 11:45 am    | Section 203 Compliance and Other Language Issues - The California Perspective: Steve Trout, Oregon Chair; L.A. County staff, Orange Co. staff                         |
| 11:45 am – 12:00 pm | Pippa Norris, Harvard - The Electoral Integrity Project – 2017 Report <i>Christy McCormick - Pres Comm</i>  |
| 12:00 – 1:00 pm     | Hosted lunch  |
| 1:00 – 4:30 pm      | <b>CLOSED SESSION: NASED members only</b>   |
| 1:00 - 2:00 pm      | Litigation Review: Michelle Tassinari, Massachusetts; Keith Ingram, Texas   |
| 2:00-2:30 pm        | Legislation Review: Mike Haas, Wisconsin  |
| 2:30- 4:30 pm       | Open Mic  |
| 4:30 pm             | Adjourn   |



*From the desk of.... Steven S. Sandvoss, Executive Director*  
*Phone: 217-557-9939*  
*Email: [ssandvoss@elections.il.gov](mailto:ssandvoss@elections.il.gov)*

**To:** William J. Cadigan – Chairman  
John R. Keith – Vice-Chairman  
Members of the Board

**Re:** Membership in MS-ISAC

**Date:** September 6, 2017

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At a recent meeting I attended regarding cybersecurity, which was hosted by the Illinois State Police, I had a chance to meet Eric Kamerling, the Senior Director of Cyber Security Technology at the Center for Internet Security, a division of the Department of Homeland Security (DHS). He talked with me about the Multi-State Information Sharing and Analysis Center (MS-ISAC), which is a State, local, territorial and tribal membership entity under the DHS. He informed me that members of MS-ISAC have access to information that is supplied by other members and the Federal Government, all relating to cybersecurity. On the following pages you will find a Membership Agreement and an overview of what the MS-ISAC has to offer, as well as other pertinent information. From my conversation with Director Kamerling and the information he later provided, it appears that SBE membership in MS-ISAC would be a valuable resource that could provide updates and alerts to potential cyber threats as well as information that could be used to prevent or deal with future attacks. Based on this, it is my recommendation that the SBE become a member of the MS-ISAC.

### **Who We Are**

The Multi-State Information Sharing and Analysis Center (MS-ISAC®) is a voluntary and collaborative effort based on a strong partnership between the Center for Internet Security (CIS) and the Office of Cybersecurity and Communications within the U.S. Department of Homeland Security (DHS). The MS-ISAC has been designated by DHS as the key resource for cyber threat prevention, protection, response and recovery for the nation's state, local, territorial and tribal (SLTT) governments. Through its state-of-the-art 24/7 Security Operations Center, the MS-ISAC serves as a central resource for situational awareness and incident response for SLTT governments. *There is no cost to be a member.*

### **Membership Benefits**

- 24/7 Security Operations Center (SOC)
- Cybersecurity exercises
- Cybersecurity advisories & daily tips
- Cyber event notifications
- Awareness/education materials
- Network monitoring
- Vulnerability assessment services
- Secure portals for communication & document sharing
- Member initiatives & collaborative resources
- Malicious Code Analysis Platform (MCAP)
- Monthly newsletters, webcasts, & threat briefings
- Alert status map
- Incident response resources
- Cyber threat information & analytical products
- Discounts on CIS Security Benchmarks
- Discounts on training
- Nationwide Cyber Security Review (NCSR)
- Vulnerability Management Platform (VMP)



*"The Multi-State Information Sharing and Analysis Center (MS-ISAC)...allows the Federal Government to quickly and efficiently provide critical cyber threat, risk, vulnerability, and mitigation data to state and local governments."*

- U.S. DHS Secretary  
Janet Napolitano  
March 2013

**Learn more about the MS-ISAC at <https://msisac.cisecurity.org>**

# MS-ISAC Frequently Asked Questions

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## ***Who can join the Center for Internet Security's MS-ISAC?***

Membership is open to all U.S. SLTT government entities involved in cybersecurity and/or critical infrastructure protection.

## ***Who are the members?***

The MS-ISAC currently includes representatives from all 50 states, all 50 state capitals, all 78 Fusion Centers, hundreds of local governments, several tribal governments and U.S. territories. There are a total of 997 MS-ISAC member organizations across a diverse group of public sectors that includes government, education, utilities, transportation, and more.

## ***What does it cost to join the MS-ISAC?***

There is no cost to join the MS-ISAC. It is primarily supported by the DHS to serve as the central cybersecurity resource for the nation's SLTT governments. The MS-ISAC is a program within CIS.

## ***Can the Center for Internet Security's MS-ISAC help me with a cyber incident?***

Yes. CIS' Computer Emergency Response Team (CIS CERT) comprises highly trained staff who are able to assist you with a cybersecurity incident. CIS CERT can provide malware analysis, reverse engineering, log analysis, forensics analysis and vulnerability assessments. The Incident Response service is available to all SLTT entities – MS-ISAC membership is not required. If you are an SLTT entity and experience a cybersecurity incident or want to report an incident to improve situational awareness, contact us for assistance: [soc@msisac.org](mailto:soc@msisac.org) or 1-866-787-4722.

## ***Can other members of my organization join?***

Yes. Each organization designates a "Primary Member" who is then responsible for authorizing additional individuals in their organization to become members.

## ***Are there any requirements to join?***

The only requirement is the completion of a membership agreement, which sets forth the responsibilities of members to protect information that is shared.

## ***Are there any educational or training resources available?***

Yes. In addition to advisories and information bulletins regarding the latest cyber threats and vulnerabilities, the MS-ISAC provides a variety of educational, awareness, and training resources and opportunities.

## ***Does MS-ISAC work with federal agencies, private sector groups, and the other ISACs?***

Yes. The MS-ISAC works closely with federal partners at DHS, along with Federal Bureau of Investigation, U.S. Secret Service and others to better share information on emerging threats. The MS-ISAC also has strong relationships with major internet service providers, cybersecurity firms, researchers, and software developers.

## ***How do I join?***

Contact the CIS MS-ISAC at [info@msisac.org](mailto:info@msisac.org) or visit <https://msisac.cisecurity.org> to learn more.



**MS-ISAC**  
Multi-State Information  
Sharing & Analysis Center

**CENTER FOR INTERNET SECURITY**  
**MULTI-STATE ISAC**  
Member Agreement

This Agreement ("Agreement") is made between the Illinois State Board of Elections and the Multi-State Information Sharing and Analysis Center of the United States (MS-ISAC), a division of the Center for Internet Security.

The MS-ISAC will enable information sharing, analysis, gathering and distribution in a secure manner using facilities and methods designed to permit individual Members to submit information about security threats, vulnerabilities, incidents, and solutions securely. Only MS-ISAC members have access to review and retrieve this information. When submitting information to the MS-ISAC, Primary Custodians will identify information to the MS-ISAC in the following categories:

**Category A:** information that is provided only to the MS-ISAC and will not be shared with the MS-ISAC members or others except as authorized by the Primary Custodian. Category A information also consists of any non-categorized information provided to the MS-ISAC and/or pre-cleansed category B information.

**Category B:** information which is shared with the MS-ISAC and in consultation with the Primary Custodian is cleansed by the MS-ISAC of all identifying information and then, consistent with applicable laws, will be shared only with MS-ISAC members, or the Department of Homeland Security consistent with paragraph six (6).

**Category C:** information which is shared with the MS-ISAC and does not need to be cleansed and may be shared within the MS-ISAC and outside the MS-ISAC as appropriate.

MS-ISAC members acknowledge that Primary Custodian has certain cyber and/or critical infrastructure information and material that is exempt from disclosure to the public or other unauthorized persons under federal or state laws including the Homeland Security Act of 2002 (6 U.S.C. § 133). MS-ISAC members may provide access to this information and material in order to facilitate interstate communication regarding cyber and/or critical infrastructure readiness and response efforts. These efforts include, but are not limited to, disseminating early warnings of physical and cyber system threats, sharing security incident information between U.S. states, territories, the District of Columbia, tribal

nations and local governments, providing trends and other analysis for security planning, and distributing current proven security practices and suggestions. As a participating member of the MS-ISAC, Primary Custodian agrees that when sharing this information with MS-ISAC members it will do so through the MS-ISAC in accordance with the categories established in this document. MS-ISAC members agree to the terms and conditions contained in this Agreement.

NOW THEREFORE, in consideration of the above promises recited herein, the parties agree to the following:

**Definitions:**

1. Primary Custodian – the entity that developed or owns the Data. Each collection of Data (database, file, etc.) shall have a single Primary Custodian.
2. MS-ISAC members – the members (U.S. states, territories, the District of Columbia, tribal nations and local governments) who may be in possession or use of Data acquired from the Primary Custodian or from the MS-ISAC.

**Purpose:**

3. MS-ISAC members acknowledge that the protection of Category A information is essential to the security of Primary Custodian and the mission of the MS-ISAC. The purpose of this Agreement is to enable Primary Custodian to make disclosures of Category A information to MS-ISAC while still maintaining rights in, and control over, Category A information. The purpose is also to preserve confidentiality of the Category A information and to prevent its unauthorized disclosure. It is understood that this Agreement does not grant MS-ISAC or members an express or implied license or an option on a license, or any other rights to or interests in the Category A information, or otherwise. If Primary Custodian retracts any information it sent to the MS-ISAC, then, upon notification by the Primary Custodian, the MS-ISAC will destroy such information and all copies thereof, and notify MS-ISAC members to destroy the information. If an MS-ISAC member is unable to destroy the information based on applicable law, then the member will continue to maintain the confidentiality of the information consistent with

this agreement. Upon receiving such notification, MS ISAC members will destroy such information and all copies thereof.

#### **MS-ISAC and Member Duties:**

4. MS-ISAC and members who are authorized by the Primary Custodian to receive Category A information shall, and shall cause their contractors, subcontractors, agents or any other entities acting on their behalf (hereinafter referred to as the "Affiliates") to:
  - (a) copy, reproduce or use Category A information only for the purposes of the MS-ISAC mission and not for any other purpose unless specifically authorized to do so in writing by Primary Custodian; and
  - (b) not permit any person to use or disclose the Category A information for any purpose other than those expressly authorized by this Agreement; and
  - (c) implement physical, electronic and managerial safeguards to prevent unauthorized access to or use of Category A information.

Such restrictions will be at least as stringent as those applied by the MS-ISAC and/or members to their own most valuable and confidential information.

MS-ISAC agrees to promptly notify Primary Custodian of any unauthorized release of Category A information.

5. MS-ISAC and members will not remove, obscure or alter any notice of patent, copyright, trade secret or other proprietary right from any Category A information without the prior written authorization of Primary Custodian.

#### **Multi-State ISAC Duties:**

6. The MS-ISAC and members may share with the Department of Homeland Security (DHS) pursuant to 6 U.S.C. § 133, Category A, B, and C information, unless the Primary Custodian has designated in writing that the information in question cannot be shared with our federal partners. All other information is voluntarily submitted and may be shared with the Federal Government with expectation of protection from disclosure as provided by the provisions of the Critical Infrastructure Information Act of 2002.

7. If any third party makes a demand for any Category A or B information, the MS-ISAC or member shall immediately forward such request to the Primary Custodian and consult and cooperate with the Primary Custodian and will make reasonable efforts, consistent with applicable law to protect the confidentiality of the information. Primary Custodian will, as needed, have the opportunity to seek judicial or other appropriate avenues of redress to prevent any release.
8. In non-emergency situations, as part of its multi-state communication sharing efforts, the MS-ISAC may prepare written reports. For such reports, the Primary Custodian shall be provided a period of time to review such reports, papers, or other writings and has the right to edit out its Category A information, correct factual inaccuracies, make recommendations and comments to the content of the report, and append comments to the final version of the report. The MS-ISAC members and Primary Custodian agree to work together in good faith to reach mutually agreed upon language for the report. If the parties are unable to reach agreement on an issue, Primary Custodian has the right to edit out its Category A information.

#### **General Terms:**

9. Should any court of competent jurisdiction consider any provision of this Agreement to be invalid, illegal, or unenforceable, such provisions shall be considered severed from this Agreement. All other provisions, rights, and obligations shall continue without regard to the severed provision(s).
10. The term of the Agreement shall continue so long as Primary Custodian remains a member of the MS-ISAC, and paragraph 3 the obligations of confidentiality as provided herein shall survive the expiration of this Agreement.
11. This Agreement will be construed and enforced in all respects in accordance with United States (U.S.) federal law or other applicable laws as addressed herein.
12. This Agreement contains the entire understanding between the parties with respect to the proprietary information described herein and supersedes all prior understandings whether written or oral. Any modification, amendment, assignment or waiver of the terms of this Agreement shall require the written approval of the authorized representative of each party.

The foregoing has been agreed to and accepted by the authorized representatives of each party whose signatures appear below:

AGREED BY:

**Primary Custodian:**

**Center for Internet Security  
Multi-State ISAC Division**

<i>Signature</i>	<i>Date</i>	<i>Signature</i>	<i>Date</i>
		<b>MS-ISAC Chair</b>	
<i>Print or Type Name/Title</i>			

**STATE BOARD OF ELECTIONS  
INTER-OFFICE MEMORANDUM**

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***From the desk of:***

***Jeremy Kirk, Director of Administrative Services/CFO***

**TO: Members of the Board & Steve Sandvoss**  
**SUBJECT: Summary of FY17 Bonus & 4% Swap**  
**DATE: Sept. 5<sup>th</sup>, 2017**

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In general the non-pensionable bonus and “4% swap” were implemented with minimal issues and staff was receptive of both. A synopsis of both are outlined below:

**FY17 Non-Pensionable Bonus Program:**

Immediately following the June 20<sup>th</sup> Board meeting email notifications were sent to Directors instructing them to complete evaluations on all staff within their division. The deadline for submission of evaluations was set for Monday, June 26<sup>th</sup>. As the evaluations were received they were entered into a spreadsheet ranking each individual staff member by score. Once all the evaluations were received, the spreadsheet was taken to Steve for his review and final determination. Once approved, a supplemental payroll voucher was created on June 27<sup>th</sup> & 28<sup>th</sup>. Steve sent an email to staff on June 28<sup>th</sup> outlining the bonus criteria and listing names of those who received the additional bonus amounts. Actual payroll warrants were issued to staff on July 5<sup>th</sup>.

There were mixed reactions from staff regarding the bonus program (some thought the bonuses created unnecessary separation/friction between staff while others saw the bonuses as a show of appreciation from their director, executive staff and the Board).

I personally believe a non-pensionable bonus program would be more transparent to staff if each evaluation was tailored directly to individual job descriptions (there wasn't time to do that during the FY17 bonus). In addition to job description specific evaluations, I feel that if a bonus program is going to be implemented as part of an ongoing compensation package for staff more responsibility needs to be placed upon supervisors for conveying expectations of staff (i.e. a six-month evaluation with staff indicating where they are exceeding and/or falling short of expectations).

**4% Swap:**

On Wednesday June 21<sup>st</sup>, I sent out a notification to all staff, which included the following: an explanation as to the basic concept behind the 4% swap, the options available to staff and the deadline for notifying me of their option. The deadline for making their selection was Wednesday June 28<sup>th</sup> at 4:30 PM (one week).

Staff raised several questions regarding how their individual net pay would be impacted. Those individual questions were answered on a case-by-case basis. There was some general confusion as to the intent, long-term consequences and other potential legal issues so an agency-wide informational meeting was conducted on Tuesday June 27<sup>th</sup> to address any and all concerns that staff had. After the informational meeting staff seemed more at ease and had a better understanding of the intent of the 4% swap. All staff, with the exception of one, accepted the salary increase versus having the SBE continue to pay their 4% of retirement. The 4% swap took effective July 1, 2017.



**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>AGENCY TOTALS</b> <b>MONTH ENDING: August 31, 2017</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
PERSONAL SERVICE	\$4,522,800	\$4,522,800	\$626,813.72	\$0.00	\$3,895,986.28	13.86%
SOCIAL SECURITY	\$332,900	\$332,900	\$45,974.96	\$0.00	\$286,925.04	13.81%
CONTRACTUAL SERVICE	\$1,936,100	\$2,507,900	\$896,195.34	\$603,851.04	\$1,007,853.62	35.73%
TRAVEL	\$126,600	\$126,600	\$23,823.54	\$0.00	\$102,776.46	18.82%
PRINTING	\$21,000	\$21,000	\$5,624.20	\$0.00	\$15,375.80	26.78%
COMMODITIES	\$45,500	\$45,500	\$23,284.32	\$0.00	\$22,215.68	51.17%
EQUIPMENT	\$437,000	\$437,000	\$28,059.51	\$0.00	\$408,940.49	6.42%
TELECOMMUNICATIONS	\$165,900	\$165,900	\$114,304.74	\$0.00	\$51,595.26	68.90%
OPERATION OF AUTO EQUIPMENT	\$4,900	\$4,900	\$1,039.79	\$0.00	\$3,860.21	21.22%
ELECTION CODE BOOKS	\$7,000	\$7,000	\$6,893.75	\$0.00	\$106.25	98.48%
IVRS LUMP SUM - OPERATIONS	\$1,445,200	\$1,445,200	\$155,650.01	\$0.00	\$1,289,549.99	10.77%
INTEREST PAYMENTS	\$0	\$14,800	\$13,707.23	\$0.00	\$1,092.77	0.00%
<b>SUB-TOTAL (OPERATIONS)</b>	<b>\$9,044,900</b>	<b>\$9,631,500</b>	<b>\$1,941,371.11</b>	<b>\$603,851.04</b>	<b>\$7,086,277.85</b>	<b>20.16%</b>
HAVA MAINTENANCE OF EFFORT	\$550,000	\$550,000	\$122,895.06	\$421,534.40	\$5,570.54	22.34%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$799,500	\$799,500	\$0.00	\$0.00	\$799,500.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$2,300,000	\$2,300,000	\$0.00	\$0.00	\$2,300,000.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,897,200	\$3,310,600	\$0.00	\$0.00	\$3,310,600.00	0.00%
<b>SUB-TOTAL (GRANTS)</b>	<b>\$7,546,700.00</b>	<b>\$5,960,100.00</b>	<b>\$122,895.00</b>	<b>\$421,534.40</b>	<b>\$6,415,870.54</b>	<b>1.77%</b>
<b>TOTAL APPROPRIATION</b>	<b>\$16,591,600</b>	<b>\$15,591,600</b>	<b>\$2,064,266.17</b>	<b>\$1,025,385.44</b>	<b>\$13,501,948.39</b>	<b>12.44%</b>

\* "Adjusted Appropriation" indicates changes to originally appropriated accounts to cover FY16, FY17 and related interest payments in each major spending line.

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>BOARD MONTH ENDING: August 31, 2017</b>	<b>ORIGINAL APPROPRIATION</b>	<b>*ADJUSTED APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF EXPENDITURE</b>
<b>CONTRACTUAL SERVICE</b>					
1205 Freight Express & Drayage	\$3,000.00	\$3,000.00	\$366.17	\$2,633.83	12.21%
1221 Repair/Maint. Furn./Office Equipment					
1232 Rental Motor Vehicles					
1239 Rental, NEC					
1243 Book Binding Services					
1266 Court Reporting & Filing Services	\$18,000.00	\$23,100.00	\$23,028.20	\$71.80	99.69%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$300.00	\$0.00	\$300.00	0.00%
1275 Subscriptions					
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues					
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services	\$500.00	\$600.00	\$570.00	\$30.00	95.00%
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
<b>TRAVEL</b>					
1291 In-State	\$19,000.00	\$15,900.00	\$4,745.75	\$11,154.25	29.85%
1292 Out-of-State	\$2,000.00	\$2,000.00	\$0.00	\$2,000.00	0.00%
1293 In-State (Vendor)					
1295 Mileage	\$0.00	\$3,100.00	\$3,043.08	\$56.92	98.16%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$700.00	\$700.00	\$199.99	\$500.01	28.57%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments	\$0.00	\$700.00	\$669.50	\$30.50	95.64%

<b>BOARD GRAND TOTAL</b>	<b>ORIGINAL APPROPRIATION</b>	<b>*ADJUSTED APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>	<b>BALANCE</b>
CONTRACTUAL SERVICE	\$23,600.00	\$28,100.00	\$23,964.37		\$4,135.63
TRAVEL	\$21,000.00	\$21,000.00	\$7,788.83		\$13,211.17
EQUIPMENT	\$700.00	\$700.00	\$199.99		\$500.01
INTEREST PAYMENTS	\$0.00	\$700.00	\$669.50		\$30.50
<b>TOTAL</b>	<b>\$45,300.00</b>	<b>\$50,500.00</b>	<b>\$32,622.69</b>	<b>\$0.00</b>	<b>\$17,877.31</b>

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ADMINISTRATION</b> <b>MONTH ENDING: August 31, 2017</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE (1120)</b>	\$822,400.00	\$822,400.00	\$133,933.29	\$688,466.71	16.29%
1170 Social Security	\$60,500.00	\$60,500.00	\$9,819.43	\$50,680.57	16.23%
<b>CONTRACTUAL SERVICE</b>					
1201 Petty Cash	\$300.00	\$300.00	\$29.83	\$270.17	9.94%
1202 Employee Reimbursement		\$100.00	\$91.18	\$8.82	91.18%
1205 Freight Express & Drayage	\$500.00	\$500.00	\$0.00	\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$12,200.00	\$12,200.00	\$11,805.70	\$394.30	96.77%
1223 Repair/Maint. Real Property	\$1,900.00	\$1,900.00	\$0.00	\$1,900.00	0.00%
1229 Repair & Maintenance NEC					
1230 In-House Repair & Maintenance					
1231 Rental, Office Equipment	\$3,000.00	\$5,000.00	\$4,908.20	\$91.80	98.16%
1232 Rental, Motor Vehicles	\$4,000.00	\$4,000.00	\$0.00	\$4,000.00	0.00%
1233 Rental, Real Property	\$411,900.00	\$947,600.00	\$703,552.50	\$244,047.50	74.25%
1234 Rental, Machinery & Mechanical Eqmt					
1239 Rental, NEC	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$23,500.00	\$42,800.00	\$42,713.29	\$86.71	99.80%
1245 Professional & Artistic					
1248 Building & Grounds Maintenance	\$700.00	\$700.00	\$0.00	\$700.00	0.00%
1261 Postage	\$67,000.00	\$67,000.00	\$1,850.78	\$65,149.22	2.76%
1266 Court Reporting					
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$800.00	\$0.00	\$800.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$2,800.00	\$2,524.50	\$275.50	90.16%
1276 Reg.Fees & Conf. Expenses (Employee)	\$500.00	\$500.00	\$0.00	\$500.00	0.00%
1277 Association Dues	\$2,400.00	\$2,400.00	\$0.00	\$2,400.00	0.00%
1279 Tuition - Employee					
1280 Copying, Photographic & Printer Services	\$0.00	\$300.00	\$300.00	\$0.00	100.00%
1281 Interviewee Expense - To Vendors					
1285 Taxes, Licenses & Fees	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$9,900.00	\$9,500.00	\$40.00	\$9,460.00	0.42%
<b>TRAVEL</b>					
1291 In-State	\$9,200.00	\$6,000.00	\$1,791.27	\$4,208.73	29.85%
1292 Out-of-State	\$1,300.00	\$1,300.00	\$40.00	\$1,260.00	3.08%
1293 In-State (Vendor)	\$600.00	\$3,800.00	\$3,765.17	\$34.83	99.08%
1295 Mileage	\$1,500.00	\$1,500.00	\$444.05	\$1,055.95	29.60%
<b>PRINTING</b>	\$9,600.00	\$9,600.00	\$4,798.58	\$4,801.42	49.99%
<b>COMMODITIES</b>					
1304 Office/Library Supplies	\$14,600.00	\$13,600.00	\$6,875.59	\$6,724.41	50.56%
1306 Food for Human Consumption					
1335 Rock Salt					
1391 Household & Cleaning Supplies	\$300.00	\$700.00	\$631.89	\$68.11	90.27%
1394 Office/Library Equip., Not exc. \$100			\$152.82		
1395 Small Tools Not Exceeding \$100					
1397 Household Cleaning Equip. NEC \$100					
1398 Equipment, NEC	\$500.00	\$500.00	\$324.24	\$175.76	64.85%
1399 Commodities, NEC	\$600.00	\$1,200.00	\$1,158.87	\$41.13	96.57%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$5,000.00	\$5,000.00	\$259.99	\$4,740.01	5.20%
1521 Passenger Vehicle	\$25,000.00	\$25,000.00	\$0.00	\$25,000.00	0.00%
1599 Equipment NEC	\$800.00	\$800.00	\$0.00	\$800.00	0.00%
<b>TELECOMMUNICATIONS</b>					
1710 Repair/Maintenance Telecom					
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$51,000.00	\$34,571.77	\$16,428.23	67.79%
1722 Rental, Data Comm. Serv. & Equip.	\$103,200.00	\$103,200.00	\$73,525.12	\$29,674.88	71.25%
1728 Videoconferencing	\$7,200.00	\$7,200.00	\$6,207.85	\$992.15	86.22%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$3,000.00	\$0.00	\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00	\$1,500.00	\$0.00	\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment					
<b>OPERATION OF AUTO EQUIPMENT</b>					
1893 Repair & Maint., Auto. Equipment	\$1,500.00	\$1,500.00	\$214.93	\$1,285.07	14.33%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$200.00	\$0.00	\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$3,200.00	\$3,100.00	\$794.88	\$2,305.12	25.64%
1899 Auto. Expenses, NEC		\$100.00	\$29.98	\$70.02	29.98%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments	\$0.00	\$3,500.00	\$3,441.65	\$58.35	98.33%
<b>ADMINISTRATION GRAND TOTAL</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>% OF</b> <b>BALANCE EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$822,400.00	\$822,400.00	\$133,933.29	\$688,466.71	16.29%
<b>SOCIAL SECURITY</b>	\$60,500.00	\$60,500.00	\$9,819.43	\$50,680.57	16.23%
<b>CONTRACTUAL SERVICE</b>	\$542,500.00	\$1,099,500.00	\$767,815.98	\$331,650.00	69.83%
<b>TRAVEL</b>	\$12,600.00	\$12,600.00	\$6,040.49	\$6,559.51	47.94%
<b>PRINTING</b>	\$9,600.00	\$9,600.00	\$4,798.58	\$4,801.42	49.99%
<b>COMMODITIES</b>	\$16,000.00	\$16,000.00	\$9,143.41	\$6,856.59	57.15%
<b>EQUIPMENT</b>	\$30,800.00	\$30,800.00	\$259.99	\$30,540.01	0.84%
<b>TELECOMMUNICATIONS</b>	\$165,900.00	\$165,900.00	\$114,304.74	\$51,595.26	68.90%
<b>OPERATION OF AUTO EQUIPMENT</b>	\$4,900.00	\$4,900.00	\$1,039.79	\$3,860.21	21.22%
<b>INTEREST PAYMENTS</b>	\$0.00	\$3,500.00	\$3,441.65	\$58.35	0.00%
<b>TOTAL</b>	<b>\$1,665,200.00</b>	<b>\$2,225,700.00</b>	<b>\$1,050,597.35</b>	<b>\$331,650.00</b>	<b>\$843,452.65</b> <b>47.20%</b>

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ELECTIONS</b> <b>MONTH ENDING: August 31, 2017</b>	<b>DRIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE (1120)</b>	\$1,743,000.00	\$1,743,000.00	\$233,209.31	\$1,509,790.69	13.38%
1170 Social Security	\$128,300.00	\$128,300.00	\$17,105.78	\$111,194.22	13.33%
<b>CONTRACTUAL SERVICE</b>					
1145 Contractual Payroll					
1202 Contractual reimbursement To Employee	\$300.00	\$300.00	\$104.00	\$196.00	34.67%
1205 Freight Express & Drayage	\$2,800.00	\$2,800.00	\$0.00	\$2,800.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$200.00	\$0.00	\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip					
1231 Rental, Office Equipment					
1232 Rental, Motor Vehicles					
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$200.00	\$105.00	\$95.00	52.50%
1242 Auditing & Management Services					
1245 Professional & Artistic Services, NEC	\$10,000.00	\$10,000.00	\$0.00	\$10,000.00	0.00%
1266 Court Reporting & Filing Services					
1273 Advertising					
1274 Reg Fees & Conf. Expenses (Vendor)	\$3,500.00	\$3,500.00	\$0.00	\$3,500.00	0.00%
1275 Subscription & Information Services	\$300.00	\$300.00	\$0.00	\$300.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$2,400.00	\$2,400.00	\$0.00	\$2,400.00	0.00%
1277 Association Dues	\$1,600.00	\$1,600.00	\$320.00	\$1,280.00	20.00%
1279 Employee Tuition & Fees	\$1,200.00	\$1,200.00	\$369.60	\$830.40	30.80%
1280 Copying, Photographic & Printing Services	\$1,500.00	\$1,500.00	\$0.00	\$1,500.00	0.00%
1284 Software					
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-state Employees					
1289 Contractual Services, NEC	\$600.00	\$600.00	\$0.00	\$600.00	0.00%
<b>TRAVEL</b>					
1291 In-State	\$47,700.00	\$42,400.00	\$2,123.04	\$40,276.96	5.01%
1292 Out-of-State	\$16,900.00	\$16,900.00	\$0.00	\$16,900.00	0.00%
1293 In-State (Vendor)	\$0.00	\$1,100.00	\$1,076.69	\$23.31	97.88%
1295 Mileage	\$0.00	\$4,200.00	\$4,146.05	\$53.95	98.72%
<b>PRINTING</b>	\$7,000.00	\$7,000.00	\$45.62	\$6,954.38	0.65%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$5,800.00	\$5,800.00	\$1,324.30	\$4,475.70	22.83%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments	\$0.00	\$800.00	\$757.84	\$42.16	94.73%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$550,000.00	\$122,895.06	\$427,104.94	22.34%
<b>ELECTION CODE BOOKS</b>	\$7,000.00	\$7,000.00	\$6,893.75	\$106.25	98.48%
<b>Election Judge Reimbursements (Fund 802)</b>	\$2,300,000.00	\$2,300,000.00	\$0.00	\$2,300,000.00	0.00%
<b>Stipends (Fund 802)</b>	\$799,500.00	\$799,500.00	\$0.00	\$799,500.00	0.00%

<b>ELECTIONS GRAND TOTAL</b>	<b>DRIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$1,743,000.00	\$1,743,000.00	\$233,209.31		\$1,509,790.69	13.38%
<b>SOCIAL SECURITY</b>	\$128,300.00	\$128,300.00	\$17,105.78		\$111,194.22	13.33%
<b>CONTRACTUAL SERVICE</b>	\$24,700.00	\$24,700.00	\$898.60		\$23,801.40	3.64%
<b>TRAVEL</b>	\$64,600.00	\$64,600.00	\$7,345.78		\$57,254.22	11.37%
<b>PRINTING</b>	\$7,000.00	\$7,000.00	\$45.62		\$6,954.38	0.65%
<b>EQUIPMENT</b>	\$5,800.00	\$5,800.00	\$1,324.30		\$4,475.70	22.83%
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$550,000.00	\$122,895.06	\$421,534.40	\$5,570.54	22.34%
<b>ELECTION CODE BOOKS</b>	\$7,000.00	\$7,000.00	\$6,893.75		\$106.25	98.48%
<b>Election Judge Reimbursements (FUND 802)</b>	\$2,300,000.00	\$2,300,000.00	\$0.00		\$2,300,000.00	0.00%
<b>Stipends (FUND 802)</b>	\$799,500.00	\$799,500.00	\$0.00		\$799,500.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$800.00	\$757.84		\$42.16	0.00%
<b>TOTAL</b>	<b>\$5,628,900.00</b>	<b>\$5,630,700.00</b>	<b>\$390,476.04</b>	<b>\$421,534.40</b>	<b>\$4,818,689.56</b>	<b>6.93%</b>

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>GENERAL COUNSEL</b> <b>MONTH ENDING: August 31, 2017</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE (1120)</b>	\$347,000.00	\$347,000.00	\$42,776.00	\$304,224.00	12.33%
1129 State Paid Retirement					
1161 Retirement					
1170 Social Security	\$25,600.00	\$25,600.00	\$3,167.93	\$22,432.07	12.37%
<b>CONTRACTUAL SERVICE</b>					
1145 Contractual Payroll					
1202 Reimbursement to Employee	\$0.00	\$100.00	\$25.00	\$75.00	25.00%
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment					
1244 Legal Fees	\$200,000.00	\$200,000.00	\$22,875.00	\$177,125.00	11.44%
1245 Professional & Artistic Services, NEC	\$2,000.00	\$2,000.00	\$0.00	\$2,000.00	0.00%
1266 Court Reporting & Filing Services	\$15,000.00	\$15,000.00	\$2,235.25	\$12,764.75	14.90%
1273 Advertising					
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$900.00	\$0.00	\$900.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1277 Association Dues	\$1,500.00	\$1,500.00	\$0.00	\$1,500.00	0.00%
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services					
1284 Computer Software					
1289 Contractual Services, NEC	\$6,500.00	\$16,800.00	\$16,705.30	\$94.70	99.44%
<b>TRAVEL</b>					
1291 In-State	\$8,500.00	\$6,700.00	\$672.27	\$6,027.73	10.03%
1292 Out-of-State	\$1,500.00	\$1,500.00	\$0.00	\$1,500.00	0.00%
1293 In-State (Vendor)	\$0.00	\$800.00	\$740.18	\$59.82	92.52%
1295 Mileage	\$0.00	\$1,000.00	\$937.32	\$62.68	93.73%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$500.00	\$500.00	\$111.00	\$389.00	22.20%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments	\$0.00	\$5,900.00	\$5,879.47	\$20.53	99.65%

<b>GENERAL COUNSEL GRAND TOTAL</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$347,000.00	\$347,000.00	\$42,776.00		\$304,224.00	12.33%
<b>SOCIAL SECURITY</b>	\$25,600.00	\$25,600.00	\$3,167.93		\$22,432.07	12.37%
<b>CONTRACTUAL SERVICE</b>	\$228,000.00	\$238,300.00	\$41,840.55	70,000.00	\$126,459.45	17.56%
<b>TRAVEL</b>	\$10,000.00	\$10,000.00	\$2,349.77		\$7,650.23	23.50%
<b>EQUIPMENT</b>	\$500.00	\$500.00	\$111.00		\$389.00	22.20%
<b>INTEREST PAYMENTS</b>	\$0.00	\$5,900.00	\$5,879.47		\$20.53	0.00%
<b>TOTAL</b>	<b>\$611,100.00</b>	<b>\$627,300.00</b>	<b>\$96,124.72</b>	<b>\$70,000.00</b>	<b>\$461,175.28</b>	<b>15.32%</b>

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>CAMPAIGN DISCLOSURE</b> <b>MDNTH ENDING: August 31, 2017</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE (1120)</b>	\$785,500.00	\$785,500.00	\$108,165.63	\$677,334.37	13.77%
1170 Social Security	\$57,800.00	\$57,800.00	\$7,837.87	\$49,962.13	13.56%
<b>CONTRACTUAL SERVICE</b>					
1202 Employee Reimbursement					
1205 Freight Express & Drayage					
1221 Repair & Maint, Furn & Office Equipment					
1225 Repair & Maint, EDP					
1229 Repair & Maint, NEC					
1232 Rental, Motor Vehicles					
1239 Rental, NEC					
1245 Professional & Artistic Services, NEC					
1248 Building & Ground Maintenance					
1266 Court Reporting & Filing Services	\$600.00	\$800.00	\$772.20	\$27.80	96.53%
1274 Reg Fees & Conf. Expenses (Vendor)					
1275 Subscription & Information Services					
1276 Reg. Fees & Conf. Expenses (Employee)	\$2,000.00	\$2,000.00	\$0.00	\$2,000.00	0.00%
1277 Association Dues					
1279 Employee Tuition and Fees	\$300.00	\$300.00	\$0.00	\$300.00	0.00%
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$300.00	\$100.00	\$0.00	\$100.00	0.00%
<b>TRAVEL</b>					
1291 In-State	\$2,500.00	\$2,500.00	\$8.70	\$2,491.30	0.35%
1292 Out-of-State	\$2,200.00	\$2,200.00	\$0.00	\$2,200.00	0.00%
1293 In-State (Vendor)	\$1,200.00	\$1,200.00	\$289.97	\$910.03	24.16%
1295 Mileage	\$1,600.00	\$1,600.00	\$0.00	\$1,600.00	0.00%
<b>PRINTING</b>	\$3,700.00	\$3,700.00	\$780.00	\$2,920.00	21.08%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$800.00	\$800.00	\$756.00	\$44.00	94.50%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments	\$0.00	\$100.00	\$28.78	\$71.22	28.78%

<b>CAMPAIGN DISCLOSURE GRAND TOTAL</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$785,500.00	\$785,500.00	\$108,165.63		\$677,334.37	13.77%
<b>SOCIAL SECURITY</b>	\$57,800.00	\$57,800.00	\$7,837.87		\$49,962.13	13.56%
<b>CONTRACTUAL SERVICE</b>	\$3,200.00	\$3,200.00	\$772.20		\$2,427.80	24.13%
<b>TRAVEL</b>	\$7,500.00	\$7,500.00	\$298.67		\$7,201.33	3.98%
<b>PRINTING</b>	\$3,700.00	\$3,700.00	\$780.00		\$2,920.00	21.08%
<b>EQUIPMENT</b>	\$800.00	\$800.00	\$756.00		\$44.00	94.50%
<b>INTEREST PAYMENTS</b>	\$0.00	\$100.00	\$28.78		\$71.22	0.00%
<b>TOTAL</b>	<b>\$858,500.00</b>	<b>\$858,600.00</b>	<b>\$118,639.15</b>	<b>\$0.00</b>	<b>\$739,960.85</b>	<b>13.82%</b>

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>INFORMATION TECHNOLOGY</b> <b>MONTH ENDING: August 31, 2017</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE (1120)</b>	\$824,900.00	\$824,900.00	\$108,729.49	\$716,170.51	13.18%
1170 Social Security	\$60,700.00	\$60,700.00	\$8,043.95	\$52,656.05	13.25%
<b>CONTRACTUAL SERVICE</b>					
1145 Contractual Payroll					
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment	\$18,000.00	\$18,000.00	\$0.00	\$18,000.00	0.00%
1223 Repair/Maint. Real Property					
1225 Repair/Maint. EDP Equipment	\$80,100.00	\$80,100.00	\$32,042.14	\$48,057.86	40.00%
1230 In-House Repair of Equipment					
1234 Rental, Machinery and Mech. Equip					
1236 - Facilities Management Revolving Fund		\$1,600.00	\$1,560.00	\$40.00	97.50%
1239 Rental, NEC	\$300.00	\$4,000.00	\$3,969.10	\$30.90	99.23%
1242 Travel & Management Services		\$9,900.00	\$9,810.64	\$89.36	99.10%
1244 Legal Fees					
1245 Professional & Artistic Services, NEC	\$545,800.00	\$530,500.00	\$0.00	\$530,500.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$1,000.00	\$792.00	\$208.00	79.20%
1272 Travel & Expense Reimbursement (Vendor)					
1274 Reg Fees & Conf. Expenses (Vendor)	\$7,000.00	\$7,000.00	\$0.00	\$7,000.00	0.00%
1275 Subscription & Information Services	\$600.00	\$600.00	\$0.00	\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)					
1277 Association Dues					
1279 Employee Tuition and Fees	\$8,000.00	\$8,000.00	\$0.00	\$8,000.00	0.00%
1280 Copying, Photographic & Printing Services		\$100.00	\$7.98	\$92.02	7.98%
1284 Computer Software	\$452,300.00	\$452,300.00	\$12,721.78	\$439,578.22	2.81%
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
<b>TRAVEL</b>					
1291 In-State	\$5,500.00	\$5,500.00	\$0.00	\$5,500.00	0.00%
1292 Out-of-State	\$5,400.00	\$5,400.00	\$0.00	\$5,400.00	0.00%
1293 In-State (Vendor)					
1295 Mileage					
<b>PRINTING</b>	\$700.00	\$700.00	\$0.00	\$700.00	0.00%
<b>COMMODITIES</b>					
1304 Office/Library Supplies	\$29,500.00	\$28,900.00	\$13,572.20	\$15,327.80	46.96%
1332 Industrial & Shop Materials					
1394 Office & Library Equipment Under \$100					
1395 Small Tools < \$100					
1398 Equipment, NEC	\$0.00	\$600.00	\$568.71	\$31.29	94.79%
1399 Commodities, NEC					
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment					
1515 EDP Equipment	\$398,400.00	\$398,400.00	\$25,408.23	\$372,991.77	6.38%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments	\$0.00	\$3,000.00	\$2,929.99	\$70.01	97.67%
<b>TELECOMMUNICATIONS</b>					
1750 Telephone, Data, Radio & Other Equipment					

<b>INFORMATION TECHNOLOGY GRAND TOTAL</b>	<b>ORIGINAL</b> <b>APPROPRIATION</b>	<b>*ADJUSTED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>DBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$824,900.00	\$824,900.00	\$108,729.49		\$716,170.51	13.18%
<b>SOCIAL SECURITY</b>	\$60,700.00	\$60,700.00	\$8,043.95		\$52,656.05	13.25%
<b>CONTRACTUAL SERVICE</b>	\$1,114,100.00	\$1,114,100.00	\$60,903.64	\$202,201.04	\$850,995.32	5.47%
<b>TRAVEL</b>	\$10,900.00	\$10,900.00	\$0.00		\$10,900.00	0.00%
<b>PRINTING</b>	\$700.00	\$700.00	\$0.00		\$700.00	0.00%
<b>COMMODITIES</b>	\$29,500.00	\$29,500.00	\$14,140.91		\$15,359.09	47.94%
<b>EQUIPMENT</b>	\$398,400.00	\$398,400.00	\$25,408.23		\$372,991.77	6.38%
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
<b>INTEREST</b>	\$0.00	\$3,000.00	\$2,929.99		\$70.01	100.00%
<b>TOTAL</b>	<b>\$2,439,200.00</b>	<b>\$2,442,200.00</b>	<b>\$220,156.21</b>	<b>\$202,201.04</b>	<b>\$2,019,842.75</b>	<b>9.01%</b>

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

**IVRS LUMP SUM**  
**MONTH ENDING: August 31, 2017**

**YEAR TO DATE**  
**EXPENDITURE**

<b>PERSONAL SERVICE</b>	\$81,310.02
1129 State Paid Retirement	\$698.84
1161 Retirement	
1170 Social Security	\$5,948.74
<b>CONTRACTUAL SERVICE</b>	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	\$24,851.40
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$36,529.07
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
<b>TRAVEL</b>	
1291 In-State	\$1,312.33
1292 Out-of-State	
1293 In-State (Vendor)	\$2,286.91
1295 Mileage	\$787.09
<b>PRINTING</b>	\$307.48
<b>COMMODITIES</b>	
1304 Office Supplies	
1398 Equipment Less than \$100	
<b>EQUIPMENT</b>	
1510 Office Furniture & Equipment	\$1,459.99
<b>LUMP SUMS &amp; OTHER PURPOSES</b>	
1991 Interest Payments	\$158.14
<b>LOCAL GRANTS</b>	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

	Original Approp.	* Adjusted Approp.
<b>LUMP SUM - OPERATIONS APPROP FOR YEAR</b>	<b>\$1,445,200.00</b>	<b>\$1,445,200.00</b>
<b>TOTAL LUMP SUM - OPERATIONS EXPENDITURES</b>		<b>\$155,650.01</b>
<b>OBLIGATED MONEY - OPERATIONS</b>		<b>\$0.00</b>
<b>REMAINING LUMP SUM OPS APPROPRIATION</b>		<b>\$1,289,549.99</b>
 <b>LUMP SUM - GRANTS APPROP FOR YEAR</b>	 <b>\$3,897,200.00</b>	 <b>\$3,310,600.00</b>
<b>TOTAL LUMP SUM - GRANT EXPENDITURES</b>		<b>\$0.00</b>
<b>OBLIGATED MONEY - GRANTS</b>		<b>\$0.00</b>
<b>REMAINING LUMP SUM GRANTS APPROPRIATION</b>		<b>\$3,310,600.00</b>
 <b>LUMP SUM TOTAL APPROPRIATION</b>	 <b>\$5,342,400.00</b>	 <b>\$4,755,800.00</b>
<b>TOTAL LUMP SUM EXPENDITURES</b>		<b>\$155,650.01</b>
<b>TOTAL OBLIGATED MONEY</b>		<b>\$0.00</b>
<b>REMAINING TOTAL LUMP SUM APPROPRIATION</b>		<b>\$4,600,149.99</b>



# FY18 ELECTIONS DIVISION MONTHLY REPORT

Aug. 31, 2017

	<u>ORIGINAL APPROPRIATION</u>	<u>EXPENDED</u>	<u>OBLIGATED</u>	<u>BALANCE</u>
<b><u>ASSISTANT DIRECTOR</u></b>				
Contractual	\$2,200.00	\$0.00	\$0.00	\$2,200.00
Travel	\$5,200.00	\$156.80	\$0.00	\$5,043.20
Equipment	\$0.00	\$0.00	\$0.00	\$0.00
<b><u>Election Operations</u></b>				
Contractual	\$15,800.00	\$793.60	\$0.00	\$15,006.40
Travel	\$32,000.00	\$7,006.48	\$0.00	\$24,993.52
Printing	\$7,000.00	\$45.62	\$0.00	\$6,954.38
Equipment	\$4,000.00	\$1,324.30	\$0.00	\$2,675.70
<b><u>VRS</u></b>				
Contractual	\$1,700.00	\$105.00	\$0.00	\$1,595.00
Travel	\$14,300.00	\$182.50	\$0.00	\$14,117.50
Equipment	\$1,800.00	\$0.00	\$0.00	\$1,800.00
<b><u>LEGISLATION</u></b>				
Contractual	\$1,800.00	\$0.00	\$0.00	\$1,800.00
Travel	\$1,500.00	\$0.00	\$0.00	\$1,500.00
Equipment	\$0.00	\$0.00	\$0.00	\$0.00
<b><u>PROJECT DEVELOPMENT</u></b>				
Contractual	\$3,200.00	\$0.00	\$0.00	\$3,200.00
Travel	\$11,600.00	\$0.00	\$0.00	\$11,600.00
Contractual	\$24,700.00	\$898.60	\$0.00	\$23,801.40
Travel	\$64,600.00	\$7,345.78	\$0.00	\$57,254.22
Printing	\$7,000.00	\$45.62	\$0.00	\$6,954.38
Equipment	\$5,800.00	\$1,324.30	\$0.00	\$4,475.70
Total	\$102,100.00	\$9,614.30	\$0.00	\$92,485.70

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<b>MONTH ENDING: Aug. 31, 2017</b>						
<b>CASH BALANCE FROM FY17</b>	<b>\$404,856.32</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$279,227.60</b>	<b>\$1,325,426.29</b>	<b>\$2,009,510.21</b>
Program Revenues from Federal Govt						\$0.00
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$424.09			\$292.50	\$1,388.41	\$2,105.00
Interest Penalties Received						\$0.00
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
<b>TOTAL CASH REVENUES</b>	<b>\$424.09</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$292.50</b>	<b>\$1,388.41</b>	<b>\$2,105.00</b>
 <b>YEAR TO DATE CASH EXPENDITURES</b>						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$55,235.91	\$0.00	\$0.00	\$547.06	\$0.00	\$55,782.97
TRAVEL	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INTEREST	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b>	<b>\$55,235.91</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$547.06</b>	<b>\$0.00</b>	<b>\$55,782.97</b>
AWARDS & GRANTS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>TOTAL CASH EXPENDITURES</b>	<b>\$55,235.91</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$547.06</b>	<b>\$0.00</b>	<b>\$55,782.97</b>
 <b>CASH BALANCE AT END OF MONTH</b>	<b>\$350,044.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$278,973.04</b>	<b>\$1,326,814.70</b>	<b>\$1,955,832.24</b>

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

**SECTION 181 - DISCRETIONARY FUNDS**  
**MONTH ENDING: Aug. 31, 2017**

**FY18**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security  
1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1223 Repair & Maintenance Real Property  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1232 Rental, Motor Vehicles  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1281 Postage  
1266 Court Reporting & Filing Services  
1273 Advertising  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1277 Association Dues  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1288 Travel, Reimb. to Non-State Employees  
1289 Contractual Services, NEC

\$0.00

\$0.00

\$525.00

\$525.00

\$0.00

\$0.00

\$54,710.91

\$54,710.91

**TRAVEL**

1291 In-State & 1293 In-State (to vendor)  
1292 Out-of-State  
1295 Mileage

\$0.00

\$0.00

\$0.00

\$0.00

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1308 Educational & Instructional Materials  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Equipment  
6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

\$0.00

\$0.00

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**PROMPT PAY**

1991 Interest

\$0.00

\$0.00

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Governmental Units  
4458 Services, NEC  
4470 Grants to Local Governments (PPA)  
4470 Grants to Local Governments (EAI)  
4470 Grants to Local Governments (AAG)  
4470 Grants to Local Governments  
4479 Payments to Other State Agencies

**SECTION 101 GRAND TOTAL**

**FY18**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

**PERSONAL SERVICE**

\$0.00

\$0.00

**STATE PAID RETIREMENT**

\$0.00

\$8.88

**RETIREMENT**

\$0.00

\$8.88

**SOCIAL SECURITY**

\$0.00

\$0.00

**GROUP INSURANCE**

\$0.08

\$0.00

**CONTRACTUAL SERVICE**

\$55,235.91

\$55,235.91

**TRAVEL**

\$0.00

\$0.00

**PRINTING**

\$0.00

\$0.00

**COMMODITIES**

\$0.00

\$0.00

**EQUIPMENT**

\$0.00

\$8.88

**TELECOMMUNICATIONS**

\$0.00

\$0.08

**OPERATION OF AUTO EQUIPMENT**

\$0.00

\$0.00

**PROMPT PAY INTEREST**

\$8.00

\$0.00

**INDIRECT COST REFUNDS**

\$0.00

\$0.00

**GRANTS**

\$0.00

\$0.00

**TOTAL**

\$55,235.91

\$55,235.91

\$0.00

**Help Illinois Vote Fund**

**\$547.06**

**STATE BOARD OF ELECTIONS**  
**FY18 MONTHLY FISCAL REPORT**  
Help Illinois Vote Fund

**SECTION 251 - ADDITIONAL REQUIREMENTS**  
**MONTH ENDING: Aug. 31, 2017**

**FY18**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security  
1180 Group Insurance

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1223 Repair & Maintenance Real Property  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1232 Rental, Motor Vehicles  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1273 Advertising  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1308 Educational & Instructional Materials  
1394 Office/Library Equip., Not Exceed. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Equipment  
6625 Leasehold Improvements

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Govt Units (AVE)  
4453 Reimbursement to Govt Units (Phase II)  
4453 Reimbursement to Govt Units (ALA)  
4453 Reimbursement to Govt Units (EDG)  
4470 Grants to Local Governments (Phase III)  
4479 Payments to Other State Agencies

**SECTION 251 (NEW REQ) GRAND TOTAL**

**FY18**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

PERSONAL SERVICE  
STATE PAID RETIREMENT  
RETIREMENT  
SOCIAL SECURITY  
GROUP INSURANCE  
CONTRACTUAL SERVICE  
TRAVEL  
PRINTING  
COMMODITIES  
EQUIPMENT  
TELECOMMUNICATIONS  
OPERATION OF AUTO EQUIPMENT  
INDIRECT COST REFUNDS  
GRANTS

\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00

\$0.00  
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\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00  
\$0.00

**TOTAL**

**\$0.00**

**\$0.00**

**\$0.00**

Start Date	End Date	Division	Activity
7 /31/2017		ELEC OP	Submit draft for 2018 OPTICAL SCAN MANUAL OF INSTRUCTIONS FOR ELECTION JUDGES (Project started 1/1/2017) Target completion date: 10/1/2017
8 /1 /2017		CAMP DISC	Begin planning for the printing of campaign finance notices for the November/December petition filing for state and local candidates. 10 ILCS 5/9-16.
8 /7 /2017		LEG	Update Legislation by topic and year binder.
8 /11/2017		CAMP DISC	The date that a second letter goes out to all committees that have failed to the file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2017 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.
8 /14/2017		LEG	Begin updating the military & overseas voter guidelines booklet.
8 /15/2017		ELEC OP	Begin review and update of the CANDIDATES GUIDE for 2019. Submit to legal: 12/1/17 Target completion date: 7/15/18 10 ILCS 5/1A-8 (1,2,3,7,11)
8 /16/2017		CAMP DISC	Begin preparation of packet materials for 2017 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed September 15,2017. 10 ILCS 5/9-15.
8 /22/2017		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
8 /31/2017		ELEC OP	Complete update of the DEPUTY REGISTRAR GUIDELINES publication (project started 6/1/2017) 10 ILCS 5/1A-8 (1,2,12)
8 /31/2017		ELEC OP	Complete updating TWO-YEAR PLAN for 2018/2019. (project began 6/1/2017)
8 /31/2017		ELEC OP	Complete updating all agency BROCHURES (project began 6/1/2017) 10 ILCS 5/1A-8 (1,2,4,11)
8 /31/2017		ELEC OP	Complete updating of the GUIDE FOR POLLWATCHERS (project began 6/1/2017) 10 ILCS 5/1A-8 (1,2,4,11)
8 /31/2017		ELEC OP	Complete updating of the MILITARY/OVERSEAS publication (project began 6/1/2017) 10 ILCS 5/1A-8 (1,2,3,7,11)
9 /15/2017		CAMP DISC	The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2017 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).
9 /15/2017		ELEC OP	Complete update for the GUIDE FOR ELECTION AUTHORITIES publication for 2018. (project began 6/1/2016) 10 ILCS 5/1A-8 (1,2,3,4,11)

Start Date	End Date	Division	Activity
9 /18/2017		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
9 /26/2017		VRS	Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15
10/1 /2017		ELEC OP	Complete development and distribution to appropriate election authorities the MANUALS OF INSTRUCTION FOR ELECTION JUDGES for 2018. (project began 4/1/2017)
10/2 /2017		CAMP DISC	First day that any political committee shall file its 2017 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.
10/9 /2017		LEG	Begin to develop 2018 SBE Legislative Program - 100th General Assembly - for the upcoming legislative session, beginning January 2018. 10 ILCS 5/1A-8 (8)
10/16/2017		CAMP DISC	Last day that a political committee shall file its 2017 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.
10/17/2017		EXEC DIR	BOARD MEETING. 10 ILCS 5/1A7
10/17/2017	10/19/2017	LEG	(date subject to change) Veto Session
10/31/2017	11/2 /2017	LEG	(date subject to change) Veto Session
11/3 /2017		CAMP DISC	Date upon which the State Board of Election shall notify political committees that have failed to file the 2017 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.
11/16/2017		CAMP DISC	Begin preparation of packet materials for 2017 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed December 15, 2017. 10 ILCS 5/9-15.
11/20/2017		ELEC OP	Last day for SBE to request Department of Public Health to provide a list of facilities licensed or certified pursuant to the Illinois Nursing Home Care Act, the Specialized Mental Health Rehabilitation Act, or the ID/DD Community Care Act (not less than 120 days before each regular election). This list shall indicate the approved bed capacity and the name of the chief administrative officer of each facility. This is to obtain a current list of facilities for the March 20, 2016 General Primary Election 10 ILCS 5/19-12.2

4. **Follow up.**
5. **Comments from the general public.**
6. **Next Board Meeting scheduled for Tuesday, October 17, 2017 in Chicago.**
7. **Executive Session.**